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No. 38] NEW DELHI, SATURDAY, SEPTEMBER 21—SEPTEMBER 27, 2019 (BHADRA 30, 1941)

इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके।
(Separate paging is given to this Part in order that it may be filed as a separate compilation)

भाग IV

[PART IV]

[गैर-सरकारी व्यक्तियों और गैर-सरकारी संस्थाओं के विज्ञापन और सूचनाएं]

[Advertisements and Notices issued by Private Individuals and Private Bodies]

NOTICE

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BY ORDER

Controller of Publication

CHANGE OF NAME

I hitherto known as ANURAG GAHLOT S/o BALJEET SINGH, residing at Flat No-406, B-2 Anandvan, Bicholi Mardana, Scheme No-140 Indore, Madhya Pradesh-452001, have changed my name and shall hereafter be known as ANIRUDH CHAUDHARY.

It is certified that I have complied with other legal requirements in this connection.

ANURAG GAHLOT

[Signature (in existing old name)]

It hitherto known as SUCHITA LAKRA alias SUCHITA ANANT LAKRA wife of ANANT SANTOSH LAKRA,

employed as Nurse-C in the Raja Ramanna Centre for Advanced Technology, Department of Atomic Energy, residing at 382, Jawahar Nagar, Opp. Rajendra Nagar, Indore, M.P.-452012, have changed my name and shall hereafter be known as SUCHITA LAKRA.

It is certified that I have complied with other legal requirements in this connection.

SUCHITA LAKRA alias SUCHITA ANANT LAKRA

[Signature (in existing old name)]

I hitherto known as MANGABHAI DEVRAJBHAI VAGHELA son of Late DEVRAJBHAI JIVRAJBHAI VAGHELA, employed as Sr. Technician/I in the Heavy Water Plant (Baroda), department of Atomic Energy, Government of India, residing at B-19, Satyam Tenament, Nr. Dwarkesh Residency, Bajwa-karodia Road, Karodia, Vadodra, Gujarat-391310. Telephone No. 9033441817, have change my name and shall hereafter be known as MAGANBHAI DEVRAJBHAI VAGHELA.

It is certified that I have complied with other legal requirements in this connection.

MANGABHAI DEVRAJBHAI VAGHELA

[Signature (in existing old name)]

I hitherto known as GURPRIT KAUR W/o AMARDEEP SINGH LOTA, residing at 132 k v, power house colony, Kotkapura Road, Sri Muktsar Sahib, PUNJAB 152026, have changed my name and shall hereafter be known as GURPRIT KAUR LOTA

It is certified that I have complied with other legal requirements in this connection.

GURPRIT KAUR
[Signature (in existing old name)]

I hitherto known as MANDEEP KAUR DHILLON W/o SIMARDEEP SINGH D/o SUKHMINDER SINGH residing at Chak Shau Faridkot, Punjab-151203, have changed my name and shall hereafter be known as MANDEEP KAUR

It is certified that I have complied with other legal requirements in this connection.

MANDEEP KAUR DHILLON
[Signature (in existing old name)]

I hitherto known as MANPREET KAUR SIDHU W/o GURPREET SINGH SIDHU, residing at Near Kothe Niher Smalsar, Distt Moga, Punjab-142049, have changed my name and shall hereafter be known as MANPREET KAUR.

It is certified that I have complied with other legal requirements in this connection.

MANPREET KAUR SIDHU
[Signature (in existing old name)]

I hitherto known as GURPREET SINGH SIDHU S/o GURDEEP SINGH SIDHU, residing at Kothe Smalsar, Smalsar, Distt Moga Punjab-142049, have changed my name and shall hereafter be known as GURPREET SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH SIDHU
[Signature (in existing old name)]

I hitherto known as GURPREET SINGH SAINI S/o BALKAR SINGH, residing at, Mahla Kalan Distt Moga, Punjab-142038, have changed my name and shall hereafter be known as GURPREET SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH SAINI
[Signature (in existing old name)]

I GURPREET SINGH SINDHU S/o GURDEEP SINGH SINDHU, residing at Kothe Smalsar, Smalsar, Distt. Moga, Punjab-142049, have changed the name of my minor son RAJDEEP SINGH SIDHU aged 10 years and he shall hereafter be known as RAJDEEP SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH SINDHU
[Signature of Guardian]

I hitherto known as HARJIT SINGH JOSAN S/o SURJAN SINGH, R/o VPO Chhapian Wali, Tehsil Malout, Sri Muktsar Sahib, Distt. Sri Muktsar Sahib, Punjab-152107, India, have changed my name and shall hereafter be known as HARJIT SINGH

It is certified that I have complied with other legal requirements in this connection.

HARJIT SINGH JOSAN
[Signature (in existing old name)]

I hitherto known as SANDEEP KAUR W/o GURMAIL SINGH, R/o Ward No.-2 Badhni Kalan, Tehsil Nihal Singh Wala, Distt. Moga-142037, Punjab, have changed my name and shall hereafter be known as SUKHJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

SANDEEP KAUR
[Signature (in existing old name)]

I hitherto known as SAWINDER KAUR JOSAN W/o HARJIT SINGH, R/o VPO Chhapian Wali, Tehsil Malout, Sri Muktsar Sahib, Distt. Sri Muktsar Sahib, Punjab-152107, India, have changed my name and shall hereafter be known as SAWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

SAWINDER KAUR JOSAN
[Signature (in existing old name)]

I hitherto known as MANINDER KAUR D/o HARBANS SINGH, R/o2521, Ward No. 17, Guru Nanak Nagar, Giddarbaha, Sri Muktsar Sahib, Punjab-152101, have changed my name and shall hereafter be known as SAGGU.

It is certified that I have complied with other legal requirements in this connection.

MANINDER KAUR
[Signature (in existing old name)]

I hitherto known as RUPINDER KAUR W/o LAKHWINDER SINGH, R/o #7, Sandhu Patti, Rukhala, Punjab-152031, have changed my name and shall hereafter be known as PARMINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

RUPINDER KAUR
[Signature (in existing old name)]

I, hitherto known as PARBHJINDER SINGH DHALIWAL S/o IQBAL SINGH, R/o VPO Wara Bhaika, Tehsil Jaitu, Distt. Faridkot-151205, Punjab, have changed my name and shall hereafter be known as PARBHJINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

PARBHJINDER SINGH DHALIWAL
[Signature (in existing old name)]

I hitherto known as MANDEEP KAUR TAKKER W/o RAHUL CHOPRA, R/o H.No.-E-10-1269, Ward No.-6, Kali Sarak, Extn. Basant Vihar, Bahadarke, Ludhiana- 141008, Punjab, have changed my name and shall hereafter be known as DIVANSHI CHOPRA.

It is certified that I have complied with other legal requirements in this connection.

MANDEEP KAUR TAKKER
[Signature (in existing old name)]

I hitherto known as JASBIR KAUR W/o SARBJEET SINGH BHULLAR, R/o Vill: Kandhwala Hazar Khan, Distt. Fazilka, Punjab-152124, have changed my name and shall hereafter be known as JASVEER KAUR BHULLAR.

It is certified that I have complied with other legal requirements in this connection.

JASBIR KAUR
[Signature (in existing old name)]

I hitherto known as KARMIJIT SINGH S/o BALDEV SINGH, R/o VPO Lohgarh, Distt. Ferozepur, Punjab-142042, have changed my name and shall hereafter be known as KARAMJEET SINGH.

It is certified that I have complied with other legal requirements in this connection.

KARMIJIT SINGH
[Signature (in existing old name)]

I hitherto known as CHANDERVATI D/o TEJA SINGH W/o IQBAL SINGH, R/o Vill: Tarpalke, Distt. Fazilka, Punjab-152024, have changed my name and shall hereafter be known as CHANDERWANTI.

It is certified that I have complied with other legal requirements in this connection.

CHANDERVATI
[Signature (in existing old name)]

I hitherto known as MEENA RANI W/o TARSEM SINGH, R/o H.No.65, Dharamshala Wali Gali Bari Hari Urf Harike Kalan, Distt. Sri Muktsar Sahib Punjab-152025, have changed my name and shall hereafter be known as MANDEEP KAUR.

It is certified that I have complied with other legal requirements in this connection.

MEENA RANI
[Signature (in existing old name)]

I hitherto as MANJID KAUR W/o KARNAIL SINGH, R/o H. No. 224, Langroya Nawanshahr, Tehsil Nawanshahr, Distt. S.B.S. Nagar, Punjab-144516, India, have changed my name and shall hereafter be known as MANJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

MANJID KAUR
[Signature (in existing old name)]

I hitherto known NEENA GARG W/o MANDEEP KUMAR, residing at Subash Nagar W. No 16, Giddarbaha, Distt. Sri Muktsar Sahib, Punjab, have changed my name and shall hereafter be known as NEENA

It is certified that I have complied with other legal requirements in this connection.

NEENA GARG
[Signature (in existing old name)]

I hitherto known as LOVEPREET SINGH SIDHU S/o NISHAN SINGH, R/o Vill: Bhadru, Distt. Ferozepur, Punjab-152002, have changed my name and shall hereafter be known as LOVEPREET SINGH.

It is certified that I have complied with other legal requirements in this connection.

LOVEPREET SINGH SIDHU
[Signature (in existing old name)]

I hitherto known as JASKARAN SINGH SANDHU S/o KULWANT SINGH, R/o Ward No.-1 Near Gurdawara Singh Sabha Bariwala, Distt. Sri Muktsar Sahib, Punjab-152025, have changed my name and shall hereafter be known as JASKARAN SINGH.

It is certified that I have complied with other legal requirements in this connection.

JASKARAN SINGH SANDHU
[Signature (in existing old name)]

I hitherto known as GURPREET SINGH BANGAR S/o KARNAIL SINGH, R/o VPO Kishanpura Tehsil Nawanshahr, Distt. S.B.S. Nagar, Punjab-144516, have changed my name and shall hereafter be known as GURPREET SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH BANGAR
[Signature (in existing old name)]

I hitherto known MANDEEP KUMAR GARG S/o NARINDER MOHAN, residing at Subhash Nagar W. No. 16, Giddarbaha, Distt. Sri Muktsar Sahib, Punjab, have changed my name and shall hereafter be known as MANDEEP KUMAR.

It is certified that I have complied with other legal requirements in this connection.

MANDEEP KUMAR GARG
[Signature (in existing old name)]

I hitherto known asINDERJEET KAUR W/o MUKHTIAR SINGH R/o Vill: Pandori Araian, Distt. Moga, Punjab-142042, have changed my name and shall hereafter be known as GURDIP KAUR.

It is certified that I have complied with other legal requirements in this connection.

INDERJEET KAUR
[Signature (in existing old name)]

I hitherto known as PRACHI ABROL D/o BHARAT ABROL W/o MANIK SETIA, R/o H.No.1597 Street Dr. Mohan Singh Fazilka, Distt. Fazilka, Punjab-152123, have changed my name and shall hereafter be known as PRACHI SETIA.

It is certified that I have complied with other legal requirements in this connection.

PRACHI ABROL
[Signature (in existing old name)]

I hitherto known as PITAMBER LAL @ PITAMBER LAL VAISH S/o Late Sh. BABU RAM VAISH, R/o 31, Top Floor, Vijay Block, Laxmi Nagar, Shakarpur, East Delhi, Delhi-110092, have changed my name and shall hereafter be known as PITAMBER LAL VAISH.

It is certified that I have complied with other legal requirements in this connection.

PITAMBER LAL @ PITAMBER LAL VAISH
[Signature (in existing old name)]

I hitherto known as VARINDER KUMARI alias VINDRA RANI D/o KISHORI LAL W/o BALVIR CHAND, residing at V.P.O.-Kot Fatuchi, Distt.-Hoshiarpur, Punjab-144519, have changed my name and shall hereafter be known as VINDRA RANI.

It is certified that I have complied with other legal requirements in this connection.

VARINDER KUMARI alias VINDRA RANI
[Signature (in existing old name)]

I hitherto known as RAJWINDER KAUR W/o LAKHA SINGH GILL, residing at Shahib Zada, Zazar Singh Nagar, Telianwal Aliwal Road, Batala, Gurdaspur, Punjab-143505, have changed my name and shall hereafter be known as RAJWINDER KAUR GILL.

It is certified that I have complied with other legal requirements in this connection.

RAJWINDER KAUR
[Signature (in existing old name)]

I hitherto known as SUKHDEV SINGH S/o CHIRANJI LAL, R/o Rajada Patti, VPO- Khai, District Moga, Punjab-142046, have changed my name and shall hereafter be known as SUKHDEV SHARMA.

It is certified that I have complied with other legal requirements in this connection.

SUKHDEV SINGH
[Signature (in existing old name)]

I hitherto known as ROHIT KUMAR S/o Sh. VED PRAKASH, residing at UG-2, Plot No.-182, Sector-1, Vaishali, Near Max Hospital, I.E. Sahibabad, Ghaziabad, Uttar Pradesh-201010, have changed my name and shall hereafter be known as ROHIT NEGI.

It is certified that I have complied with other legal requirements in this connection.

ROHIT KUMAR
[Signature (in existing old name)]

I hitherto known as GURJIT SIDHU S/o HARBANS SINGH, residing at V.P.O.-Nasrula, District-Hoshiarpur, Punjab-146022, have changed my name and shall hereafter be known as GURJIT SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURJIT SIDHU
[Signature (in existing old name)]

I hitherto known as AKASHDEEP alias AKASHDEEP SINGH S/o JASWINDER SINGH, residing at Street No-1/4, Captan Colony, Rahon Road, Meharban, Ludhiana, Punjab-141007, have changed my name and shall hereafter be known as AKASHDEEP SINGH.

It is certified that I have complied with other legal requirements in this connection.

AKASHDEEP alias AKASHDEEP SINGH
[Signature (in existing old name)]

I hitherto known as JAGROOP SINGH S/o DARSHAN SINGH, residing at Ward No-10, Basti Mudh, Mallanwala, Ferozepur, Punjab-152021, have changed my name and shall hereafter be known as ROOP SINGH.

It is certified that I have complied with other legal requirements in this connection.

JAGROOP SINGH
[Signature (in existing old name)]

I, AMRISH DOGRA S/o MAHENDER SINGH, R/o A-8/12, Krishna Nagar, Delhi-110051, have changed the name of my minor son AYUSH DOGRA aged 15 years and he shall hereafter be known as VIHAAN DOGRA.

It is certified that I have complied with other legal requirements in this connection.

AMRISH DOGRA
[Signature of Guardian]

I hitherto known as JAGSHIR SINGH S/o JARNAIL SINGH, R/o Vpo- Khai, Ps and Tehsil Nihal Singh Wala, District- Moga, Punjab- 142046, have changed my name and shall hereafter be known as JAGSIR SINGH.

It is certified that I have complied with other legal requirements in this connection.

JAGSHIR SINGH
[Signature (in existing old name)]

I hitherto known as GAURAV JOLLY S/o LEKH RAJ SHARMA, residing at H.No-489, Ward no-13, Model Town, Shahkot, Jalandhar, Punjab-144702, have changed my name and shall hereafter be known as GAURAV SHARMA.

It is certified that I have complied with other legal requirements in this connection.

GAURAV JOLLY
[Signature (in existing old name)]

I, AJAY KUMAR GUPTA S/o Sh. DWARIKA PRASHAD BHAGAT, residing at Flat No-C-308, DJA Apartments, Plot No-1A, Sector-13, Dwarka, South West Delhi, Delhi-110078 have changed the name of my minor son ARNAVE KUMAR GUPTA aged 13 years and he shall hereafter be known as ARNAV KUMAR GUPTA.

It is certified that I have complied with other legal requirements in this connection.

AJAY KUMAR GUPTA
[Signature of Guardian]

I hitherto known as ASHA RANI W/o Sh. HARISH TULI, residing at H.No-65, Beant Nagar, P.O-P.A.P. Lines, PS. Sadar, Jalandhar, Punjab-144006 have changed my name and shall hereafter be known as ASHA TULI.

It is certified that I have complied with other legal requirements in this connection.

ASHA RANI
[Signature (in existing old name)]

I hitherto known as GAUTAM RAM S/o KARU RAM, residing at Indiragram, P.O. A. G. Bazar, Thana- Kursela, Katihar, Ayodhya Ganj Bazar, Bihar-854101, have changed my name and shall hereafter be known as GOVIND KUMAR.

It is certified that I have complied with other legal requirements in this connection.

GAUTAM RAM
[Signature (in existing old name)]

I hitherto known as TEJINDER SINGH S/o IQBAL SINGH, residing at Village- Gandhar, Gander Teshil & District- Sri Muktsar Sahib, have changed my name and shall hereafter be known as TEJINDER SINGH DHALIWAL.

It is certified that I have complied with other legal requirements in this connection.

TEJINDER SINGH
[Signature (in existing old name)]

I hitherto known as MUNI DEVI D/o CHANDER BHAN SHARMA W/o GANGA SHARAN GAUR, R/o 2, Old Anrakali, Krishna Nagar, Delhi-110051, have changed my name and shall hereafter be known as MADHU GAUR for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

MUNI DEVI
[Signature (in existing old name)]

I, SHARMILA DEVI W/o Sh. RAMA NAND, residing at V.P.O- Gokalgarh, Tehsil & Distt- Rewari, Haryana-123401 have changed the name of my minor son TWINKLE aged 13 years and he shall hereafter be known as SHIVAM

It is certified that I have complied with other legal requirements in this connection.

SHARMILA DEVI
[Signature of Guardian]

I hitherto known as GARIMA KUMARI D/o Sh. RAJESHWAR PRASAD SINGH W/o Sh. RAJEEV THAKUR, R/o 76, Jai Apartments, 102, I.P. Extension, Patparganj, Delhi-110092, have changed my name and shall hereafter be known as GARIMA THAKUR.

It is certified that I have complied with other legal requirements in this connection.

GARIMA KUMARI
[Signature (in existing old name)]

I hitherto known as SHRUTI ARORA alias MONIKA ARORA alias MONIKA GUPTA D/o Sh. VIJAY KUMAR GUPTA, W/O ASHOK ARORA, residing at B-10/130, Sector-3, Rohini, Rohini Sector-7, Delhi-110085, have changed my name and shall hereafter be known as SHRUTI ARORA.

It is certified that I have complied with other legal requirements in this connection.

SHRUTI ARORA alias MONIKA ARORA alias MONIKA GUPTA
[Signature (in existing old name)]

I, GURPREET SINGH BAKSHI S/o JASBIR SINGH BAKSHI, R/o J-99, Rajouri Garden, New Delhi-110027, have changed the name of my minor daughter GURMEHAK BAKSHI aged 14 years and she shall hereafter be known as GURMEHAK KAUR BAKSHI.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH BAKSHI
[Signature of Guardian]

I hitherto known as RAJU alias LAJVINDER SINGH S/o KHARETI LAL, residing at Opposite 6/3, Gurunanak Pura, Kacha Camp, Panipat, Haryana-132103, have changed my name and shall hereafter be known as RAJU MADAN.

It is certified that I have complied with other legal requirements in this connection.

RAJU alias LAJVINDER SINGH
[Signature (in existing old name)]

I hitherto known as RASHPAL SINGH GHATORA S/o JASWANT SINGH, residing at H.No-383, Kotkapura Road, Jaito, Faridkot, Punjab-151202, have changed my name and shall hereafter be known as RASHPAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

RASHPAL SINGH GHATORA
[Signature (in existing old name)]

I hitherto known as MOHIT son of Sh. RAJKUMAR SAINI, residing at G-235, Gali No.7, Shiv Mandir Road, Saroop Nagar, Delhi-110042, have changed my name and shall hereafter be known as MOHIT SAINI.

It is certified that I have complied with other legal requirements in this connection.

MOHIT
[Signature (in existing old name)]

I hitherto known as ADITYA KUMAR S/o VIKRAM MALHOTRA, residing at 30/12A, West Patel Nagar, New Delhi-110008, have changed my name and shall hereafter be known as ADITH MALHOTRA.

It is certified that I have complied with other legal requirements in this connection.

ADITYA KUMAR
[Signature (in existing old name)]

I hitherto known as SAMIR WADHVA S/o KRISHAN KUMAR WADHVA, residing at 16/6, First Floor, Road No-16, Punjabi Bagh Extension, Delhi-110026, have changed my name and shall hereafter be known as SAMMIR WADHVA.

It is certified that I have complied with other legal requirements in this connection.

SAMIR WADHVA
[Signature (in existing old name)]

I hitherto known as RAGHAV KUMAR SHARMA S/o Shri DESH DEEPAK SHARMA, R/O H No. 7/211 Ramesh Nagar, New Delhi 110015, have changed my name and shall hereafter be known as RAGHAV SHARMA.

It is certified that I have complied with other legal requirements in this connection.

RAGHAV KUMAR SHARMA
[Signature (in existing old name)]

I hitherto known as SWATI W/o ISHPREET SINGH SETHI, R/o M-503, Second Floor, Guru Harkishan Nagar, Delhi-110087, have changed my name and shall hereafter be known as SWATI SETHI.

It is certified that I have complied with other legal requirements in this connection.

SWATI
[Signature (in existing old name)]

I, SARVAJIT SINGH S/o AMARJEET SINGH, residing at B-23, Gujranwala Apartments, J- Block, Vikas Puri, Tilak Nagar S.O, West Delhi, Delhi-110018, have changed the name of my minor daughter ANGEL KALRA aged 14 years and she shall hereafter be known as ANGEL KAUR KALRA.

It is certified that I have complied with other legal requirements in this connection.

SARVAJIT SINGH
[Signature of Guardian]

I hitherto known as RAJNI KANT S/o CHUNI LAL, residing at Village Bhour, Post Office Karkot, Teh- Bhoranj, Distt-Hamirpur, HP-176048, have changed my name and shall hereafter be known as RAZY ANGARIA.

It is certified that I have complied with other legal requirements in this connection.

RAJNI KANT
[Signature (in existing old name)]

I hitherto known as PRATEEK THAPAR son of Sh. ASHWANI THAPAR, residing at DU-165, Second Floor, Pitampura, Delhi - 110034, have changed my name and shall hereafter be known as PRATIKK THAPAR.

It is certified that I have complied with other legal requirements in this connection.

PRATEEK THAPAR
[Signature (in existing old name)]

I, V. BHAVANI W/o VENKATESWARAN GOMATHI SANKAR, residing at 148, United India Apartment Mayur Vihar, Phase-I, Delhi-110091, have changed the name of my minor daughter G.MATHANGI aged 13 years and she shall hereafter be known as MATHANGI SHANKAR.

It is certified that I have complied with other legal requirements in this connection.

V. BHAVANI
[Signature of Guardian]

I hitherto known as INDUMATHI KARTHIK D/o SOURIRAJAN SAMPATH, W/o KARTHIKEYAN SRINIVASAN, residing at 208, Nirman Apartments, Mayur Vihar, Phase-I, Delhi-110091, have changed my name and shall hereafter be known as INDU KARTHIK.

It is certified that I have complied with other legal requirements in this connection.

INDUMATHI KARTHIK
[Signature (in existing old name)]

I hitherto known as TUSHAR ANAND son of Sh. YOGENDRA NARAYAN JHA, residing at H.N. 606, Tower C G 3, Supertak Captoen, Sec-74, Supertech Capetown, P.S.- Sec-49, Noida, Tehsil- Dadri, District- Gautam Buddha Nagar, UP-201304, have changed my name and I shall hereafter be known as TUSHAR JHA.

It is certified that I have complied with other legal requirements in this connection.

TUSHAR ANAND
[Signature (in existing old name)]

I hitherto known as Dr. ASHOK KUMAR SHARMA S/o Late Shri M.L. SHARMA, R/o House No. 60-A, Vishal Colony, Nangloi, Nilothi, West District, Delhi-110041, have changed my name and shall hereafter be known as Dr. ASHOK SHARMA.

It is certified that I have complied with other legal requirements in this connection.

ASHOK KUMAR SHARMA
[Signature (in existing old name)]

I, VINAMRA S/o HARI PRAKASH SHARMA, residing at B-372, Prem Vihar, Khora, Khora Gaon, Ghaziabad, UP-201309, have changed the name of my minor daughter AKARSHNI SHARMA aged 4 years and she shall hereafter be known as PAVIKA SHARMA.

It is certified that I have complied with other legal requirements in this connection.

VINAMRA
[Signature of Guardian]

I hitherto known as SURABH KUMAR S/o Sh. RANJEET SINGH, R/o 244 A, KH No.1754, Street No. 4, Asola Housing Complex, Near Guruji Ashram, Fateh Pur Beri New Delhi - 110074, have changed my name and shall hereafter be known as SIDDHARTH SINGH.

It is certified that I have complied with other legal requirements in this connection.

SURABH KUMAR
[Signature (in existing old name)]

I hitherto known as JASWINDER MINHAS S/o MOHAN SINGH, residing at 544, Street No-3, Mohalla Subash Nagar, Hoshiarpur, Punjab-146001, have changed my name and shall hereafter be known as JASWINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

JASWINDER MINHAS
[Signature (in existing old name)]

I hitherto known as CHARANJIT KAUR W/o JASWINDER SINGH, residing at B-22/544, Street No-3, Subash Nagar, Hoshiarpur, Punjab-146001, have changed my name and shall hereafter be known as REETA THAKUR.

It is certified that I have complied with other legal requirements in this connection.

CHARANJIT KAUR
[Signature (in existing old name)]

I hitherto known as CHEMPU S/o AVTAR CHAND, residing at V.P.O-Garle Dhaha, Distt-SBS Nagar [Nawanshahr], Punjab-144526, have changed my name and shall hereafter be known as CHAMAN RALH.

It is certified that I have complied with other legal requirements in this connection.

CHEMPU
[Signature (in existing old name)]

I hitherto known as MALINGPAM NG alias MALINGPAM NGALUNG S/o NGAREOPHUNG NGALUNG residing at Village- Tongou/Ringui, Distt- Ukhrul, Manipur- 795142, have changed my name and shall hereafter be known as MALINGPAM NGALUNG.

It is certified that I have complied with other legal requirements in this connection.

MALINGPAM NG alias MALINGPAM NGALUNG
[Signature (in existing old name)]

I hitherto known as PARAMJIT SINGH alias PARAMJEET SINGH S/o Sh. GURMUKH SINGH, residing at G-18/10A, Rajouri Garden, New Delhi-110027, have changed my name and shall hereafter be known as PARAMJIT SINGH UPPAL.

It is certified that I have complied with other legal requirements in this connection.

PARAMJIT SINGH alias PARAMJEET SINGH
[Signature (in existing old name)]

I hitherto known as ROHIT S/o Shri ASHOK KUMAR, R/o B-3/63-64, Second Floor, Sector-11, Rohini, Delhi-110085, have changed my name and shall hereafter be known as ROHIT SEHRAWAT.

It is certified that I have complied with other legal requirements in this connection.

ROHIT
[Signature (in existing old name)]

I hitherto known as OM PARKASH @ OM PRAKASH KHARBANDA S/o Sh. SUNDAR DASS, R/o 889/8, Mehrauli, South Delhi, Delhi-110030, have changed my name and shall hereafter be known as OM PRAKASH KHARBANDA.

It is certified that I have complied with other legal requirements in this connection.

OM PARKASH @ OM PRAKASH KHARBANDA
[Signature (in existing old name)]

I hitherto known as BEER PAL son of Late Sh. RAMFAL, R/o Gaon-Tigaon, Tigaon(95), Faridabad, Tigaon, Haryana-121101, have changed my name and shall hereafter be known as VIRPAL NAGAR.

It is certified that I have complied with other legal requirements in this connection.

BEER PAL
[Signature (in existing old name)]

I, RADHA KRISHAN son of SONPAL SINGH, residing at B-29, Jagat Puri, Delhi-110051, have changed the name of my minor son ARNAV, aged 14 years and he shall hereafter be known as ARNAV KUMAR.

It is certified that I have complied with other legal requirements in this connection.

RADHA KRISHAN
[Signature of Guardian]

I hitherto known as PREM LAL S/o PRITU RAM, resident of VPO Chak Bilgan, Tehsil Banga, District Shahid Bhagat Singh Nagar, Punjab, India, Pincode-144504, have changed my name and shall hereafter be known as PREM SINGH.

It is certified that I have complied with other legal requirements in this connection.

PREM LAL
[Signature (in existing old name)]

I hitherto known as SWIKRITI daughter of SHASHI SHEKHAR MISHRA, employed as Interaction Designer-II in the Aricent Technologies, residing at Flat No. 206, Sai Tulip Apartment, Arra Garden Road, Khajpura, Near Jagdeo Path, B V College, Patna-800014, have changed my name and shall hereafter be known as SWIKRITI MISHRA.

It is certified that I have complied with other legal requirements in this connection.

SWIKRITI
[Signature (in existing old name)]

I hitherto known as PAL SINGH S/o TARA SINGH, R/o H. No. 360, Lal singh Wali Gali, Basti Gobindgarh, Moga, Punjab, Ind-142001, have changed my name and shall hereafter be known as JASPAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

PAL SINGH
[Signature (in existing old name)]

I hitherto known as PHOOL CHAND son of Shri RAM SHANKAR, employed as Office Assistant in the ABT Control Room, Commercial Unit, 6th Floor, Shakti Bhawan, 14 Ashok Marg, Hazratganj, Lucknow, residing at 882/98, Narayanpuri, Krishna Nagar, Kanpur Road, Lucknow, have changed my name and shall hereafter be known as PHOOL CHAND VERMA.

It is certified that I have complied with other legal requirements in this connection.

PHOOL CHAND
[Signature (in existing old name)]

I hitherto known as MANASYU RISAN DODIYA son of RISAN N DODIYA, employed as Senior Auditor in the Office of the Principal Accountant General (E&RSA) Gujarat, Audit Bhavan , Navrangpura, Ahmedabad-380009, residing at B/124, Jaybhanu Society, Near Sompurawadi, Chanakyapuri, Ghatlodiya, Ahmedabad-380061, Gujarat, have changed my name and shall hereafter be known as MANASVI RISAN KOTHARI.

It is certified that I have complied with other legal requirements in this connection.

MANASYU RISAN DODIYA
[Signature (in existing old name)]

I hitherto known as B. SHEELA TILAK wife of MAHABALA TILAK, resident of #1-3-127, Beedubailu, Koppalakadu, Mangalore, Dakshina Kannada, Konchady, Karnataka-575008, have changed my name and shall hereafter be known as SHEELA KALEYATHODI.

It is certified that I have complied with other legal requirements in this connection.

B. SHEELA TILAK
[Signature (in existing old name)]

I hitherto known as VISHALI daughter of JANAK RAJ, employed as Officer, Scale I in the Canara Bank, residing at H. No. 2047 B, Block-21, Sector-63, Chandigarh, have changed my name and shall hereafter be known as MEHAK BANSAL.

It is certified that I have complied with other legal requirements in this connection.

VISHALI
[Signature (in existing old name)]

I hitherto known as ANADI RAI S/o GULSHAN RAI GUPTA, employed as Manager in NTPC Limited, NTPC Bhawan, SCOPE Complex, Institutional Area, Lodhi Road, New Delhi-110003, residing at 1702, Tower-6, Panchsheel Wellington, Crossings Republik, Ghaziabad, UP-201016, have changed my name and shall hereafter be known as ANADI RAI GUPTA for all purposes.

It is certified that I have complied with other legal requirements in this connection.

ANADI RAI
[Signature (in existing old name)]

I hitherto known as PARWINDER KAUR wife of CHARANJIT SINGH, residing at VPO Daduwal, Teh. Phillaur, District Jalandhar-144032, Punjab, India, have changed my name and shall hereafter be known as PARWINDERJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

PARWINDER KAUR
[Signature (in existing old name)]

I hitherto known as NASIRUDDIN AHMED son of HAJI MD EMAJUDDIN, employed as service in the Neurotech Polyclinic cum Diagnostic Centre, residing at Vill. + P.O.- Kaliachak (Near Bridge), Distt.-Malda, Pin-732201, West Bengal, have changed my name and shall hereafter be known as NASIR AHMED.

It is certified that I have complied with other legal requirements in this connection.

NASIRUDDIN AHMED
[Signature (in existing old name)]

I hitherto known as NEETU RAJPUT daughter of RAGHUNATH SINGH wife of HARBHAGWAN SINGH, residing at Main Bazar, Majitha, Distt. Amritsar-143601, Punjab, have changed my name and shall hereafter be known as SIMRAN KAUR.

It is certified that I have complied with other legal requirements in this connection.

NEETU RAJPUT
[Signature (in existing old name)]

I hitherto known as RAVINDER KUMAR son of BANARSI LAL, residing at 6166/20, Gali No. 14, Nawan Kot, Amritsar-143001, Punjab, have changed my name and shall hereafter be known as RAVINDER KUMAR SHARMA.

It is certified that I have complied with other legal requirements in this connection.

RAVINDER KUMAR
[Signature (in existing old name)]

I hitherto known as GURMIT KAUR wife of JOGINDER SINGH, employed as Dafri in Punjab National Bank, Branch Patti, Distt. Tarn Taran, Punjab, residing at Vill. Naushehra Pannuan, Tehsil and Distt. Tarn Taran-143401, Punjab, have changed my name and shall hereafter be known as GURMEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

GURMIT KAUR
[Signature (in existing old name)]

I hitherto known as KANURI VEERA VENKATA SATYA DURGAPRASADA RAO alias SATYA KANURI son of VENKATESWARARAO, Private Employee, R/o H. No. 2-174, Pillavari veedhi, Muramalla, I. Polavaram, East Godavari District-533220, Andhra Pradesh, have changed my name and shall hereafter be known as KANURI VEERA VENKATA SATYA DURGAPRASADA RAO.

It is certified that I have complied with other legal requirements in this connection.

KANURI VEERA VENKATA SATYA DURGAPRASADA
RAO alias SATYA KANURI
[Signature (in existing old name)]

I hitherto known as PARAMPRA SONU daughter of Dr. RAJESH KUMAR BERI, residing at Village-Jawahar Jyoti, Damuadhunga, Talla plot near Jagnath Colony, Post-Kathgodam, Tehsil-Haldwani, Distt.-Nainital, Uttarakhand, have changed my name and shall hereafter be known as PARAMPARA BERI.

It is certified that I have complied with other legal requirements in this connection.

PARAMPRA SONU
[Signature (in existing old name)]

I hitherto known as PHOOLCHAND, PHOOLCHAND KESHAVRAM and PHOOLCHAND MAURYA son of Late KESHAV RAM, employed as Senior Section Engineer (Electrical)/Railway Electrification Danapur, permanent residing at Village-Kantalpur (Hankaripar), Thana & P.O. Atraulia, Tehsil-Burhanpur, District-Azamgarh (U.P.), Pin Code-223223, have changed my name and shall hereafter be known as PHOOLCHAND MAURYA.

It is certified that I have complied with other legal requirements in this connection.

PHOOLCHAND, PHOOLCHAND KESHAVRAM and
PHOOLCHAND MAURYA
[Signature (in existing old name)]

I hitherto known as NEELAM PRABHA, SUNITA KUMARI alias BABY daughter of DIAL SHARAN and wife of RAVINDER KUMAR SHARMA, residing at 6166/20, Gali No. 14, Nawan Kot, Amritsar-143001, Punjab, have changed my name and shall hereafter be known as SUNITA SHARMA.

It is certified that I have complied with other legal requirements in this connection.

NEELAM PRABHA, SUNITA KUMARI alias BABY
[Signature (in existing old name)]

I hitherto known as RAJWINDER KAUR wife of AMARDEEP SINGH, residing at VPO Raipur Khurd, PO Jabbawal, Tehsil Baba Bakala Sahib, Distt. Amritsar-143116,

Punjab, have changed my name and shall hereafter be known as RAJWANT KAUR.

It is certified that I have complied with other legal requirements in this connection.

RAJWINDER KAUR
[Signature (in existing old name)]

I hitherto known as DHAIRYA MAMNIA @ DHAIRYA JAYESH MAMNIA @ DHAIRYA J MAMNIA son of JAYESH MAMNIA, residing at H. No. 3-4-376/1, Basanth Colony, Kachiguda, Hyderabad-500027, Telangana, have changed my name and shall hereafter be known as ABHAI RAAJ MAMNIA.

It is certified that I have complied with other legal requirements in this connection.

DHAIRYA MAMNIA @ DHAIRYA JAYESH MAMNIA
@ DHAIRYA J MAMNIA
[Signature (in existing old name)]

I hitherto known as KIRAN SURESH KOLHE daughter of Shri SURESH KOLHE wife of SALLAUDDIN SHAIKH, employed as a Technician 'B' of Board of Radiation & Isotope Technology, Department of Atomic Energy, Government of India, BRIT/BARC, Vashi Complex, Navi Mumbai-400703, residing at H.P. Colony, New Bharat Nagar, Vashinaka, Chembur, Mumbai-400074, have changed my name and shall hereafter be known as SHIFAYA SALLAUDDIN SHAIKH.

It is certified that I have complied with other legal requirements in this connection.

KIRAN SURESH KOLHE
[Signature (in existing old name)]

I hitherto known as SHABNAM THAPER D/o BHUSHAN THAPER W/o DAVID SONDHIL, residing at H. No.-1091, Mohalla Rishi Nagar, Nakodar, Jalandhar, Punjab-144040, have changed my name and shall hereafter be known as SHABNAM THAPER SONDHIL.

It is certified that I have complied with other legal requirements in this connection.

SHABNAM THAPER
[Signature (in existing old name)]

I hitherto known as ASHWANI SINGH S/o ASHOK KUMAR SINGH, R/o 1/2952, Post Office Gali, Loni Road, Ramnagar, Shahdara, Delhi-110032, have changed my name and shall hereafter be known as ASHWANI KUMAR SINGH for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

ASHWANI SINGH
[Signature (in existing old name)]

I hitherto known as RAMBIR S/o BRAHM DUTT, residing at Village Rampur, Teh. Pataudi, Distt. Gurugram, Haryana, have changed my name and shall hereafter be known as RAMBIR SHARMA.

It is certified that I have complied with other legal requirements in this connection.

RAMBIR
[Signature (in existing old name)]

I hitherto known as GURDEV S/o PRITAM FAUJI, residing at Banwalipur, Kalasanghian, Kapurthala, Punjab-144623, have changed my name and shall hereafter be known as GURDEV SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURDEV
[Signature (in existing old name)]

I hitherto known as AMARJIT W/o RAM LUBHAYA, residing at Rahon Road, Vikas Nagar, Nawanshahr, Distt.-SBS Nagar, Punjab-144514, have changed my name and shall hereafter be known as AMARJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

AMARJIT
[Signature (in existing old name)]

I hitherto known as LAKHAN PAL SINGH son of Sh. SAUKAR SINGH, R/o RZ-2058/2, Sai Apartment, Tughlakabad Extension, New Delhi-110019, have changed my name and shall hereafter be known as LAKHAN SINGH SAJWAN.

It is certified that I have complied with other legal requirements in this connection.

LAKHAN PAL SINGH
[Signature (in existing old name)]

I hitherto known as PAWAN KUMAR S/o Sh. DARSHAN LAL SHARMA, resident at H. No.-181, W.No.-12, Kainthan, Dasuya, P.O. & Tehsil Dasuya, Distt. Hoshiarpur, Punjab, have changed my name and shall hereafter be known as PAWAN SHARMA.

It is certified that I have complied with other legal requirements in this connection.

PAWAN KUMAR
[Signature (in existing old name)]

I hitherto known as PREM PRAKASH S/o HUKAM SINGH, residing at 49, Budhpur, Post Office Alipur, Delhi-110036, have changed my name and shall hereafter be known as PREM PRAKASH SHARMA.

It is certified that I have complied with other legal requirements in this connection.

PREM PRAKASH
[Signature (in existing old name)]

I hitherto known as PRABHA RANI W/o DINESH KUMAR, residing at H. No.-1092/1, Old Bazar, Near Pawar House, Ludhiana-141008, Punjab, have changed my name and shall hereafter be known as KANCHAN.

It is certified that I have complied with other legal requirements in this connection.

PRABHA RANI
[Signature (in existing old name)]

I hitherto known as MANJIT KAUR wife of HARCHAND SINGH, residing at H. No. 296, Street No. 15, Krishna Nagar, Khanna, Ludhiana, Punjab-141401, have changed my name and shall hereafter be known as MANPREET KAUR.

It is certified that I have complied with other legal requirements in this connection.

MANJIT KAUR
[Signature (in existing old name)]

I hitherto known as S VIKRAM S/o Late Sh. SHEKHARAN, R/o S-126/431, K.D. Colony, Sector-12, R.K. Puram, New Delhi-110022, have changed my name and shall hereafter be known as SHEKHARAN VIKRAM.

It is certified that I have complied with other legal requirements in this connection.

S VIKRAM
[Signature (in existing old name)]

I hitherto known as V PARAMESWARI wife of DURAISAMY VISWANATHAN, R/o S-126/588, Kanak Durga Colony, Sector-12, R.K. Puram, New Delhi-110022, have changed my name and shall hereafter be known as PARAMESWARI VELLAIYAN.

It is certified that I have complied with other legal requirements in this connection.

V PARAMESWARI
[Signature (in existing old name)]

I hitherto known as JANTTA SINGH S/o GURMEJ SINGH, residing at V.P.O.-Gandiwind, Distt.-Tarn Taran, Punjab-143410, have changed my name and shall hereafter be known as GURJANT SINGH.

It is certified that I have complied with other legal requirements in this connection.

JANTTA SINGH
[Signature (in existing old name)]

I hitherto known as D VISWANATHAN son of Mr. P DURAISAMY, R/o S-126/588, Kanak Durga Colony, Sector-12, R. K. Puram, New Delhi-110022, have changed my name and shall hereafter be known as DURAISAMY VISWANATHAN.

It is certified that I have complied with other legal requirements in this connection.

D VISWANATHAN
[Signature (in existing old name)]

I hitherto known as MANDEEP KAUR W/o PARAMJIT SINGH, residing at V.P.O.-Bhatnura Labana, Distt.-Jalandhar, Punjab-144201, have changed my name and shall hereafter be known as PARMJEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

MANDEEP KAUR
[Signature (in existing old name)]

I hitherto known as V PANI MARY alias PANI MARY V daughter of VARGHESE, employed as Teacher in the Presentation Convent Sr. Sec. School, S. P. Mukherjee Marg, Delhi-110006, residing at Presentation Sisters, 2401, S P Mukherjee Marg, Red Fort, Delhi-110006, have changed my name and shall hereafter be known as CHITRA VARGHESE.

It is certified that I have complied with other legal requirements in this connection.

V PANI MARY alias PANI MARY V
[Signature (in existing old name)]

I, RAMJI S/o PUNNU RAM, residing at V.P.O.-Boparai Kalan, Tehsil-Nakoda Boparai, Jalandhar, Punjab-144630, have changed the name of my minor son HARMAN, aged 15 years and he shall hereafter be known as HARMAN KUMAR.

It is certified that I have complied with other legal requirements in this connection.

RAMJI
[Signature of Guardian]

I hitherto known as SARBRINDER SINGH son of Sh. HAZARA SINGH, residing at Village Vairawal Bawian, Teh. Khadur Sahib, Taran, Punjab-143118, have changed my name and shall hereafter be known as KARANDEEP SINGH.

It is certified that I have complied with other legal requirements in this connection.

SARBRINDER SINGH
[Signature (in existing old name)]

I hitherto known as SAKSHI SURESH PANDEY W/o SURESH CHANDRA PANDEY and D/o SURANDER MOHAN AGGARWAL, R/o H. No. C-2/173, Aya Nagar South Delhi, Delhi-110047, have changed my name and shall hereafter be known as SAKSHI AGGARWAL.

It is certified that I have complied with other legal requirements in this connection.

SAKSHI SURESH PANDEY
[Signature (in existing old name)]

I hitherto known as KARTIK S/o ANSU PRIYA ANATHANARAYANAN, R/o House No.-24-B, DDA Flats, Rajouri Garden, Delhi-110027, have changed my name and shall hereafter be known as KARTIK NARAYAN for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

KARTIK
[Signature (in existing old name)]

I hitherto known as RAVINDRA NATH ZUTSHI son of DWARKA NATH ZUTSHI, R/o A-204, Sector-22, Noida, Gautam Budh Nagar, Uttar Pradesh-201301, have changed my name and shall hereafter be known as RAVI ZUTSHI for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

RAVINDRA NATH ZUTSHI
[Signature (in existing old name)]

I, S VIKRAM S/o Late Sh. SHEKHARAN, R/o S-126/431, K. D. Colony, Sector-12, R. K. Puram, New Delhi-110022, have changed the name of my minor daughter V ARADHIYA, aged 07 years and she shall hereafter be known as ARADHIYA JYOTI.

It is certified that I have complied with other legal requirements in this connection.

S VIKRAM
[Signature of Guardian]

I hitherto known as MONIKA W/o PAWAN KUMAR DHINGRA, R/o Street No. 7-R, Dogar Basti, Faridkot, Distt. Faridkot, Punjab, India-151203, have changed my name and shall hereafter be known as SAPNA DHINGRA.

It is certified that I have complied with other legal requirements in this connection.

MONIKA
[Signature (in existing old name)]

I hitherto known as GURINDERPAL SINGH SAHOTA son of JAGROOP SINGH, residing at Village Sulhani, Ferozpur, Punjab-152002, have changed my name and shall hereafter be known as GURINDERPAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURINDERPAL SINGH SAHOTA
[Signature (in existing old name)]

I hitherto known as SHAKUNTALA RANI wife of Sh. KRISHAN LAL GROVER, residing at B-3/17, Mianwali Nagar, Paschim Vihar, New Delhi-110087, have changed my name and shall hereafter be known as SHAKUNTALA GROVER.

It is certified that I have complied with other legal requirements in this connection.

SHAKUNTALA RANI
[Signature (in existing old name)]

I hitherto known as KARMJIT KAUR wife of GURDEEP SINGH, residing at Village Holan Wali, P.O. Wara Waryam Singh, Zira, Ferozpur, Punjab-142050, have changed my name and shall hereafter be known as PARMJEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

KARMJIT KAUR
[Signature (in existing old name)]

I hitherto known as RAJ KAPOOR son of Sh. GULZARI LAL, residing at VPO Lohara Partapura, Distt. Jalandhar, Punjab-144026, have changed my name and shall hereafter be known as RAJ SAHOTA.

It is certified that I have complied with other legal requirements in this connection.

RAJ KAPOOR
[Signature (in existing old name)]

I hitherto known as DEVENDRA KUMAR S/o LAXMI NARAYAN, employed as Executive Engineer (Elect) in the

O/o CE, NDZ-VII, CPWD, Vidyut Bhawan, New Delhi, residing at 23/164, Lodhi Colony, New Delhi-110003, have changed my name and shall hereafter be known as DEVENDRA KUMAR SACHAN.

It is certified that I have complied with other legal requirements in this connection.

DEVENDRA KUMAR
[Signature (in existing old name)]

I, PUSHKAR GROVER S/o Late Sh. PHOOL KUMAR GROVER, residing at H. No.-350, Huda, Sector-11, Panipat, Haryana-132103, have changed the name of my minor son PRATHAM GROVER, aged 15 years and he shall hereafter be known as PADMAKSH GROVER.

It is certified that I have complied with other legal requirements in this connection.

PUSHKAR GROVER
[Signature of Guardian]

I hitherto known as GURPREET SINGH JAGDEVA @ GURPREET SINGH S/o Sh. HARJEET SINGH, residing at G-39, Mangal Bazar Road, Vishnu Garden, West Delhi, Delhi-110018, have changed my name and shall hereafter be known as GURPREET SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH JAGDEVA @ GURPREET SINGH
[Signature (in existing old name)]

I, AMIT DOGRA S/o Mr. PRAKASH CHAND DOGRA, R/o 333, Kamal Vihar Apartment, Plot No. 5, Sector-7, Dwarka, New Delhi-110075, have changed the name of my minor son AAKASH DOGRA, aged 13 years and he shall hereafter be known as STANZIN NORGYAS, I am changing my son's name only not Religion.

It is certified that I have complied with other legal requirements in this connection.

AMIT DOGRA
[Signature of Guardian]

I hitherto known as SONIA BASSAN D/o AVTAR SINGH BASSAN W/o BALJEET SINGH, residing at T-23, Vishnu Garden, West Delhi, Delhi-110018, have changed my name and shall hereafter be known as LAVDEEP KAUR.

It is certified that I have complied with other legal requirements in this connection.

SONIA BASSAN
[Signature (in existing old name)]

I, SWAPAN DAS S/o Sh. BIMAL CHANDER DASS, R/o H. No. 170, Shahpur Jat, South Delhi-110039, have changed the name of my minor daughter BRITHIKA DAS, aged about 09 years and she shall hereafter be known as NISHTHA DAS.

It is certified that I have complied with other legal requirements in this connection.

SWAPAN DAS
[Signature of Guardian]

I hitherto known as RAVENDRA KUMAR son of Shri ARJUN SINGH, employed as Sr. Section Engineer in the Electric Loco Shed, West Central Railway, Tuglakabad, New Delhi-110044, residing at 303/C-1, W C Rly Colony, Tuglakabad, New Delhi-44, have changed my name and shall hereafter be known as RAVENDRA KUMAR CHAUHAN.

It is certified that I have complied with other legal requirements in this connection.

RAVENDRA KUMAR
[Signature (in existing old name)]

I hitherto known as BUNTY S/o RAMA KANT, R/o D-62, Kh. No. 1194, Prem Nagar-2, Kirari Suleman Nagar, Delhi-110086, have changed my name and shall hereafter be known as PRASHANT PRAJAPATI for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

BUNTY
[Signature (in existing old name)]

I hitherto known as PRAMOD S/o HIRDAYANAND SHARMA, R/o Plot No.-156, Sector-5, Gali No.-3, Sainik Enclave, Mohan Garden, Uttam Nagar, Delhi-110059, have changed my name and shall hereafter be known as PRAMOD SHARMA for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

PRAMOD
[Signature (in existing old name)]

I hitherto known as PREMSHEELA C/o Sh. RAM CHANDRA YADAV, residing at House No.-114 S/F, Ambika Enclave, Kakrola, N.S.I.T. Dwarka, Delhi-110078, have changed my name and I shall hereafter be known as NISHA YADAV.

It is certified that I have complied with other legal requirements in this connection.

PREMSHEELA
[Signature (in existing old name)]

I hitherto known as LEENA KUMARI wife of Shri SEWAK RAM GABRA, residing at Flat No. 201, Santushti Apartments, Lashkar Gird, Gwalior, M.P.-474001, have changed my name and shall hereafter be known as KRISHNA GABRA.

It is certified that I have complied with other legal requirements in this connection.

LEENA KUMARI
[Signature (in existing old name)]

I hitherto known as TEJA SINGH son of SADHU SINGH, residing at VPO Mour Nau Abad, Tehsil Bagha Purana, Distt. Moga, Punjab-142057, have changed my name and shall hereafter be known as GURTEJ SINGH.

It is certified that I have complied with other legal requirements in this connection.

TEJA SINGH
[Signature (in existing old name)]

I hitherto known as CHHINDER KAUR W/o HARI DEV, R/o Dhuri (Sangrur), have changed my name and shall hereafter be known as SINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

CHHINDER KAUR
[Signature (in existing old name)]

I hitherto known as GURJIT KAUR BRAR D/o GURDEV SINGH W/o IQBAL SINGH, residing at V.P.O. Arayanwala Kalan, Distt. Faridkot, Punjab-151203, have changed my name and shall hereafter be known as AMARJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

GURJIT KAUR BRAR
[Thumb Impression]

I hitherto known as MAMTA W/o PAWAN KUMAR, R/o Village Machaki Khurd, District Faridkot, Punjab-151212, have changed my name and shall hereafter be known as SONIA.

It is certified that I have complied with other legal requirements in this connection.

MAMTA
[Signature (in existing old name)]

I hitherto known as RAVINDER SINGH SRAN son of MANJIT SINGH SRAN, residing at V.P.O. Sanher, Zira, Ferozepur, Punjab-152028, India, have changed my name and shall hereafter be known as RAVINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

RAVINDER SINGH SRAN
[Signature (in existing old name)]

I hitherto known as SATINDER SINGH ARORA son of MOHINI, employed as UDC in the Bureau of Indian Standards, residing at 7/140, Geeta Colony, Delhi-110031, have changed my name and shall hereafter be known as SATINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

SATINDER SINGH ARORA
[Signature (in existing old name)]

I hitherto known as RAJENDER KUMAR S/o B. R. KALRA, residing at 119, 2nd Floor, Satya Niketan, Moti Bagh-110021, have changed my name and shall hereafter be known as RAJENDER KUMAR KALRA.

It is certified that I have complied with other legal requirements in this connection.

RAJENDER KUMAR
[Signature (in existing old name)]

I hitherto known as DIMPAL S/o SANJAY SAINI, residing at H. No.-D A 287, Ward No.-1, Village-Pataudi, Gurgaon, Haryana-122503, have changed my name and shall hereafter be known as DAKSH SAINI.

It is certified that I have complied with other legal requirements in this connection.

DIMPAL
[Signature (in existing old name)]

I hitherto known as SARITA wife of RAJ KUMAR, residing at B-427, Ranjit Avenue, Near Baba Budha Sahib Park, Amritsar, Punjab, have changed my name and shall hereafter be known as PINKY CHOPRA.

It is certified that I have complied with other legal requirements in this connection.

SARITA
[Signature (in existing old name)]

I hitherto known as RANJIT SINGH son of AMAR NATH, residing at 1404-C, Patti Bhara Gali Ghumara Wali, Verka, District Amritsar, Punjab, have changed my name and shall hereafter be known as RANJIT KUMAR.

It is certified that I have complied with other legal requirements in this connection.

RANJIT SINGH
[Signature (in existing old name)]

I hitherto known as SHAHBAZ SINGH son of JAGDHIR SINGH, residing at VPO Gohalwar, Tehsil and District Tarn Taran, Punjab, have changed my name and shall hereafter be known as SHAHBAZ SINGH KHEHRA.

It is certified that I have complied with other legal requirements in this connection.

SHAHBAZ SINGH
[Signature (in existing old name)]

I hitherto known as RAJNI JAIN daughter of AMRIT KUMAR JAIN wife of CHANDAN JAIN, residing at 5637, Valmiki Chownk, Circular Road, Jandiala Guru, Distt. Amritsar, Punjab, have changed my name and shall hereafter be known as DIVYA JAIN.

It is certified that I have complied with other legal requirements in this connection.

RAJNI JAIN
[Signature (in existing old name)]

I hitherto known as JAGDIP SINGH son of LABH SINGH, residing at 5-A, Bhalla Colony, Chheharta, District Amritsar, Punjab, have changed my name and shall hereafter be known as JAGDEEP SINGH.

It is certified that I have complied with other legal requirements in this connection.

JAGDIP SINGH
[Signature (in existing old name)]

I, SUKHBIR SINGH SANDHU son of SARWAN SINGH, residing at VPO Pahuwind, Tehsil Pattim, District Tarn Taran, have changed the name of my minor daughter AANCHALSUKHJIT KAUR SANDHU, age 13 years and she shall hereafter be known as AANCHALSUKHJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

SUKHBIR SINGH SANDHU
[Signature of Guardian]

I hitherto known as SATWINDER SINGH RANDHAWA son of JAGROOP SINGH, residing at Sainsra Kalan, Tehsil Ajnala, District Amritsar, Punjab, have changed my name and shall hereafter be known as SATWINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

SATWINDER SINGH RANDHAWA
[Signature (in existing old name)]

I hitherto known as BIR SINGH son of DIDAR SINGH, residing at H. No. 41F-14/459, Phase-2, Guru Nanak Avenue, Majitha Road, District Amritsar, Punjab, have changed my name and shall hereafter be known as BIR SINGH RANDHAWA.

It is certified that I have complied with other legal requirements in this connection.

BIR SINGH
[Signature (in existing old name)]

I hitherto known as GOVINDER KAUR wife of BIR SINGH RANDHAWA, residing at H. No. 41F-14/459, Phase-2, Guru Nanak Avenue, Majitha Road, District Amritsar, Punjab, have changed my name and shall hereafter be known as GOVINDER KAUR RANDHAWA.

It is certified that I have complied with other legal requirements in this connection.

GOVINDER KAUR
[Signature (in existing old name)]

I hitherto known as JAGTAR SINGH MAAN son of JAGIR SINGH, residing at VPO Dhanoakalan, Tehsil Attari, District Amritsar, Punjab, have changed my name and shall hereafter be known as JAGTAR SINGH.

It is certified that I have complied with other legal requirements in this connection.

JAGTAR SINGH MAAN
[Signature (in existing old name)]

I hitherto known as SUDHIR KUMAR MEHTA son of RAMESHWAR DUTT, residing at H. No. M-2/3065-66, Gali No. 1-A, I/s Chattiwind Purani Chungi, Guru Nanak Colony, Tarn Taran Road, District Amritsar, Punjab, have changed my name and shall hereafter be known as SUDHIR KUMAR.

It is certified that I have complied with other legal requirements in this connection.

SUDHIR KUMAR MEHTA
[Signature (in existing old name)]

I hitherto known as GURJEET SINGH SANDHU son of BIKRAM SINGH, residing at Makhu Road, Nanak Nagri, Zira, District Ferozepur, Punjab-142047, have changed my name and shall hereafter be known as GURJEET SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURJEET SINGH SANDHU
[Signature (in existing old name)]

I hitherto known as CHAMKAUR SINGH SIDHU son of GURDEV SINGH, residing at V.P.O. Mansoor Deva, Tehsil Zira, District Ferozepur, Punjab-152028, have changed my name and shall hereafter be known as CHAMKAUR SINGH.

It is certified that I have complied with other legal requirements in this connection.

CHAMKAUR SINGH SIDHU
[Signature (in existing old name)]

I hitherto known as RAKESH VERMA wife of PAWAN VERMA, residing at Setia Muhala, W. No. 1, Malout, District Muktsar, Punjab, have changed my name and shall hereafter be known as RAKESH CHUGH.

It is certified that I have complied with other legal requirements in this connection.

RAKESH VERMA
[Signature (in existing old name)]

I hitherto known as VANDANA BAWA wife of SUNNY BAWA, residing at 1903 02, Bagh Rama Nand Bhushanpura, District Amritsar, Punjab, have changed my name and shall hereafter be known as NANCY BAWA.

It is certified that I have complied with other legal requirements in this connection.

VANDANA BAWA
[Signature (in existing old name)]

I hitherto known as GURDIAL SINGH TUNG son of KARAM SINGH, residing at Village Rikhia, P.O. Talwandi Lal Singh, Tehsil Batala, District Gurdaspur, Punjab, have changed my name and shall hereafter be known as GURDIAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURDIAL SINGH TUNG
[Signature (in existing old name)]

I hitherto known as RAJINDER SHARMA son of KEWAL KRISHAN SHARMA, residing at H. No. 5/6, Gali No. 1, Daya Nand Nagar, Lawrence Road, District Amritsar, Punjab, have changed my name and shall hereafter be known as RAJINDER KUMAR.

It is certified that I have complied with other legal requirements in this connection.

RAJINDER SHARMA
[Signature (in existing old name)]

I hitherto known as NISHAN SINGH AULAKH son of BALWINDER SINGH, residing at VPO Vaniake, Tehsil Ajnala, District Amritsar, Punjab, have changed my name and shall hereafter be known as NISHAN SINGH.

It is certified that I have complied with other legal requirements in this connection.

NISHAN SINGH AULAKH
[Signature (in existing old name)]

I hitherto known as HARMEET KAUR AULAKH wife of BALWINDER SINGH, residing at VPO Vaniake, Tehsil Ajnala, District Amritsar, Punjab, have changed my name and shall hereafter be known as HARMEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

HARMEET KAUR AULAKH
[Signature (in existing old name)]

I hitherto known as JASMEET KAUR wife of HARBHAL SINGH, residing at Village Bhoma, P.O. Wadala Viram, Tehsil and District Amritsar, Punjab, have changed my name and shall hereafter be known as SATWANT KAUR.

It is certified that I have complied with other legal requirements in this connection.

JASMEET KAUR
[Signature (in existing old name)]

I hitherto known as SONIA wife of MANJIT SINGH, residing at VPO Khalra, Tehsil Patti, District Tarn Taran, Punjab, have changed my name and shall hereafter be known as SONIA NAGI.

It is certified that I have complied with other legal requirements in this connection.

SONIA
[Signature (in existing old name)]

I hitherto known as MANGAL alias AMARJIT LAL son of SWARAN CHAND, residing at Vill. Khichipur, PO. Haripur, PS-Adampur, Distt. Jalandhar-144102, Punjab, have changed my name and shall hereafter be known as AMARJIT LAL.

It is certified that I have complied with other legal requirements in this connection.

MANGAL alias AMARJIT LAL
[Signature (in existing old name)]

I hitherto known as DARPAN wife of BHUPINDER KUMAR, residing at H. No. 467/18, Gali No. 6, Mohalla Abadpura, Jalandhar-144003, Punjab, have changed my name and shall hereafter be known as DARPAN KUMARI.

It is certified that I have complied with other legal requirements in this connection.

DARPAN
[Signature (in existing old name)]

I hitherto known as JEETENDRA KUMAR SHARMA son of TILAK RAJ, residing at H. No. 4, Modern Estate, Talhan Road, St. No. 1, Dakoha, Jalandhar-144023, Punjab, have changed my name and shall hereafter be known as JATINDER KUMAR SHARMA.

It is certified that I have complied with other legal requirements in this connection.

JEETENDRA KUMAR SHARMA
[Signature (in existing old name)]

I hitherto known as SATISH KUMAR S/o HARI CHAND, R/o H. No. A-42, Ujala Nagar, Basti Sheikh, Jalandhar, Punjab, Pin-144002, have changed my name and shall hereafter be known as SATISH CHUGH.

It is certified that I have complied with other legal requirements in this connection.

SATISH KUMAR
[Signature (in existing old name)]

I, HENA CHAKRABARTY wife of SUBRATA CHAKRABARTY, residing at Flat No.-208, Block-1, Express Garden, Vaibhav Khand, Plot No.-6, Indrapuram, Ghaziabad, UP-201014, have changed the name of my minor daughter KHUSHI alias POORVA CHAKRABARTY, aged 15 years and she shall hereafter be known as POORVA CHAKRABARTY.

It is certified that I have complied with other legal requirements in this connection.

HENA CHAKRABARTY
[Signature of Guardian]

I hitherto known as GURMIT S/o SWARN, Self employed, residing at Vill. Mouli, PO Abdullapur, Teh. Mukerian, Distt. Hoshiarpur, have changed my name and shall hereafter be known as GURMEET SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURMIT
[Signature (in existing old name)]

I hitherto known as DILBAG SINGH BRAR S/o DYAL SINGH BRAR, residing at Village Mahla Kalan, Distt. Moga, Punjab-142038, have changed my name and shall hereafter be known as DILBAG SINGH.

It is certified that I have complied with other legal requirements in this connection.

DILBAG SINGH BRAR
[Signature (in existing old name)]

I hitherto known as LAKHWINDER PAL SINGH son of HARBANS SINGH, residing at Village Badal Patti Rode, Distt. Moga, Punjab-142049, have changed my name and shall hereafter be known as LAKHWINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

LAKHWINDER PAL SINGH
[Signature (in existing old name)]

I hitherto known as SUVINDER son of Shri BHULE SINGH, employed as Deputy Commandant at 57th Bn SSB Sitarganj, Distt.-U.S. Nagar (Uttarakhand), Pin-262405, residing at Village Maval, PO Maval, Tehsil Syana, Distt. Bulandshahar, UP-203412 and B-35, Gali No.-12, Village Wazirabad, Delhi-84, have changed my name and shall hereafter be known as SUVINDER AMBAWAT.

It is certified that I have complied with other legal requirements in this connection.

SUVINDER
[Signature (in existing old name)]

I hitherto known as GURMEJ PAL BASRA son of JIT RAM, residing at H. No. 103, Street No. 12-A, Plahi Gate, Phagwara, Kapurthala-144401, Punjab, have changed my name and shall hereafter be known as GURMEJ LAL BASRA.

It is certified that I have complied with other legal requirements in this connection.

GURMEJ PAL BASRA
[Signature (in existing old name)]

I hitherto known as MASWINDER SINGH son of MUKHTIAR SINGH, residing at Vill. Lakhan Khole, PO Lkhan Kalan, Kapurthala-144601, Punjab, have changed my name and shall hereafter be known as MUSHWINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

MASWINDER SINGH
[Signature (in existing old name)]

I hitherto known as KAMLESH RANI wife of GURMEJ LAL, residing at H. No. 103, Street No. 12-A, Plahi Gate, Phagwara, Kapurthala-144401, Punjab, have changed my name and shall hereafter be known as KAMLESH BASRA.

It is certified that I have complied with other legal requirements in this connection.

KAMLESH RANI
[Signature (in existing old name)]

I hitherto known as HARJOT SINGH S/o SARABJEET SINGH, R/o VPO Jalal, Tehsil Rampura Phul, Bathinda, Punjab-151108, have changed my name and shall hereafter be known as HARJOT SINGH SIDHU.

It is certified that I have complied with other legal requirements in this connection.

HARJOT SINGH
[Signature (in existing old name)]

I hitherto known as KRISHAN GOPAL son of JAGNATH, R/o House Number-26, Rahul Vihar, Daulatpura Jay Prakash Nagar, Ghaziabad, Uttar Pradesh-201001, have changed my name and shall hereafter be known as KRASHN KUMAR.

It is certified that I have complied with other legal requirements in this connection.

KRISHAN GOPAL
[Signature (in existing old name)]

I hitherto known as MANISH KUMAR S/o UMESH PRASAD, R/o Daulatpur Post Salempur, Gaya, Bihar-824235, have changed my name and shall hereafter be known as DEV GUPTA.

It is certified that I have complied with other legal requirements in this connection.

MANISH KUMAR
[Signature (in existing old name)]

I hitherto known as HARDEV SINGH S/o CHATTAR SINGH, R/o Nagladham, Phondar, Mathura, Fonder, Uttar Pradesh-281123, have changed my name and shall hereafter be known as MAHAVIR SINGH.

It is certified that I have complied with other legal requirements in this connection.

HARDEV SINGH
[Signature (in existing old name)]

I hitherto known as HARGAJAN SINGH S/o MUKHTIAR SINGH, residing at Vill. Rawan, PO Maksudpur, Teh. Bholath, Distt. Kapurthala-144819, Punjab, have changed my name and shall hereafter be known as GAJAN SINGH.

It is certified that I have complied with other legal requirements in this connection.

HARGAJAN SINGH
[Signature (in existing old name)]

I hitherto known as VINAY KUMAR SHARMA son of SOM NATH SHARMA, residing at H. No. N.J 499, Balmiki Gate, Jalandhar-144001, Punjab, have changed my name and shall hereafter be known as VINAY SHARMA.

It is certified that I have complied with other legal requirements in this connection.

VINAY KUMAR SHARMA
[Signature (in existing old name)]

I hitherto known as SEEMA DEVI W/o JAGDISH RAM, residing at H. No. 102, Wadala Colony, Jalandhar-144003, Punjab, have changed my name and shall hereafter be known as SEEMA.

It is certified that I have complied with other legal requirements in this connection.

SEEMA DEVI
[Signature (in existing old name)]

I hitherto known as SANGEETA daughter of JAG BANDHU HALDAR and wife of ALEX ANTON, residing at 802, Tower 3, Hiland Willows Newtown, Kolkata, West Bengal-700156, have changed my name and shall hereafter be known as SHEFALI ANTON.

It is certified that I have complied with other legal requirements in this connection.

SANGEETA
[Signature (in existing old name)]

I hitherto known as MYRUNNISA daughter of BABUMIYA SHAIK, residing at H. No. 14-42, Mustabad, Rajanna (Siricilla), Karimnagar, Telangana-505404, have changed my name and shall hereafter be known as MEHRUNNISA.

It is certified that I have complied with other legal requirements in this connection.

MYRUNNISA
[Signature (in existing old name)]

I hitherto known as KAILASH NATH TRIPATHI alias KAILASH NATH son of JAGDISH PRASAD TRIPATHI, residing at House No. 70, Street No. 6, Dwarka Vihar, Near Green View Public School, Najafgarh, New Delhi, Delhi-110043, have changed my name and shall hereafter be known as KAILASH TRIPATHI.

It is certified that I have complied with other legal requirements in this connection.

KAILASH NATH TRIPATHI alias KAILASH NATH
[Signature (in existing old name)]

I hitherto known as BALARAJ S son of SHANTHA KUMAR M, residing at #102/2, Jaimary Amalamma Street, Tc Palya, Kr Puram, Bengaluru, Karnataka-560036, have changed my name and shall hereafter be known as PRASHANTH BALRAJ SM.

It is certified that I have complied with other legal requirements in this connection.

BALARAJ S
[Signature (in existing old name)]

I, SHIVA UPADHYAY D/o DINESH CHANDRA UPADHYAY W/o MOHAMMAD ZAHID, residing at 140, SFS, Sector 3, Phase 1 and 2, Dwarka, New Delhi, Delhi-110078, have changed the name of my minor daughter SHAHIRA SHIZA, aged 5 years and she shall hereafter be known as SHAHIRA UPADHYAY.

It is certified that I have complied with other legal requirements in this connection.

SHIVA UPADHYAY
[Signature of Guardian]

I hitherto known as KARIBASAYYA HIREMATH son of SURESH HIREMATH, residing at At Post Horti, Tq Indi, Vijayapura, Karnataka-586117, have changed my name and shall hereafter be known as VINAYAK HIREMATH.

It is certified that I have complied with other legal requirements in this connection.

KARIBASAYYA HIREMATH
[Signature (in existing old name)]

I hitherto known as KESU alias KESU CHOUHAN son of JOGU, residing at H. No. 1-5, Jilvarsha Tanda, (Post) Kunchavaram, (Tq) Chincholi Gulbarga, Karnataka-585305, have changed my name and shall hereafter be known as KESHAV CHOUHAN.

It is certified that I have complied with other legal requirements in this connection.

KESU alias KESU CHOUHAN
[Signature (in existing old name)]

I hitherto known as PANYAM SAMBAIAH son of MALLAIAH, residing at Dr. No.: 19-7-238/C, Patthipatavaari Street, Sangadigunta, Guntur, Andhra Pradesh-522003, have changed my name and shall hereafter be known as PANYAM SAMBASIVA RAO.

It is certified that I have complied with other legal requirements in this connection.

PANYAM SAMBAIAH
[Signature (in existing old name)]

I hitherto known as MANIAR SAHIL JITENDRA alias MANIYAR SAHIL JITENDRAKUMAR son of JITENDRAKUMAR MUKUNDRAY MANIYAR, residing at A/7, Belevue Apartment, behind Tulip Bunglows-1, Thaltej, Ahmedabad, Gujarat-380059, have changed my name and shall hereafter be known as SAHIL JITENDRAKUMAR MANIYAR.

It is certified that I have complied with other legal requirements in this connection.

MANIAR SAHIL JITENDRA
alias MANIYAR SAHIL JITENDRAKUMAR
[Signature (in existing old name)]

I hitherto known as MAHANANDA BHAGWAN BHOSLE daughter of Shri BHAGWANRAO BHOSLE, residing at Rachana Nagar, Karegaon Road, Parbhani, Maharashtra-431401, have changed my name and shall hereafter be known as SHRUTI BHOSLE.

It is certified that I have complied with other legal requirements in this connection.

MAHANANDA BHAGWAN BHOSLE
[Signature (in existing old name)]

I, URMILA DAGA daughter of PRAKASH CHAND KOCHAR W/o CHANDAN MAL DAGA, residing at 22, Krishna Kamal, Bhattacharya Lane, 4th Floor, Howrah, West Bengal-711101, have changed the name of my minor daughter RASHMI DAGA, age 14 years and she shall hereafter be known as PRACHI DAGA.

It is certified that I have complied with other legal requirements in this connection.

URMILA DAGA
[Signature of Guardian]

I hitherto known as UPASANA ASTHANA alias UPASANA SAXENA daughter of RAKESH ASTHANA wife of PRABHAT SAXENA, residing at H 57, Gokuldharm, Badwai, Mims Road, Bhopal, Madhya Pradesh-462038, have changed my name and shall hereafter be known as UPASANA ASTHANA SAXENA.

It is certified that I have complied with other legal requirements in this connection.

UPASANA ASTHANA alias UPASANA SAXENA
[Signature (in existing old name)]

I hitherto known as SUHAS BALARAMA SETTY alias SUHAS B son of BALARAMA SETTY T R, residing at 208/A1, 3rd Floor, Srinivasam, 10th I Cross Sanjevappa Layout, Nagavarapalya Main Road, CV Raman Nagar, Bengaluru, Karnataka-560093, have changed my name and shall hereafter be known as SUHAS TALANKI.

It is certified that I have complied with other legal requirements in this connection.

SUHAS BALARAMA SETTY alias SUHAS B
[Signature (in existing old name)]

I hitherto known as N S VENUGOPAL son of R SHAMA SHASTRI, residing at 61C I Pocket, Dilshad Garden, New Delhi, Delhi-110095, have changed my name and shall hereafter be known as VENUGOPAL NAMAGONDLU SHASTRI.

It is certified that I have complied with other legal requirements in this connection.

N S VENUGOPAL
[Signature (in existing old name)]

I hitherto known as RAMBABU KARLAPUDI son of VENKATESWARLU KARLAPUDI, residing at S/o Karlapudi Prabavathi, 3-5-52/1, Rajagopalapuram 5th Street RTC Bus and, Naidupet Nellore, Andhra Pradesh-524126, have changed my name and shall hereafter be known as RAM KARLAPUDI.

It is certified that I have complied with other legal requirements in this connection.

RAMBABU KARLAPUDI
[Signature (in existing old name)]

I hitherto known as GOLI VASUDHA daughter of GOLI KARUNAKAR wife of SHAIK MUZZAMIL HUSSAIN, residing at H. No. 1 36/2/3/5/D, Jawahar Colony Road, 4a, Chandanagar, Hyderabad-500050, Hyderabad, Telangana-500050, have changed my name and shall hereafter be known as SANA FARHEEN SHAIK.

It is certified that I have complied with other legal requirements in this connection.

GOLI VASUDHA
[Signature (in existing old name)]

I hitherto known as HUSNA FIRDOSE ABDUL SUBHAN alias HUSNA FIRDOSE A S daughter of ABDUL SUBHAN A, residing at No. 6/1, Rayara Nilaya, 1st Floor, 1st Cross, Cauvery Layout, Tavarekere Main Road, Bengaluru, Karnataka-560029, have changed my name and shall hereafter be known as HUSNA SIDDIQUI.

It is certified that I have complied with other legal requirements in this connection.

HUSNA FIRDOSE ABDUL SUBHAN
alias HUSNA FIRDOSE A S
[Signature (in existing old name)]

I hitherto known as GOLLA MALLIKARJUNAIAH son of G LINGANNA, residing at H. No. 3/49, Bc Colony, Pedda Nelatur, Gonegandla, Kurnool, Andhra Pradesh-518463, have changed my name and shall hereafter be known as ARJUN GOLLA.

It is certified that I have complied with other legal requirements in this connection.

GOLLA MALLIKARJUNAIAH
[Signature (in existing old name)]

I hitherto known as MONU KUMAR son of RADHA BALLABH CHHIPA, residing at 5154, Chhipon Ka Mohalla Radha Ballabh Marg, Sanganer, Jaipur, Rajasthan-302029, have changed my name and shall hereafter be known as MANAN CHHIPA.

It is certified that I have complied with other legal requirements in this connection.

MONU KUMAR
[Signature (in existing old name)]

I hitherto known as PRAMOD KUMAR alias PRAMOD alias PRAMOD PAWAR son of JANAR PAWAR, residing at Village Laldhana, Post Gubrel, Tah. Amla, Distt. Betul, Betul, Madhya Pradesh-460663, have changed my name and shall hereafter be known as PRAMOD PAWAR.

It is certified that I have complied with other legal requirements in this connection.

PRAMOD KUMAR alias PRAMOD
alias PRAMOD PAWAR
[Signature (in existing old name)]

I hitherto known as KAMLA daughter of RAM DITTA wife of RAJINDER, residing at H. No. 84, Tower Enclave Phase II, Wadala, Jalandhar, Punjab-144003, have changed my name and shall hereafter be known as KAMLA DEVI.

It is certified that I have complied with other legal requirements in this connection.

KAMLA
[Signature (in existing old name)]

I hitherto known as MADDALA KIRTI VENKATESH alias KIRTHI VENKATESH MADALA daughter of VENKATESH MADALA, residing at 1-21-575, Hingoli Naka, Balaji Nagar, taroda bk Nanded, Maharashtra-431605, have changed my name and shall hereafter be known as KIRTI VENKATESH MADALA.

It is certified that I have complied with other legal requirements in this connection.

MADDALA KIRTI VENKATESH
alias KIRTHI VENKATESH MADALA
[Signature (in existing old name)]

I hitherto known as DHARMBIR SINGH S/o JOGINDER SINGH, R/o Vill. Cheema Khurd, Teh. Patti, Distt. Tarn Taran, Punjab-143419, have changed my name and shall hereafter be known as DHARAMBIR SINGH.

It is certified that I have complied with other legal requirements in this connection.

DHARMBIR SINGH
[Signature (in existing old name)]

I hitherto known as VEER KAUR W/o JOGINDER SINGH, R/o Vill. Cheema Khurd, Teh. Patti, Distt. Tarn Taran, Punjab-143419, have changed my name and shall hereafter be known as SUKHWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

VEER KAUR
[Signature (in existing old name)]

I hitherto known as PARAMJEET KAUR W/o JASMEET SINGH, R/o VPO. Chotian, Teh. Giderbaha, Distt. Sri Muktsar Sahib, Punjab-152101, have changed my name and shall hereafter be known as JASPREET KAUR.

It is certified that I have complied with other legal requirements in this connection.

PARAMJEET KAUR
[Signature (in existing old name)]

I hitherto known as SONIA RAI W/o SUNNY RAI, R/o LIG Flat No. 20, SF, Near Power House, C-Block, Ranjit Avenue, Amritsar, Punjab-143001, have changed my name and shall hereafter be known as MANJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

SONIA RAI
[Signature (in existing old name)]

I hitherto known as GURWINDER KAUR W/o SURJIT SINGH, R/o Vill. Noorpur, Tehsil Ajnala, Distt. Amritsar, Punjab-143001, have changed my name and shall hereafter be known as MANJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

GURWINDER KAUR
[Signature (in existing old name)]

I hitherto known as MANMOHAN SINGH S/o AMRIK SINGH DHILLON, R/o H. No. 7, Gali No. 1, Partap Avenue, GT Road, Amritsar, Punjab-143001, have changed my name and shall hereafter be known as MANMOHAN SINGH DHILLON.

It is certified that I have complied with other legal requirements in this connection.

MANMOHAN SINGH
[Signature (in existing old name)]

I hitherto known as SANMIT KAUR D/o MANMOHAN SINGH DHILLON, R/o H. No. 7, Gali No. 1, Partap Avenue, GT Road, Amritsar, Punjab-143001, have changed my name and shall hereafter be known as SANMIT KAUR DHILLON.

It is certified that I have complied with other legal requirements in this connection.

SANMIT KAUR
[Signature (in existing old name)]

I hitherto known as KOMALJEET W/o VIPAN KUMAR, R/o H. No. 106, Gali No. 4, Tagore Avenue, Tung Bala, Majitha Road, Amritsar, Punjab-143001, have changed my name and shall hereafter be known as KOMAL.

It is certified that I have complied with other legal requirements in this connection.

KOMALJEET
[Signature (in existing old name)]

I hitherto known as MAJOR SINGH BRAR S/o GURBANS SINGH, R/o Vill. Kauni, Tehsil and Distt. Faridkot, Punjab-151212, have changed my name and shall hereafter be known as MAJOR SINGH.

It is certified that I have complied with other legal requirements in this connection.

MAJOR SINGH BRAR
[Signature (in existing old name)]

I hitherto known as HARVINDER KAUR alias JASWINDER KAUR BRAR W/o MAJOR SINGH, R/o Vill. Kauni, Tehsil and Distt. Faridkot, Punjab-151212, have changed my name and shall hereafter be known as HARWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

HARVINDER KAUR alias JASWINDER KAUR BRAR
[Signature (in existing old name)]

I hitherto known as KULDIP KAUR W/o ANGREJ SINGH, R/o Vill. Malia, Tehsil and Distt. Tarn Taran, Punjab-143401, have changed my name and shall hereafter be known as KULDEEP KAUR.

It is certified that I have complied with other legal requirements in this connection.

KULDIP KAUR
[Signature (in existing old name)]

I hitherto known as AMARJIT S/o MEHAR SINGH, R/o Sarhali Road, Chongi Tak, Tarn Taran, Punjab-143401, have changed my name and shall hereafter be known as AMARJIT SINGH.

It is certified that I have complied with other legal requirements in this connection.

AMARJIT
[Signature (in existing old name)]

I hitherto known as SOMBINDER KAUR W/o PALWINDER SINGH, R/o Vill. Chaudhriwala, Tehsil and Distt. Tarn Taran, Punjab-143401, have changed my name and shall hereafter be known as SARBRINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

SOMBINDER KAUR
[Signature (in existing old name)]

I hitherto known as SURINDER KAUR W/o KIRPAL SINGH, R/o Shri Guru Teg Bahadur Nagar, Tarn Taran, Punjab-143401, have changed my name and shall hereafter be known as SAWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

SURINDER KAUR
[Signature (in existing old name)]

I hitherto known as SATYA W/o KEWAL KRISHAN GOYAL, R/o 20931, St. No. 13, Ajit Road, Bathinda, Punjab, have changed my name and shall hereafter be known as MAMTA GOYAL.

It is certified that I have complied with other legal requirements in this connection.

SATYA
[Signature (in existing old name)]

I hitherto known as KULWANT KAUR W/o MOHINDER SINGH, R/o Chak Ram Singh Wala, Bathinda, Punjab-151101, have changed my name and shall hereafter be known as SUKHJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

KULWANT KAUR
[Signature (in existing old name)]

I hitherto known as CHHAGAN SOLANKI S/o JEEVA RAM, R/o Jain Nahaiti Nohara Ke Pas Bali Pali, Rajasthan-306701, have changed my name and shall hereafter be known as CHHAGAN LAL.

It is certified that I have complied with other legal requirements in this connection.

CHHAGAN SOLANKI
[Signature (in existing old name)]

I hitherto known as VIJAY PAL S/o NASIB CHAND PAL, R/o H. No.-B-25/118, Rahimpur, Hoshiarpur, Punjab, have changed my name and shall hereafter be known as VIJAY KUMAR.

It is certified that I have complied with other legal requirements in this connection.

VIJAY PAL
[Signature (in existing old name)]

I hitherto known as ROZY BALA D/o NARINDER KUMAR W/o SATISH SACHDEVA, R/o H. No.-294, Near Bus Stand Kirti Nagar, Ferozepur City, Punjab-152002, have changed my name and shall hereafter be known as RIYA SACHDEVA.

It is certified that I have complied with other legal requirements in this connection.

ROZY BALA
[Signature (in existing old name)]

I hitherto known as DALBARA SINGH S/o SARWAN SINGH, R/o Manna Pindi, Near PSPCL, Dhanaula, Barnala, Punjab-148105, have changed my name and shall hereafter be known as DARBARA SINGH.

It is certified that I have complied with other legal requirements in this connection.

DALBARA SINGH
[Thumb Impression]

I hitherto known as GURJINDER KAUR alias LOVEPREET KAUR W/o IQBAL SINGH, R/o VPO Kauni, Distt. Sri Muktsar Sahib, Punjab-152031, have changed my name and shall hereafter be known as LOVEPREET KAUR.

It is certified that I have complied with other legal requirements in this connection.

GURJINDER KAUR alias LOVEPREET KAUR
[Signature (in existing old name)]

I hitherto known as BHUPINDER SINGH S/o BIKKAR SINGH, R/o VPO. Toot, Distt. Ferozepur, Punjab-152004, have changed my name and shall hereafter be known as BHUPINDER SINGH SIDHU.

It is certified that I have complied with other legal requirements in this connection.

BHUPINDER SINGH
[Signature (in existing old name)]

I hitherto known as AMANDEEP KAUR KHAIRA daughter of BALWINDER SINGH, R/o Vill. Mansoor Deva, Mansurpur Deva, Tehsil Zira, Distt. Ferozepur, Punjab-152028, have changed my name and shall hereafter be known as AMANDEEP KAUR.

It is certified that I have complied with other legal requirements in this connection.

AMANDEEP KAUR KHAIRA
[Signature (in existing old name)]

I hitherto known as DIKSHA D/o KEWAL SINGH, R/o Ward No. 3, Balachaur, Distt. S.B.S. Nagar, Punjab-144521, have changed my name and shall hereafter be known as DIKSHA KLAIR.

It is certified that I have complied with other legal requirements in this connection.

DIKSHA
[Signature (in existing old name)]

I hitherto known as JASBIR KAUR W/o RANJIT SINGH, R/o H. No. 95, Type 2, Sector 2, Naya Nangal, Distt. Ropar, Punjab, have changed my name and shall hereafter be known as JASWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

JASBIR KAUR
[Signature (in existing old name)]

I hitherto known as BALRAJ KUMAR THIND son of DAYAL SINGH, R/o Vill. Gumani Wala, Jalalabad West, Distt. Fazilka, Punjab-152024, have changed my name and shall hereafter be known as BALRAJ KUMAR.

It is certified that I have complied with other legal requirements in this connection.

BALRAJ KUMAR THIND
[Signature (in existing old name)]

I hitherto known as CHARULATHA BANGALORE RAJANNA daughter of RAJANNA K., employed as Speech and Language Pathologist at Magpie Paediatric Intervention Services, residing at #166, Daffodils, Apt. 1B, 4th Cross, Sai Enclave, JP Nagar 7th Phase, Bengaluru-560078, have changed my name and shall hereafter be known as CHARULATHA RAJANNA VARNA.

It is certified that I have complied with other legal requirements in this connection.

CHARULATHA BANGALORE RAJANNA
[Signature (in existing old name)]

I hitherto known as ASHIK KUMBAR GOWDAR son of UMAPATHI K. G., employed as Director in A313 Mediaworks Pvt. Ltd., residing at #166, Daffodils, Apt. 1B, 4th Cross, Sai Enclave, JP Nagar 7th Phase, Bengaluru-560078, have changed my name and shall hereafter be known as ASHIK VARNA.

It is certified that I have complied with other legal requirements in this connection.

ASHIK KUMBAR GOWDAR
[Signature (in existing old name)]

I hitherto known as HAMIT son of AMARJIT KUMAR, residing at H. No. B/9/383, Santokh Pura Mohalla, Distt. Jalandhar, Punjab-144004, have changed my name and shall hereafter be known as HAMIT KUMAR.

It is certified that I have complied with other legal requirements in this connection.

HAMIT
[Signature (in existing old name)]

I hitherto known as CHARANJIT KAUR D/o HARBHAJAN SINGH W/o AMRIK SINGH, R/o Village Jakopur Khurd, Tehsil Shahkot, District Jalandhar, Punjab, have changed my name and shall hereafter be known as SHARANJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

CHARANJIT KAUR
[Signature (in existing old name)]

I hitherto known as ANUPAMA W/o RAKESH KUMAR, residing at H. No. 20-B, Gurmeet Nagar, Jalandhar-144003, Punjab, India, have changed my name and shall hereafter be known as ANU DAVER.

It is certified that I have complied with other legal requirements in this connection.

ANUPAMA
[Signature (in existing old name)]

I hitherto known as BALJINDER KAUR W/o JEETA SINGH, residing at VPO Bajuha Khurd, Teh. Nakodar, Distt. Jalandhar-144033, Punjab, India, have changed my name and shall hereafter be known as JASWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

BALJINDER KAUR
[Signature (in existing old name)]

I hitherto known as RASHMI W/o DALJEET KUMAR, residing at Vill. Kakar Kalan, P.O. Kang Kalan, Tehsil Shahkot, Distt. Jalandhar-144629, Punjab, India, have changed my name and shall hereafter be known as RENU BALA.

It is certified that I have complied with other legal requirements in this connection.

RASHMI
[Signature (in existing old name)]

I hitherto known as SODHI RAM son of ROUNKI, resident of VPO Garhi Mahan Singh, District Jalandhar, Pin-144416, have changed my name and shall hereafter be known as RAJVEER SODHI.

It is certified that I have complied with other legal requirements in this connection.

SODHI RAM
[Signature (in existing old name)]

I hitherto known as RANJIT KUMAR son of JAGDISH CHANDER, resident of House No. NK: 555, Charanjit Pura, Jalandhar, Pin-144002, have changed my name and shall hereafter be known as RANJIT GUPTA.

It is certified that I have complied with other legal requirements in this connection.

RANJIT KUMAR
[Signature (in existing old name)]

I hitherto known as PALWINDER SINGH NAGRA son of FAQUIR SINGH, residing at VPO Nagra, Distt. Jalandhar-144013, Punjab, India, have changed my name and shall hereafter be known as PALWINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

PALWINDER SINGH NAGRA
[Signature (in existing old name)]

I, AMARJIT W/o AMRIK SINGH, residing at H. No. B-I-169, Ravi Dass Nagar, P.O. New Grain Market, Distt. Jalandhar-144008, Punjab, India, have changed the name of my minor son ANEESH, aged 11 years and he shall hereafter be known as ANEESH SINGH.

It is certified that I have complied with other legal requirements in this connection.

AMARJIT
[Signature of Guardian]

I hitherto known as MAGAN KHOSLA S/o RAJIV KHOSLA, residing at 40, First Floor, Near Patel Hospital, Civil Lines, Jalandhar City-144001, Punjab, India, have changed my name and shall hereafter be known as NAMISH KHOSLA.

It is certified that I have complied with other legal requirements in this connection.

MAGAN KHOSLA
[Signature (in existing old name)]

I hitherto known as ANUPAMA BASSI D/o SATDEV BASSI W/o SANJEEV SAREEN, residing at H. No. B-6/447, Vikas Nagar, Rahon Road, Depot Wali Gali, Nawanshahar, Distt. SBS Nagar-144514, Punjab, India, have changed my name and shall hereafter be known as ANUPMA SAREEN.

It is certified that I have complied with other legal requirements in this connection.

ANUPAMA BASSI
[Signature (in existing old name)]

I hitherto known as NIRMAL SINGH CHANDI son of GURNAM SINGH, R/o VPO Phull Ghuduwal, Tehsil Shahkot, Distt. Jalandhar, Punjab, India-144629, have changed my name and shall hereafter be known as NIRMAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

NIRMAL SINGH CHANDI
[Signature (in existing old name)]

I hitherto known as SHAMINA ASHOK daughter of ASHOK P LAL and wife of MOHIT, resident of H. No. CB-11/44, Mohalla Tarloki Nath, Bypass Chowk, Kapurthala, Punjab-144601, have changed my name and shall hereafter be known as MUSKAAN ARORA.

It is certified that I have complied with other legal requirements in this connection.

SHAMINA ASHOK
[Signature (in existing old name)]

I hitherto known as SATISH KUMAR son of KESHVA ANAND, R/o Heon Road, Gali No. 2, Shitla Mandir Colony, Banga, Tehsil Banga, District Shahid Bhagat Singh Nagar, Punjab, India, Pin-144505, have changed my name and shall hereafter be known as SATISH ANAND.

It is certified that I have complied with other legal requirements in this connection.

SATISH KUMAR
[Signature (in existing old name)]

I hitherto known as SONI SAHIB MANAN son of TALWINDER SINGH, residing at Vill. Manan, PO Naugajja, Distt. Jalandhar-144301, Punjab, India, have changed my name and shall hereafter be known as SOHAN SINGH.

It is certified that I have complied with other legal requirements in this connection.

SONI SAHIB MANAN
[Signature (in existing old name)]

I hitherto known as GURJOT SINGH AUJLA S/o AJIT SINGH, residing at V.P.O. Jaitewali, Distt. Jalandhar-144025, Punjab, India, have changed my name and shall hereafter be known as GURJOT SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURJOT SINGH AUJLA
[Signature (in existing old name)]

I hitherto known as KRISHAN SINGH S/o MOTI RAM, residing at Vill. Raipur, P.O. Majra Jattan, PS Kathgarh, Teh. Balachaur, Distt. SBS Nagar-144522, Punjab, India, have changed my name and shall hereafter be known as KRISHAN LAL.

It is certified that I have complied with other legal requirements in this connection.

KRISHAN SINGH
[Signature (in existing old name)]

I hitherto known as HARJINDER KAUR D/o JALOR SINGH and W/o PRITPAL SINGH, residing at Tehsil-Raikot, Halwara, Ludhiana, Punjab-141107, have changed my name and shall hereafter be known as GURJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

HARJINDER KAUR
[Signature (in existing old name)]

I hitherto known as RAJBINDER KAUR W/o KULWANT SINGH, residing at V.P.O.-Kala Afgana, Tehsil-Batala, Distt.-Gurdaspur, Punjab, have changed my name and shall hereafter be known as RAJWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

RAJBINDER KAUR
[Signature (in existing old name)]

I, PARMINDER SINGH S/o CHATTAR SINGH BIR, R/o BD-90, Janakpuri, New Delhi-110058, have changed the name of my minor daughter JASNOOR KAUR, aged 14 years and she shall hereafter be known as JASNOOR LUTHRA.

It is certified that I have complied with other legal requirements in this connection.

PARMINDER SINGH
[Signature of Guardian]

I hitherto known as HIMANSHU SINGH S/o MADAN SINGH, residing at 36/21, Block-36, Trilok Puri, Delhi-110091, have changed my name and shall hereafter be known as HIMANSHU LAL.

It is certified that I have complied with other legal requirements in this connection.

HIMANSHU SINGH
[Signature (in existing old name)]

I hitherto known as RAJU S/o HARIRAM, R/o House No.-782, K-Block, Mangol Puri, Delhi-110083, have changed my name and shall hereafter be known as KESHAV KAITHWAS for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

RAJU
[Signature (in existing old name)]

I hitherto known as GURBAKSH KAUR W/o HARBANS LAL, residing at Village-Katpalon, P.O.-Nagar, Tehsil-Phillaur, Distt.-Jalandhar, Punjab-144410, have changed my name and shall hereafter be known as RAJWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

GURBAKSH KAUR
[Signature (in existing old name)]

I hitherto known as MONTU GUPTA S/o SHANKAR PRASAD GUPTA, residing at Dakhin Tola, Siwan, Bihar-841226, have changed my name and shall hereafter be known as MAYANK GUPTA.

It is certified that I have complied with other legal requirements in this connection.

MONTU GUPTA
[Signature (in existing old name)]

I, PARAG ASHOK AGARWAL son of ASHOK GIRDHARILAL AGARWAL, residing at 41, Anand Lok, 1st Floor, Near Siri Fort, Sadiq Nagar, South Delhi-110046, have changed the name of my minor son MAHIT PARAG AGARWAL, aged 11 years and he shall hereafter be known as MAHIT AGARWAL.

It is certified that I have complied with other legal requirements in this connection.

PARAG ASHOK AGARWAL
[Signature of Guardian]

I, PARAG ASHOK AGARWAL son of ASHOK GIRDHARILAL AGARWAL, residing at 41, Anand Lok, 1st Floor, Near Siri Fort, Sadiq Nagar, South Delhi-110046, have changed the name of my minor daughter HIYA PARAG AGARWAL, aged 9 years and she shall hereafter be known as HIYA AGARWAL.

It is certified that I have complied with other legal requirements in this connection.

PARAG ASHOK AGARWAL
[Signature of Guardian]

I hitherto known as DEEPIKA CHOPRA D/o Shri SURENDRA KUMAR CHOPRA W/o Shri SAMEER MALHOTRA, residing at H. No.-1974, Sector-2 Part, Sonipat Road, Rohtak, Haryana-124001, have changed my name and shall hereafter be known as DEEPIKA MALHOTRA.

It is certified that I have complied with other legal requirements in this connection.

DEEPIKA CHOPRA
[Signature (in existing old name)]

I hitherto known as SHASHI @ SHALINI @ SHALINI TOMAR D/o Sh. OM PAL SINGH W/o Sh. ANURAG TOMAR, R/o 1/5557, Gali No. 16, Balbir Nagar Extn., Shahdara, Delhi-110032, have changed my name and shall hereafter be known as SHALINI.

It is certified that I have complied with other legal requirements in this connection.

SHASHI @ SHALINI @ SHALINI TOMAR
[Signature (in existing old name)]

I hitherto known as PROMILA RAMOLA D/o KIRPAL CHAND RAMOLA, residing at H-29, Shatabdi Enclave, Sector-49, Noida, Gautam Buddha Nagar, UP-201301, have changed my name and shall hereafter be known as KOVIIRA RAMOLA.

It is certified that I have complied with other legal requirements in this connection.

PROMILA RAMOLA
[Signature (in existing old name)]

I hitherto known as MOHD NOORUDDIN son of Sh. GAYASUDDIN, residing at H. No. T 596, Street No. 16, Near Makka Masjid, Gautam Puri, New Seelampur, Delhi-110053, have changed my name and shall hereafter be known as NOOR MOHD.

It is certified that I have complied with other legal requirements in this connection.

MOHD NOORUDDIN
[Signature (in existing old name)]

I, GABBAR SINGH S/o Sh. SUNDER SINGH, residing at H. No.-144, PTS Colony, Malviya Nagar, New Delhi-110017, have changed the name of my minor son CHAITANYA, aged 14 years and he shall hereafter be known as CHAITANYA KUMAIN.

It is certified that I have complied with other legal requirements in this connection.

GABBAR SINGH
[Signature of Guardian]

I, SANJEEV KUMAR S/o Sh. VED PRAKASH, residing at 169, Gali No. 4, East Azad Nagar, Delhi-110031, hereby declare that I have changed the name of my minor son, age 14 years, PRATUNU, and he shall hereafter be known as PRABHAT KUMAR for all purposes.

It is certified that I have complied with other legal requirements in this connection.

SANJEEV KUMAR
[Signature of Guardian]

I hitherto known as VIDYAWATI D/o HARI RAM D. NAINANI W/o SUNDER GYANCHANDANI, residing at Flat No. 148, Aakriti Apts, Sector-4, Plot No. 6, Dwarka, New Delhi-78, have changed my name and shall hereafter be known as VIDYA GYANCHANDANI.

It is certified that I have complied with other legal requirements in this connection.

VIDYAWATI
[Signature (in existing old name)]

I hitherto known as ARVIND KUMAR KACHROO son of Late Shri BADRI NATH KACHROO, employed as Superintendent materials in the Central Ordnance Depot, Delhi Cantt-110010, residing at B-134/B, Kiran Garden, Uttam Nagar, New Delhi-110059, have changed my name and shall hereafter be known as ARVIND KACHROO.

It is certified that I have complied with other legal requirements in this connection.

ARVIND KUMAR KACHROO
[Signature (in existing old name)]

I, V RAJA KUMAR S/o V CHINAPULLAIAH, R/o J-124, Room No. 302, 2nd Floor, Mohammadpur Village, New Delhi-110066, have changed the name of my minor son V JONAH CHRISTOPHER, aged 15 years and he shall hereafter be known as VARIKUNTA JONAH CHRISTOPHER.

It is certified that I have complied with other legal requirements in this connection.

V RAJA KUMAR
[Signature of Guardian]

I, V NARASIAH S/o V SUNDAR RAO, R/o 921, Sector-2, R.K. Puram, New Delhi-110022, have changed the name of my minor son V CALEB DANIEL, aged 15 years and he shall hereafter be known as VADDEPOGU CALEB DANIEL.

It is certified that I have complied with other legal requirements in this connection.

V NARASIAH
[Signature of Gaurdian]

I hitherto known as NARESH KUMAR S/o Sh. ASHOK KUMAR, residing at H. No. E/9/1277, Block-32, Near Noorwala Road, Anandpuri Colony, Ludhiana-141001, Punjab, have changed my name and shall hereafter be known as NARESH GAUR.

It is certified that I have complied with other legal requirements in this connection.

NARESH KUMAR
[Signature (in existing old name)]

I hitherto known as NEETU CHAUHAN W/o Sh. NARENDER KUMAR SINGH CHAUHAN, R/o Flat No. 20, Citizen Society, Sector-46, Faridabad, Haryana, have changed my name and shall hereafter be known as NEETU SINGH.

It is certified that I have complied with other legal requirements in this connection.

NEETU CHAUHAN
[Signature (in existing old name)]

I hitherto known as ANANYA D/o AJAY KUMAR, R/o 106, C.S.P. Flats, East of Kailash, Delhi-110065, have changed my name and shall hereafter be known as ANANYA KASHYAP for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

ANANYA
[Signature (in existing old name)]

I hitherto known as RACHNA GUPTA W/o KISHORE CHAND, residing at Plot No. 26, Street No. 2, Jain Nagar, Rohan Road, Ludhiana-141008, Punjab, have changed my name and shall hereafter be known as KIRAN GUPTA.

It is certified that I have complied with other legal requirements in this connection.

RACHNA GUPTA
[Signature (in existing old name)]

I hitherto known as VIPIN KUMAR S/o RAM DAYAL SINGH, residing at H. No. B-90, East Gokal Pur, Amar Colony, Gokal Puri, Dayalpur, North East, Delhi-110094, have changed my name and shall hereafter be known as ARJUN CHAUDHARY.

It is certified that I have complied with other legal requirements in this connection.

VIPIN KUMAR
[Signature (in existing old name)]

I hitherto known as YAJAT MADAAN S/o CHARANJEET MADAAN, residing at WZ-143, 2nd Floor, Street No.-6, Virender Nagar, Janakpuri B-1, West Delhi, Delhi-110058, have changed my name and shall hereafter be known as VIKAS MADAAN.

It is certified that I have complied with other legal requirements in this connection.

YAJAT MADAAN
[Signature (in existing old name)]

I hitherto known as BHUPINDER KAUR W/o DALVIR SINGH, residing at V.P.O.-Fatehgarh Niwan, Distt.-Fatehgarh Sahib, Punjab-141411, have changed my name and shall hereafter be known as BHINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

BHUPINDER KAUR
[Signature (in existing old name)]

I hitherto known as MONU PUJARI S/o Late Shri OM PRAKASH, residing at WZ-263, Naraina Village, New Delhi-110028, have changed my name and shall hereafter be known as MRITYUNJAI.

It is certified that I have complied with other legal requirements in this connection.

MONU PUJARI
[Signature (in existing old name)]

I hitherto known as SANCHITA SEHGAL D/o SANJAY SEHGAL W/o SUMIT KOCHAR, R/o C-89, Road No.-40, Shivaji Park, Punjabi Bagh, Delhi-110026, have changed my name and shall hereafter be known as SANCHITA KOCHAR for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

SANCHITA SEHGAL
[Signature (in existing old name)]

I hitherto known as ROHIT S/o Sh. HARISH PAHWA, R/o 28, New Market, Timarpur, Delhi-110054, have changed my name and shall hereafter be known as ROHIT PAHWA.

It is certified that I have complied with other legal requirements in this connection.

ROHIT
[Signature (in existing old name)]

I, RAJENDER SINGH S/o Late INDER SINGH, residing at RZ-6B/2, Gali No.-22, Sadh Nagar, 2 Palam Colony, Palam Village S.O., South West Delhi, Delhi-110045, have changed the name of my minor son ANSH SINGH PANWAR, aged 15 years and he shall hereafter be known as PRIYANSH SINGH PANWAR.

It is certified that I have complied with other legal requirements in this connection.

RAJENDER SINGH
[Signature of Guardian]

I hitherto known as RIJUTA W/o PRASHANT CHADHA, R/o H. No. 707, Sector-17, Jagadhri, Yamuna Nagar, Haryana-135001, have changed my name and shall hereafter be known as VARSHA.

It is certified that I have complied with other legal requirements in this connection.

RIJUTA
[Signature (in existing old name)]

I hitherto known as NAGINDER SINGH SANDHAR son of BAKHSHISH SINGH, residing at VPO Kotli Than Singh, Distt. Jalandhar, Punjab-144101, have changed my name and shall hereafter be known as NAGINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

NAGINDER SINGH SANDHAR
[Signature (in existing old name)]

I hitherto known as GURBAKHASH KAUR wife of PAL CHAND, residing at Village Raowali, PO Nurpur, Distt. Jalandhar, Punjab-144012, have changed my name and shall hereafter be known as BAKSHO RANI.

It is certified that I have complied with other legal requirements in this connection.

GURBAKHASH KAUR
[Thumb Impression]

I hitherto known as HARJEET SINGH RAMGARHIA son of JAGDEV SINGH, residing at Village Khuian Sarwar, Tehsil Abohar, Distt. Fazilka, Punjab, have changed my name and shall hereafter be known as HARJEET SINGH.

It is certified that I have complied with other legal requirements in this connection.

HARJEET SINGH RAMGARHIA
[Signature (in existing old name)]

I hitherto known as AMIT KUMAR GUPTA son of Sh. VIRENDRA KUMAR GUPTA, R/o A-5, First Floor, Sardar Nagar, CC Colony, G.T.B. Nagar, North West, Delhi-110009, have changed my name and shall hereafter be known as AMEET GUPTAA.

It is certified that I have complied with other legal requirements in this connection.

AMIT KUMAR GUPTA
[Signature (in existing old name)]

I hitherto known as PARGAT SINGH son of SUCHA SINGH RIAR, residing at VPO. Harchowal, Teh. Batala, Distt. Gurdaspur-143527, Punjab, have changed my name and shall hereafter be known as PARGIT SINGH RIAR.

It is certified that I have complied with other legal requirements in this connection.

PARGAT SINGH
[Signature (in existing old name)]

I hitherto known as VICTOR DAVID son of Late DAVID LOUIS, residing at H. No.-332/9, Shivpuri Gurgaon, Haryana-122001, have changed my name and shall hereafter be known as VICKY DAVID.

It is certified that I have complied with other legal requirements in this connection.

VICTOR DAVID
[Signature (in existing old name)]

I, ANIL KUMAR PASWAN S/o I D PASWAN, residing at 5/8, Railway Colony, Delhi Kishan Ganj Railway Station, Sadar Bazar, North Delhi, Delhi-110007, have changed the name of my minor son AMAN SHRAY, aged 14 years and he shall hereafter be known as AMAN SHREY.

It is certified that I have complied with other legal requirements in this connection.

ANIL KUMAR PASWAN
[Signature of Guardian]

I hitherto known as VANDANA SHARMA alias HEMLATA SHARMA W/o DIWAKAR SHARMA, residing at B-50-G-3, DLF, Dilshad Ext.-2, Sahibabad, Ghaziabad, Uttar Pradesh, have changed my name and shall hereafter be known as HEMLATA SHARMA.

It is certified that I have complied with other legal requirements in this connection.

VANDANA SHARMA alias HEMLATA SHARMA
[Signature (in existing old name)]

I hitherto known as PRIYANKA YADAV D/o RUPENDRA SINGH YADAV W/o JASBIR SINGH, R/o Flat No.-119, LIG Flats, Pkt-1, Sec.-14, Radhika Apartment, Dwarka, Delhi-110078, have changed my name and shall hereafter be known as PRIYANKA SINGH for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

PRIYANKA YADAV
[Signature (in existing old name)]

I hitherto known as AJAY KUMAR alias AJAY CHAWLA S/o CHUNI LAL, residing at H. No.-63, Badri Colony, Basti Danish Manda, Jalandhar, Punjab-144002, have changed my name and shall hereafter be known as AJAY CHAWLA.

It is certified that I have complied with other legal requirements in this connection.

AJAY KUMAR alias AJAY CHAWLA
[Signature (in existing old name)]

I hitherto known as SURESH CHANDER S/o RATI RAM, residing at T-2/61, D.C.E. College, Shahbad Daulat Pur, Sami Pur, North West Delhi, Delhi-110042, have changed my name and shall hereafter be known as SURESH CHAND.

It is certified that I have complied with other legal requirements in this connection.

SURESH CHANDER
[Signature (in existing old name)]

I hitherto known as AYSHA BEGUM D/o JAI RAM W/o MOHD MUBEEN, residing at 3/144, J-Extension, Laxmi Nagar, Shakar Pur, Baramad, East Delhi, Delhi-110092, have changed my name and shall hereafter be known as TREEZA.

It is certified that I have complied with other legal requirements in this connection.

AYSHA BEGUM
[Signature (in existing old name)]

I hitherto known as ANGEL alias INAYAAT PURI daughter of ARVIND PURI, residing at H. No. 209, Kathlour Colony, College Road, Pathankot, Punjab-145001, have changed my name and shall hereafter be known as ANGEL PURI.

It is certified that I have complied with other legal requirements in this connection.

ANGEL alias INAYAAT PURI
[Signature (in existing old name)]

I hitherto known as RAMESHWARI D/o Shri JAGAT SINGH BISHT and W/o Sh. RAKESH, residing at H. No.-455, Block-H, Type-2 Qtrs., Kali Bari Marg, New Delhi-110001, have changed my name and shall hereafter be known as RAMESHWARI BISHT.

It is certified that I have complied with other legal requirements in this connection.

RAMESHWARI
[Signature (in existing old name)]

I hitherto known as LAKHVIR S/o SOHAN, residing at V.P.O.-Sammipur, Jalandhar, Punjab-144026, have changed my name and shall hereafter be known as LAKHVIR SINGH SAHOTA.

It is certified that I have complied with other legal requirements in this connection.

LAKHVIR
[Signature (in existing old name)]

I hitherto known as SHREE DEVI alias GUDDI DEVI wife of OMPARKASH, residing at H. No.-A 87, Street No.-4, Gamri Extension, Bhajan Pura, North East Delhi, Delhi-110053, have changed my name and shall hereafter be known as SHRI DEVI.

It is certified that I have complied with other legal requirements in this connection.

SHREE DEVI alias GUDDI DEVI
[Thumb Impression]

I hitherto known as CHHOTU KUMAR S/o Sh. RAM BILAS, residing at 250, Rao Harnath Marg, Gali No.02, 1st Pole, Kapashera, South West Delhi, Delhi-110037, have changed my name and shall hereafter be known as PREM KUMAR.

It is certified that I have complied with other legal requirements in this connection.

CHHOTU KUMAR
[Signature (in existing old name)]

I hitherto known as MAHAVIR SINGH son of NANU RAM, residing at A-260, Sangam Park, Rana Pratap Bagh, Delhi-110007, have changed my name and shall hereafter be known as MAHAVIR.

It is certified that I have complied with other legal requirements in this connection.

MAHAVIR SINGH
[Signature (in existing old name)]

I hitherto known as VISHNU SINGH alias NEERAJ KUMAR S/o Late Shri INDER RAJ, residing at H. No.-A-151/1, Usmanpur, II-Pusta, Ghonda, North East Delhi, Delhi-110053, have changed my name and shall hereafter be known as VISHNU SINGH.

It is certified that I have complied with other legal requirements in this connection.

VISHNU SINGH alias NEERAJ KUMAR
[Signature (in existing old name)]

I hitherto known as PAWANDEEP SINGH WALIA son of GURMUKH PAL SINGH, residing at 614-R, Model Town, Jalandhar, Punjab-144003, have changed my name and shall hereafter be known as PAWANDEEP SINGH AHLUWALIA.

It is certified that I have complied with other legal requirements in this connection.

PAWANDEEP SINGH WALIA
[Signature (in existing old name)]

I, GEETA BISHT W/o Sh. HARISH SINGH BISHT, residing at RZ-128/396, Street No. 1-C, Shiv Puri, West Sagarpur, New Delhi-110046, have changed the name of my minor son LAVANYA BISHT, aged 13 years and he shall hereafter be known as ADITYA BISHT.

It is certified that I have complied with other legal requirements in this connection.

GEETA BISHT
[Signature of Guardian]

I hitherto known as MONU S/o RAJBIR SINGH, residing at 243, Ward No.-21, Gandhi Nagar, Gohana, Sonipat, Haryana-131301, have changed my name and shall hereafter be known as HETVIK KATARIA.

It is certified that I have complied with other legal requirements in this connection.

MONU
[Signature (in existing old name)]

I hitherto known as DILIP KRISHNARAO PAWAR son of KRISHNARAO TUKARAM PAWAR, employed as Fitter, (T&G) Mastercraftsman in the Ammunition Factory Khadki, Pune-411003, residing at Flat No. 02, Chaturshrungi Apartment, Sr. No. 78/1, Samarth Nagar, Behind Yashwantrao Tannu School, New Sangvi, Pune City, Pune-411027 (Maharashtra), have changed my name and shall hereafter be known as DILIP KISANRAO PAWAR.

It is certified that I have complied with other legal requirements in this connection.

DILIP KRISHNARAO PAWAR
[Signature (in existing old name)]

I, SARBJIT SINGH BEDI C/o IQBAL SINGH BEDI, residing at H. No. 85-C, Antriksh Apartment, Sector-14, Rohini-85, have changed the name of my minor daughter JEEVAN JYOT BEDI, aged 14 years and she shall hereafter be known as JEEVANJOT KAUR BEDI.

It is certified that I have complied with other legal requirements in this connection.

SARBJIT SINGH BEDI
[Signature of Gaurdian]

I hitherto known as SHANTI wife of Late Sh. SHYAM SINGH, residing at 23, Ingohata Sumerpur, Ingohta, Hamirpur, Maudaha, Uttar Pradesh-210341, have changed my name and shall hereafter be known as SHANTI DEVI.

It is certified that I have complied with other legal requirements in this connection.

SHANTI
[Thumb Impression]

I hitherto known as MANISHA D/o ROHTASH KUMAR, R/o RZG-264, Raj Nagar-2, Palam Colony, Delhi-110077, have changed my name and shall hereafter be known as MANISHA KUMARI for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

MANISHA
[Signature (in existing old name)]

I hitherto known as ARMAN CHAUDHARY S/o DHARE SINGH CHAUDHARY, R/o A-12, Freedom Fighter Enclave, Hauz Khas, Delhi-110068, have changed my name and shall hereafter be known as ARMAN CHAUDHRY for all future purposes.

It is certified that I have complied with other legal requirements in this connection.

ARMAN CHAUDHARY
[Signature (in existing old name)]

I hitherto known as JITENDER KAKKAR S/o Sh. ASHOK KAKKAR, residing at H. No.-F-25, First Floor, Jeewan Park, Uttam Nagar, Delhi-110059, have changed my name and shall hereafter be known as JITEN KAKKAR.

It is certified that I have complied with other legal requirements in this connection.

JITENDER KAKKAR
[Signature (in existing old name)]

I hitherto known as ADITYA SHARMAN alias VISHAL HAIT S/o JAGANNATH HAIT, residing at Prop No.-104, Khasra No.-1708, Flat No.-11, Blk-A, S/F, Aya Nagar, South Delhi, Delhi-110047, have changed my name and shall hereafter be known as ADITYA SHARMAN.

It is certified that I have complied with other legal requirements in this connection.

ADITYA SHARMAN alias VISHAL HAIT
[Signature (in existing old name)]

I hitherto known as KALPANA DWIVEDI wife of Mr. JAGDISH DUBEY, R/o H. No. 85, Surya Vihar Colony, Village Sehatpur, Sector-91, Faridabad, Haryana, have changed my name and shall hereafter be known as PINKI DUBEY.

It is certified that I have complied with other legal requirements in this connection.

KALPANA DWIVEDI
[Signature (in existing old name)]

I hitherto known as SUMAN D/o RANJEET SINGH TOMAR, residing at H. No.-66, Khirki Village, Malviya Nagar, Delhi-110017, have changed my name and shall hereafter be known as SUMAN TOMAR.

It is certified that I have complied with other legal requirements in this connection.

SUMAN
[Signature (in existing old name)]

I hitherto known as TONY KUMARI D/o KANHYA LAL, employed as Senior Branch Manager, Bank of India, Indirapuram Branch, Distt.-Ghaziabad, UP, residing at House No.-452, Shakti Khand-III, Indirapuram, Ghaziabad P/o Ghaziabad (UP), have changed my name and shall hereafter be known as TANYA SINGH.

It is certified that I have complied with other legal requirements in this connection.

TONY KUMARI
[Signature (in existing old name)]

I hitherto known as MANPREET KAUR D/o GURJIT SINGH W/o MUNISH AGGARWAL, residing at B-34/6524/104, Street No.-2, New Raghubir Park, Haibowal Kalan, Ludhiana, Punjab-141001, have changed my name and shall hereafter be known as BHAVNA AGGARWAL.

It is certified that I have complied with other legal requirements in this connection.

MANPREET KAUR
[Signature (in existing old name)]

I hitherto known as AMAN KAPOOR S/o DEPTEE, employed as Junior Hindi Translator in CPWD under M/o Housing & Urban Affairs, residing at H. No.-383, Guru Nanak Gali, Maujpur Delhi, Delhi-110053, have changed my name and shall hereafter be known as AMAN BHOLA.

It is certified that I have complied with other legal requirements in this connection.

AMAN KAPOOR
[Signature (in existing old name)]

I hitherto known as ADITYA @ P. ADITYA son of Sh. P. PADMANATHAN, residing at B/111, Pkt-B, Sigma-3, Greater Noida-201301, Gautam Budh Nagar, Uttar Pradesh, have changed my name and shall hereafter be known as ADITYA PADMANATHAN.

It is certified that I have complied with other legal requirements in this connection.

ADITYA @ P. ADITYA
[Signature (in existing old name)]

I hitherto known as RUKAM SINGH S/o SOBAN SINGH, employed as Junior Assistant in the Department of Technical Education, Uttarakhand, residing at Vill.-Bartyakhund, Post Office-Gadoliya, Tehsil-Jakhanidhar, Distt.-Tehri Garhwal-249181 (Uttarakhand), have changed my name and shall hereafter be known as AADIT GUNSOLA.

It is certified that I have complied with other legal requirements in this connection.

RUKAM SINGH
[Signature (in existing old name)]

I hitherto known as ESHA GUPTA daughter of Shri SATYA PRAKASH GUPTA wife of Shri LUV GUPTA, residing at A-826, Sangam Vihar, Pushpa Bhawan, South Delhi, Delhi-110080, have changed my name and shall hereafter be known as KANCHAN GUPTA.

It is certified that I have complied with other legal requirements in this connection.

ESHA GUPTA
[Signature (in existing old name)]

I hitherto known as ALMA SUMAN W/o SUBHASH CHANDER SUMAN, residing at Tu-01, Pitampura, Saraswati Vihar S.O., North East Delhi, Delhi-110034, have changed my name and shall hereafter be known as ALMA C SUMAN.

It is certified that I have complied with other legal requirements in this connection.

ALMA SUMAN
[Signature (in existing old name)]

I hitherto known as NEHA PRAKASH CHAND DEMBLA D/o PRAKASH CHAND DEMBLA W/o VIKRAM WADHWA, residing at KP-13, 3rd Floor, Pitampura, Delhi-110034, have changed my name and shall hereafter be known as MEHERR WADHWA.

It is certified that I have complied with other legal requirements in this connection.

NEHA PRAKASH CHAND DEMBLA
[Signature (in existing old name)]

I hitherto known as CHARANJEEV SINGH son of Shri UDHAM SINGH, employed as Deputy Director in the DRDO, Department of Defence (R&D), Ministry of Defence, New Delhi, residing at H. No. 409, Sector-19, Faridabad, Haryana, have changed my name and shall hereafter be known as CHIRANJIV SINGH.

It is certified that I have complied with other legal requirements in this connection.

CHARANJEEV SINGH
[Signature (in existing old name)]

I hitherto known as SUDHA NAIB daughter of Late Shri J.L. GHAI, residing at B-382, Nirman Vihar, Delhi-110092, have changed my name and shall hereafter be known as SUDHA GHAI.

It is certified that I have complied with other legal requirements in this connection.

SUDHA NAIB
[Signature (in existing old name)]

I hitherto known as PINKI YADAV S/o VIRENDER YADAV, employed as Junior Secretariat Assistant in President's Secretariat, residing at Village Pachgaon, Tehsil Dharuhera, District Rewari, Haryana-123106, have changed my name and shall hereafter be known as PEEYUSH YADAV.

It is certified that I have complied with other legal requirements in this connection.

PINKI YADAV
[Signature (in existing old name)]

I hitherto known as SATYENDER S/o Sh. VIRENDER SINGH, residing at V.P.O.-Khaira, Najafgarh, New Delhi-110043, have changed my name and shall hereafter be known as SATYENDER KUMAR.

It is certified that I have complied with other legal requirements in this connection.

SATYENDER
[Signature (in existing old name)]

I hitherto known as RADHA VERMA alias SUNITA RANI D/o Sh. RAMANAND and W/o ANIL KUMAR, residing at H. No.-210, Ward No.-13, Adarsh Nagar, Mill Gate, Hisar, Haryana-125001, have changed my name and shall hereafter be known as RIBKA.

It is certified that I have complied with other legal requirements in this connection.

RADHA VERMA alias SUNITA RANI
[Signature (in existing old name)]

I hitherto known as PARDEEP KUMAR BADHAN son of DAULAT RAM, R/o H. No. 10, Street No. 8, Basti Tankan Wali, Ferozepur City, Punjab-152002, India, have changed my name and shall hereafter be known as PARDEEP KUMAR.

It is certified that I have complied with other legal requirements in this connection.

PARDEEP KUMAR BADHAN
[Signature (in existing old name)]

I hitherto known as JAGMEET SINGH SIDHU son of HARJIT SINGH, R/o Basti Nathe Shah Bhabra Azam Shah Wala Khai PHEME Ki, Distt. Ferozepur, Punjab-152003, India, have changed my name and shall hereafter be known as JAGMEET SINGH.

It is certified that I have complied with other legal requirements in this connection.

JAGMEET SINGH SIDHU
[Signature (in existing old name)]

I hitherto known as HARVIR KAUR W/o RANDEEP SINGH, R/o Vill. Randhawa Barota, P.O. Sandhra, Distt. Hoshiarpur, Punjab, have changed my name and shall hereafter be known as MANJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

HARVIR KAUR
[Signature (in existing old name)]

I hitherto known as MEHAR SINGH S/o BHAG SINGH SANDHU, R/o Piarana Malwal, Distt. Ferozepur, Punjab-152004, India, have changed my name and shall hereafter be known as MEHAR SINGH SANDHU.

It is certified that I have complied with other legal requirements in this connection.

MEHAR SINGH
[Signature (in existing old name)]

I hitherto known as KULWINDER KAUR SINDHI daughter of KULDEEP SINGH, R/o Near BDEO Office, Mamdot Uttar, Distt. Ferozepur, Punjab-152023, India, have changed my name and shall hereafter be known as KULWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

KULWINDER KAUR SINDHI
[Signature (in existing old name)]

I hitherto known as KAMALJIT SINGH S/o BALVIR SINGH, Self employed, residing at Patti Patuhi Ki, VPO Bara Pind, Teh. Phillaur, have changed my name and shall hereafter be known as KANWALJIT SINGH.

It is certified that I have complied with other legal requirements in this connection.

KAMALJIT SINGH
[Signature (in existing old name)]

I hitherto known as VISHAKA AGGARWAL W/o SANJEEV GUPTA, residing at H. No. 68, Shaheed Udham Singh Nagar, Jalandhar-144001, Punjab, India, have changed my name and shall hereafter be known as KANCHAN GUPTA.

It is certified that I have complied with other legal requirements in this connection.

VISHAKA AGGARWAL
[Signature (in existing old name)]

I hitherto known as JASVIR KAUR W/o MOHINDER SINGH, residing at VPO Awan Bhikhe Shah, PO Begowal, Teh. Bholath, Distt. Kapurthala-144621, Punjab, India, have changed my name and shall hereafter be known as JAGJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

JASVIR KAUR
[Signature (in existing old name)]

I hitherto known as KANWALJEET SINGH KOHLI son of PRAHLAD SINGH KOHLI, R/o H.No.-1393, Urban Estate, Phase-2, Jalandhar-144022, Punjab, have changed my name and shall hereafter be known as KANWAL SINGH KOHLI.

It is certified that I have complied with other legal requirements in this connection.

KANWALJEET SINGH KOHLI
[Signature (in existing old name)]

I hitherto known as PRITAM DASS KAULDHAR son of RAM PIARA, R/o Vill.-Rampur Lallian, PO-Lambra, Jalandhar-144026, Punjab, have changed my name and shall hereafter be known as PRITAM DASS.

It is certified that I have complied with other legal requirements in this connection.

PRITAM DASS KAULDHAR
[Signature (in existing old name)]

I hitherto known as PANNA LAL VERMA son of CHAMAN LAL VERMA, residing at H. No. 2063, Guru Nanak Nagar, Saini Public School, Haibowal, Ludhiana, Punjab-141001, have changed my name and shall hereafter be known as PANKAJ VERMA.

It is certified that I have complied with other legal requirements in this connection.

PANNA LAL VERMA
[Signature (in existing old name)]

I hitherto known as KARAMJIT SINGH S/o GURCHARAN SINGH, residing at Village Izzatwala, P.O. Nasirewala, Tehsil Dharamkot, District Moga, Punjab-142058, have changed my name and shall hereafter be known as PARAMJIT SINGH.

It is certified that I have complied with other legal requirements in this connection.

KARAMJIT SINGH
[Signature (in existing old name)]

I hitherto known as ASEEM BASHA M son of Sh. ALLABAKASH, residing at Room No. 307, Hostel 18 (Kashyap), Masjid Moth, Ansari Nagar, Safdarjung Enclave, Delhi-110029, have changed my name and shall hereafter be known as ASEEM ARYA.

It is certified that I have complied with other legal requirements in this connection.

ASEEM BASHA M
[Signature (in existing old name)]

I hitherto known as RASHMI PRABHA RAI D/o RAM NARAYAN PANDEY, residing at 12, New Colony Chilmapur, Bargo, Shivpuri, New Colony, Sahjanwa Gorakhpur, Uttar Pradesh-273016, have changed my name and shall hereafter be known as ZAINAB FATIMA.

It is certified that I have complied with other legal requirements in this connection.

RASHMI PRABHA RAI
[Signature (in existing old name)]

I hitherto known as SIMPLE SONDHI daughter of VINAY SONDHI wife of GURPREET, residing at Flat No.-6, Third Floor, Bhagat Singh Colony, Jalandhar, Punjab-144001, have changed my name and shall hereafter be known as AMRIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

SIMPLE SONDHI
[Signature (in existing old name)]

I hitherto known as MAYA KUMARI PATEL D/o Sh. LALIT PATEL W/o AAMIR KHAN, R/o E-332B, Gama-1, Greater Noida, Gautam Budh Nagar, 201308, have changed my name and shall hereafter be known as AYSHA KHAN.

It is certified that I have complied with other legal requirements in this connection.

MAYA KUMARI PATEL
[Signature (in existing old name)]

I hitherto known as ANISHA TYAGI D/o BIJANDER TYAGI W/o NASIR SOHAIL, R/o H. No. RZ C-42, Anoop Nagar, Near Shishu Kalyan Public School, Jeevan Park, Uttam Nagar, West Delhi, Delhi-110059, have changed my name and shall hereafter be known as ALISHA NASIR.

It is certified that I have complied with other legal requirements in this connection.

ANISHA TYAGI
[Signature (in existing old name)]

I hitherto known as SARAL KUMARI D/o DEVI CHARAN and W/o MOHAMMAD IRFAN ILAHI, residing at House No.-26, Pinjore, Panchkula, Haryana-134102, have changed my name and shall hereafter be known as SALIKA.

It is certified that I have complied with other legal requirements in this connection.

SARAL KUMARI
[Signature (in existing old name)]

CHANGE OF RELIGION

I hitherto known as ASEEM BASHA M son of Sh. ALLABAKASH residing at Room No.307, Hostel 18 (Kashyap), Masjid Moth, Ansari Nagar, Safdarjung Enclave, Delhi-110029, do hereby solemnly affirm and declare that I have embraced HINDUISM and renounced ISLAM with effect from 22.06.2019.

It is certified that I have complied with other legal requirements in this connection.

ASEEM BASHA M
[Signature]

I, RASHMI PRABHA RAI D/o RAM NARAYAN PANDEY, residing at 12, New Colony Chilmapur, Bargo, Shivpuri New Colony, Sahjanwa Gorakhpur, Uttar Pradesh-273016 do hereby solemnly affirm and declare that I have embraced ISLAM and renounced HINDUISM with effect from 23-05-2019.

It is certified that I have complied with other legal requirements in this connection.

RASHMI PRABHA RAI
[Signature]

I, SIMPLE SONDHI daughter of VINAY SONDHI, wife of GURPREET, employed as Homemaker, residing at Flat No-6, 3rd Floor, Bhagat Singh Colony, Jalandhar-144001 do hereby solemnly affirm and declare that I have embraced SIKHISM and renounced HINDUISM with effect from 04/09/2019.

It is certified that I have complied with other legal requirements in this connection.

SIMPLE SONDHI
[Signature]

I, MAYA KUMARI PATEL D/o Sh. LALIT PATEL wife of AAMIR KHAN, R/o E-332B, Gama-1, Greater Noida, Gautam Budh Nagar, 201308, do hereby solemnly affirm and declare that I have embraced ISLAM religion and renounced HINDU religion w.e.f. 26.04.2019

It is certified that I have complied with other legal requirements in this connection.

MAYA KUMARI PATEL
[Signature]

I, ANISHA TYAGI D/o BIJANDER TYAGI W/o NASIR SOHAIL, R/o H.No. RZ C-42, Anoop Nagar, Near Shishu Kalyan Public School, Jeevan Park, Uttam Nagar, West Delhi, Delhi-110059, do hereby solemnly affirm and declare that I have embraced ISLAM Religion and renounced HINDU Religion w.e.f. 12.02.2014.

It is certified that I have complied with other legal requirements in this connection.

ANISHA TYAGI
[Signature]

I, SARAL KUMARI daughter of DEVI CHARAN and wife of MOHAMMAD IRFAN ILAHI, residing at House No-26, Pinjore, Panchkula, Haryana-134102, do hereby solemnly affirm and declare that I have embraced MUSLIM and renounced HINDU religion with effect from 12-04-2014.

It is certified that I have complied with other legal requirements in this connection.

SARAL KUMARI
[Signature]

I, SWETA CHODAVARAPU daughter of C. ANAND KUMAR, residing at Flat 301, 4th Floor, Sivani Residency, Padmavathinagar, Banjara Hills, Hyderabad, Telengana-500004, do hereby solemnly affirm and declare that I have embraced CHRISTIANITY religion and renounced HINDUISM with effect from 23/12/2009.

It is certified that I have complied with other legal requirements in this connection.

SWETA CHODAVARAPU
[Signature]

PUBLIC NOTICE

It is for general information that PAYAL SADANA D/o OM PRAKASH AHUJA Ex. W/o GAGANJEET SINGH, residing at N-5, Kalkaji, Aali South, Delhi-110019, declare that I got divorce from my husband vide Court Decree HMA No.339/19 dated 31/05/2019 Further I have changed my name and shall hereafter be known as PAYAL AHUJA.

It is certified that I have complied with other legal requirements in this connection.

PAYAL SADANA
[Signature]

It is for general information that I, VINNY SHARMA D/o RAJAN SHARMA Ex. W/o Sh. MANISH BALONI, residing at C-6/1/A Street No-9, Shyam Vihar Phase-2, Najafgarh, New Delhi-110043 declare that I remarried with ALOK SHARMA S/o Sh. BANWARI LAL SHARMA vide marriage certificate No. 90730000079926 dated 24-06-2019 after divorce of my previous husband MANISH BALONI vide court divorce No. HMA No.398/18 Saket Court dated 19-02-2018, henceforth name of my minor daughter ANNAYA BALONI, aged 3 years may be known as ANNAYA SHARMA and also the name of father of my minor daughter may be known as ALOK SHARMA.

It is certified that I have complied with other legal requirements in this connection.

VINNY SHARMA
[Signature]

It is for general information that I, RAKHI SAHI D/o JAMINI SINGHA ex. wife of RAVI DEEP SINGH SAHI, residing at Police Line Dantewada, Chattisgarh declare that I got divorce from my husband vide court Decree No. HMA NO: 905/10 dated 19/07/2010. Further I remarried with ZELJKO TODOROVIC SINHA son of SASA TODOROVIC, residing at 20- Marianne Gregorian, Belgrade, 11000, Belgrade Serbia vide marriage Registration: No 724/2011 dated 10/09/2011. I have changed my name to RAKHI SINHA TODOROVIC for all future purpose.

It is certified that I have complied with other legal requirements in this connection.

RAKHI SAHI
[Signature]

It is for general information that I, ABHISHEK KUMAR NAIDU S/o Shri RAJ KUMAR NAIDU & Smt. VASANTI NAIDU, employed as Probationary Officer in State Bank of India, residing at G-2 Sukhasagar Apartment, 27 Nehru Colony, Thatipur, Gwalior-474011 (Madhya Pradesh) was adopted by Shri RAJ KUMAR NAIDU on 20-09-1996 vide Sub Registrar, Gwalior registered adoption deed dated 23-09-1996. Thus, till now, I am known as ABHISHEK KUMAR NAIDU S/o Shri RAJ KUMAR NAIDU & Smt. VASANTI NAIDU.

But due to registration of a reverse deed before the Sub Registrar IX, New Delhi on date 15-02-2018, vide registered deed No. 138 in book No. 4 Vol; No.1906 on page No. 153-155, I have decided to remain with my natural parents Shri P. S. MANOHAR & Smt. SUJATHA MANOHAR and to retain my surname as P.M. instead of KUMAR NAIDU.

Therefore, Now, I hereafter be known as ABHISHEK P.M. S/o Shri P. S. MANOHAR & Smt. SUJATHA MANOHAR resident of G-2, Sukhasagar Apartment, 27 Nehru Colony, Thatipur, Gwalior-474011 (Madhya Pradesh).

It is certified that I have complied with other legal requirements in this connection.

ABHISHEK KUMAR NAIDU
[Signature]

I, RAMESHWAR LAL S/o JANWARI LAL residing at Rathori Kuwa, Tehsil & Distt-Nagaur, Rajasthan-341001, do hereby declare that my son MANISH, aged 14 years, has been adopted by RAM LAL KACHHAWA S/o MOHAN LAL KACHHAWA, residing at Ladkhaniya Bas, V.P.O-Chenar, Tehsil & Distt-Nagaur, Rajasthan-341001 vide Sub Registrar Nagaur, registered adoption deed No.2017-201703099400021 dated 11/04/2017.

It is certified that I have complied with other legal requirements in this connection.

RAMESHWAR LAL
[Signature of Natural Guardian]

I, RAM LAL KACHHAWA S/o MOHAN LAL KACHHAWA, aged 70 years, residing at Ladkhaniya Bas, V.P.O- Chenar, Tehsil & Distt-Nagaur, Rajasthan, Pin-341001, do hereby solemnly affirm and declare that I have adopted MANISH, aged 14 years, son of RAMESHWAR LAL Registrar Nagaur registered adoption deed No.2017-201703099400021 dated 11/04/2017.

Therefore MANISH hitherto known as MANISH son of RAMESHWAR LAL shall hereafter be known as MANISH S/o RAM LAL KACHHAWA as stated above with effect from 11/04/2017.

RAM LAL KACHHAWA
[Signature of Adopting Guardian]

It is for general information that I, NIMRAT KAUR D/o GURBIR SINGH BRAR, R/o VPO Khokhar, Distt. Sri Muktsar Sahib, Punjab-152025, declare that name of my father and my mother has been wrongly written as GURBIR SINGH and VEERPAL KAUR in my 10th and 12th class Marks sheet and Certificate. The actual name of my father and my mother are GURBIR SINGH BRAR and JASPREET KAUR BRAR respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NIMRAT KAUR
[Signature]

It is for general information that I, VIKASH S/o VIKRAM LAL, residing at H.No-2/1, Ward No-07, Rifyooji Colony, Sabzi Mandi Bijli Ghar Road, Kotdwara, Garhwal, Uttarakhand-246149, declare that name of mine has been wrongly written as RANVEER PRASAD in my father Service Book Record. The actual name of mine is VIKASH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIKASH
[Signature]

It is for general information that I, RACHANA W/o VIJAY KUMAR, residing at RZ-138A Gali No-2, Kailash Puri Extn., Palam Colony, Palam Village, South West Delhi, Delhi-110045, declare that name of mine has been wrongly written as RACHNA in my minor daughter SANSKRITI SHAKYA aged 14 years. The actual name of mine is RACHANA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RACHANA
[Signature]

It is for general information that I, VIJAY KUMAR S/o NAIN SINGH, residing at D-27, Janakpuri, Sahibabad, Pasonda, Ghaziabad, Uttar Pradesh-201005, declare that name of mine has been wrongly written as VIJAY SINGH in my Corporation Bank Pass Book. The actual name of mine is VIJAY KUMAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIJAY KUMAR
[Signature]

It is for general information that I, HIRA S/o NARAYAN, residing at H.No-87, Khasra No-643, Block-D1, Balbir Vihar, Kirai, Kirari Suleman Nagar, North West Delhi, Delhi-110086, declare that name of mine has been wrongly written as HIRALAL in my minor daughter KIRAN aged 14 years in her School Records. The actual name of mine is HIRA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

HIRA
[Signature]

It is for general information that I, MOHAMMAD RAHMAN S/o MUKHTAR AHMED, residing at 2280, Bazar Chitti Qaber, Chatta Mom Gran, Turkman Gate, Delhi G.P.O.,

North Delhi, Delhi-110006, declare that name of mine has been wrongly written as MOHAMMAD REHMAN in my Passport No: G6093859. The actual name of mine is MOHAMMAD RAHMAN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MOHAMMAD RAHMAN
[Signature]

It is for general information that I, SHRUTI PAYAL JAIN W/o MANISH KUMAR JAIN, residing at E-143, Shastri Nagar, Delhi-110052, declare that name of mine has been wrongly written as SHRUTI PAYAL in my Pan Card and Aadhar Card and name of mine has been wrongly written as SHRUTI JAIN in my minor son RISHABH JAIN aged 14 years and my minor daughter ISHIKA JAIN aged 11 years in their School Records and Birth Certificate. The actual name of mine is SHRUTI PAYAL JAIN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SHRUTI PAYAL JAIN
[Signature]

It is for general information that I, KRISHAN PAL SINGH S/o Late ISHER RAM, residing at House No-8/8A, Shiv Mandir Street, Mandi Mullanpur, Dist - Ludhiana, (Punjab), PIN-141101, declare that name of my wife has been wrongly written as BALJIT KAUR (Wrong name) in my PPO No. S/C/016309/1985. The actual name of my wife is KANTA DEVI, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KRISHAN PAL SINGH
[Signature]

It is for general information that I, DEVESH PANWAR son of JAIPAL SINGH, residing at Riware (4), Chang, Bhiwani, Haryana-127027, declare that name of my minor Son TEJAS PARMAR aged 14 Years has been wrongly written as TEJASVI PANWAR in his Birth Certificate, and TEJASVI in his School Educational documents. The actual name of my minor Son is TEJAS PARMAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DEVESH PANWAR
[Signature]

It is for general information that I, REKHA KAUR W/o TRILOK SINGH, residing at B-121 B, Uday Vihar, Chander Vihar, Extension, Nilothi West Delhi, Delhi-110041, declare that name of mine has been wrongly written as RAMANDEEP KAUR in my minor daughter JASPREET KAUR aged 14 years in her School Records. The actual name of mine is REKHA KAUR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

REKHA KAUR
[Signature]

It is for general information that I, GEETA RANI W/o VINOD KUMAR TRIPATHI, residing at 80-D, Platinum Enclave, Pocket-I, Sector-18, Rohini, North West Delhi, Delhi-110089, declare that name of mine has been wrongly written as GEETA TRIPATHI in my minor son VISHWAS KUMAR TRIPATHI aged 14 years in his School Records. The actual name of mine is GEETA RANI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

GEETA RANI
[Signature]

It is for general information that I, SUNITA W/o SUDHIR, residing at Flat No-102, Plot No-200, Pana Udyan, Narela, North West Delhi, Delhi-110040, declare that name of mine, my husband and my minor son NIKHIL aged 13 years has been wrongly written as SUNITA SHARMA, SUDHIR SHARMA and NIKHIL SHARMA in their School Records. The actual name of mine, my husband and my minor son are SUNITA, SUDHIR and NIKHIL, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUNITA
[Signature]

It is for general information that I, RASHMI BHATNAGAR D/o SURINDER KUMAR BHATNAGAR W/o RAJESH PATHAK, residing at H.No-1148, Saini Vihar, Phase-3, Baltana, SAS Nagar, Mohali, Punjab-140604, declare that name of my mother has been wrongly written as URMILA in my Passport No:E0569681. The actual name of my mother is URMIL BHATNAGAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RASHMI BHATNAGAR
[Signature]

It is for general information that I, ATABAR RAHMAN S/o MOHAMMAD MESHAR ALI, residing at H.No-146, Pocket-8, Sector-A-5, Punarwas Colony, Narela, North West Delhi, Delhi-110040, declare that name of mine has been wrongly written as ABDUL REHMAN in my minor daughter's AFRIJA aged 14 years, KHUSHBOO aged 17 years and my minor son's MD ABDULLA aged 12 years and MD ABUSAMA aged 08 years in their School Records, Aadhar Card, Birth Certificate and my Passport No:L8800523. The actual name of mine is ATABAR RAHMAN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ATABAR RAHMAN
[Signature]

It is for general information that I, BERONICA KUJUR D/o CARLUS KUJUR W/o KAMRAJ TIRKEY residing at Nilambur Village, Oralkatcha P.O, Baratang Middle Andaman-744210, declare that name of my father has been wrongly written as TINTUS KUJUR in my School Certificate and Service Record. The actual name of my father is CARLUS KUJUR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

BERONICA KUJUR
[Signature]

It is for general information that I, RAJEEV KAUR W/o BHUPINDER SINGH, residing at EA-49, 1st Floor, Inderpuri, Central Delhi, Delhi-110012, declare that name of mine has been wrongly written as RAJIV KAUR in my Passport No:G6947704. The actual name of mine is RAJEEV KAUR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJEEV KAUR
[Signature]

It is for general information that I, DIVYA D/o PREET SINGH and W/o INDER SAIN GUPTA, R/o 15-B, Gali No-5, Gupta Enclave, Vikas Nagar, Uttam Nagar, Delhi-110059, declare that name of my father has been wrongly written as PRITAM BANSAL in my Pan Card number FMBPD0239L. The actual name of my father is PREET SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DIVYA
[Signature]

It is for general information that I, HARISH KUMAR TIWARI S/o NARAYAN DUTT TIWARI, R/o Quarter Number-144, Second Floor, Type-1, Block-A, Minto Road, Darya Ganj, Delhi-110002, declare that name of mine has been wrongly written as HARISH TIWARI in my minor son SARTHAK TIWARI aged 14 years in his School Records. The actual name of mine is HARISH KUMAR TIWARI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

HARISH KUMAR TIWARI
[Signature]

It is for general information that I, BHOGENDBRA RAM S/o CHANNU RAM, R/o A-67, Vijay Vihar, Phase-2, Sector-4, Rohini, Delhi-110085, declare that name of mine & my minor son KRISHNA RAM aged 15 years has been wrongly written as VIRENDER YADAV & KRISHNA YADAV in his educational documents. The actual name of mine & my minor son is BHOGENDBRA RAM & KRISHNA RAM which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

BHOGENDBRA RAM
[Thumb Impression]

It is for general information that I, AMIT SINHA S/o KRISHNA MOHAN SINHA, R/o 302, Chandram, Jagat Narayan Road, Patna, Kadamkuan, Patna, Bihar-800003, declare that my name has been wrongly written as AMIT KRISHNA MOHAN SINHA in my Passport No : H4730175. The actual name of mine is AMIT SINHA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AMIT SINHA
[Signature]

It is for general information that I, LALIT SHARMA son of SUNDER DASS, residing at B-19, Second Floor, New Gupta Colony, Near Kalyan Vihar, Street.No.-18, Delhi-110009, declare that name of mine and my father has been wrongly written as LALIT KUMAR SHARMA and SUNDER LAL SHARMA in my educational Documents. The actual name of mine and my father are LALIT SHARMA and SUNDER DASS, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

LALIT SHARMA
[Signature]

It is for general information that I, ROHITASH MEHTA S/o Sh. RAVINDER KUMAR MEHTA, R/o 5/16, Single Storey, Near Durga Mandir, Ramesh Nagar, New Delhi-110015, that name of mine and my father has been wrongly written as ROHITASH KUMAR and R.K. MEHTA in my driving license No.DL04 19980241203. The actual name of mine and my father is ROHITASH MEHTA and RAVINDER KUMAR MEHTA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ROHITASH MEHTA
[Signature]

It is for general information that I, VIJAY PAL SINGH RAWAT S/o MANBAR SINGH RAWAT, R/o 33, State Bank Colony, Rani Bagh, Delhi 110034, declare that name of mine has been wrongly written as VIJAY RAWAT in birth records of my minor son (aged 15 years), DHRUV RAWAT. The actual name of mine is VIJAY PAL SINGH RAWAT, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIJAY PAL SINGH RAWAT
[Signature]

It is for general information that I, JAKIR HUSSAIN S/o ALI IMAM, residing at 1659-D, Gali No-15, Govindpuri, Kalkaji, New Delhi-110019, declare that name of my minor daughter SHAHEEN HUSSAIN aged 14 years has been wrongly written as SHAHIN HUSSAIN in her School Records. The actual name of my minor daughter is SHAHEEN HUSSAIN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JAKIR HUSSAIN
[Signature]

It is for general information that I, MANPREET SINGH S/o DALJEET SINGH, R/o Ward No-12, Canal Colony, Mallanwala, Ferozepur, Punjab-152021, declare that my name has been wrongly written as MANPREET SINGH KHINDA in my Passport : H7332706. The actual name of mine is MANPREET SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MANPREET SINGH
[Signature]

It is for general information that I, SNEHLATA TOKAS W/o RAJBIR SINGH TOKAS, R/o 119B/2B, Munirka Village, New Delhi-110067, that name of mine has been wrongly written as SHNELATA TOKAS in the school record of my minor daughter YASHIKA TOKAS aged 14 years. The actual name of mine is SNEHLATA TOKAS, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SNEHLATA TOKAS
[Signature]

It is for general information that I, AMIT YADAV S/o LAL SINGH YADAV, residing at H.No-14, Near Primary School, Badli, North West Delhi, Delhi-110042, declare that name of my father has been wrongly written as L S YADAV in my Driving License. The actual name of my father is LAL SINGH YADAV, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AMIT YADAV
[Signature]

It is for general information that I, RAMBHAROSI S/o SHIVNATH, residing at C-1/88, Rohini Sector-20, Sultanipuri C Block, North West Delhi, Delhi-110086, declare that name of mine and my wife has been wrongly written as MUNNA and SOMWATI in my minor daughter NISHA aged 14 years in her School Records. The actual name of mine and my wife are RAMBHAROSI and SHUKHWATI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAMBHAROSI
[Signature]

It is for general information that I, KAUSHALYA W/o Sh. PRITHVI RAJ MEENA, RZH-255, Gali No. 7, Raj Nagar Part-2, Palam Colony, South West Delhi, Delhi-110077, Declare that name of mine, and my husband, has been wrongly written as KAUSHALIYA and PRITHVIRAJ MEENA in my minor daughter GUNJAN age 13 years her birth certificate, School Record. The Actual Name of mine, and my husband is KAUSHALYA and PRITHVI RAJ MEENA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KAUSHALYA
[Signature]

It is for general information that I, VENDRA ELISHA S/o VENDRA RUKMADA RAO, R/o 3-89/1, Kontheru, Yalamanchili Mandalam, Distt. West Godavari, (AP)-534260, declare that name of mine my mother and my father has been wrongly written as YANDRA ELISHA, YANDRA NAGALAKSHMI and YANDRA PRASANNA KUMAR in my Secondary School Certificate. The actual name of mine, my mother and my father is VENDRA ELISHA, VENDRA NAGALAKSHMI and VENDRA RUKMADA RAO respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VENDRA ELISHA
[Signature]

It is for general information that I, B. SAUJANYA daughter of B. DAKSHINA MURTY, residing at Qrs. No. L/49B-1, Unit-21, Ward No. 18, Near Sai Baba Mandir, New Settlement, PO & P.S.-Kharagpur (T), Distt. Paschim Medinipur (WB), Pin-721301, declare that the name of mine and my father's name have been wrongly written as BUNGA SAUJANYA, D/o BUNGA DAKSHINA MURTY in my educational documents. The actual name of mine and my father's are B. SAUJANYA, D/o B. DAKSHINA MURTY, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

B. SAUJANYA
[Signature]

It is for general information that I, PRADEEP KUMAR SAXENA son of BISSION SWAROOP SAXENA, residing at Delite Talkies Compound, South Civil Line, Jabalpur (M.P.), declare that name of mine has been wrongly written as PRADEEP KUMAR in my service record. The actual name of mine is PRADEEP KUMAR SAXENA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PRADEEP KUMAR SAXENA
[Signature]

It is for general Information that I, PALAK daughter of Sh. RAVINDER KUMAR, residing at 4882, Sunny Enclave, Adjoining park, Kharar, declare that name of mine, my father and mother has been wrongly written as PALAK CONDAL, RAVINDER KUMAR CONDAL and ASHA CONDAL in my +2 and Graduation Certificates. The actual name of mine is PALAK, my father is Sh. RAVINDER KUMAR and that of my Mother is Smt. ASHA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PALAK
[Signature]

It is for general information that I, SUBRATA GHOSH S/o NITAI CHANDRA GHOSH, residing at Type-5 Qtr, NIT Campus, Post - NIT Campus, Jalandhar, Punjab, Pin - 144011, declare that name of mine, has been wrongly written as SUBRATA KUMAR GHOSH in my Higher Secondary Examination educational document. The actual name of mine is SUBRATA GHOSH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUBRATA GHOSH
[Signature]

It is for general information that I, SHEELA K, D/o K. PAVITHRAN, residing at VPS 325, Vattavila, Thirumala P.O. Thiruvananthapuram, Kerala, declare that name of mine has been wrongly written as SHEELA MURALEEDHARAN in my service book. The actual name of mine is SHEELA. K, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SHEELA K
[Signature]

It is for general information that I, DAPPILI RAMANA REDDY S/o DAPPILI RANGASWAMY REDDY, Private Employee, R/o H.No. 1-36/1/12/113, Vemana Reddy Colony, Chandanagar, K.V. Ranga Reddy District-500050, Telangana, declare that the name of mine and my father's name has been wrongly written as DAPPITI RAMANA REDDY and DAPPITI RANGASWAMY REDDY in my all Educational Certificates, Passport, PAN Card and in other documents. The actual names of mine and my father are DAPPILI RAMANA REDDY and DAPPILI RANGASWAMY REDDY respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DAPPILI RAMANA REDDY
[Signature]

It is for general information that I, PUPPALA NAVEEN SWARAJ S/o PUPPALA MANIKYALA RAO, Student, R/o H.No. 3-48, Panchayat Road, Gummuluru, Akividu Mandal, West Godavari District-534235, Andhra Pradesh, declare that the name of mine and my father's name has been wrongly written as P. NAVEEN SWARAJ and P. MANKALI in my Intermediate Certificate; my father name has been wrongly written as PUPPALA MAHANKALI in my SSC Certificate and in other documents. The actual names of mine and my father are PUPPALA NAVEEN SWARAJ and PUPPALA MANIKYALA RAO respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PUPPALA NAVEEN SWARAJ
[Signature]

It is for general information that I, SANDEEP GUPTA S/o SATISH CHAND GUPTA, residing at B-4/53A, Ashok Vihar Phase-II, Delhi-110052, declare that name of my wife has been wrongly written as AASHIMA GUPTA in my minor daughter GAURI GUPTA aged 14 years and my minor son NAMAN GUPTA aged 12 years in their School Records. The actual name of my wife is ASHIMA GUPTA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SANDEEP GUPTA
[Signature]

It is for general information that I, RONIE SIDHU S/o SAMUEL SIDHU, residing at H. No. 1307, Mohala Kanyawali, Garha, Jalandhar, Punjab, India - 144022, declare that name of my spouse has been wrongly written as RAVEKA MALACHI on my Passport. The actual name of my spouse is RAVEKA SIDHU, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RONIE SIDHU
[Signature]

It is for general information that I, SUBEDAR RAM S/o BANAVARI, residing at H. No.-410, Vijay Nagar, Krishna Nagar, Ghaziabad, Uttar Pradesh-201009, declare that name of my wife has been wrongly written as SHYAMLATA in my Service Record. The actual name of my wife is SHANTI DEVI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUBEDAR RAM
[Signature]

It is for general information that I, ROBIL SINGH S/o DEVENDER SINGH, residing at 6, Village-Bhagat Bhakrailya, Naujheel, Banger, Mathura, Uttar Pradesh-281203, declare that name of my father has been wrongly written as D. SINGH in my 10th, 12th and all educational documents. The actual name of my father is DEVENDER SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ROBIL SINGH
[Signature]

It is for general information that I, DAULAT RAM PAWAR S/o Sh. BABU LAL, residing at B-7 Extn./75, Safdarjung Enclave, Delhi- 110029, declare that name of mine has been wrongly written as D.R. PAWAR in my Pension Payment Order (P.P.O. No.- 572880000299) in Zonal Accounts Office, Central Board of Direct Taxes, N/Block, Vikas Bhawan, I.P. Estate, Delhi-110002. The actual name of mine is DAULAT RAM PAWAR, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DAULAT RAM PAWAR
[Signature]

It is for general information that I, MANJEET SINGH S/o RAJIV KUMAR, residing at E-29, Ranjeet Vihar, Nilothi Extention, West Delhi, Delhi-110041, declare that name of mine and my father has been wrongly written as MANJEET and RAJEEV KUMAR in my Birth Certificate, Domicile Certificate No. 90540000143971 and Caste Certificate No. SC/03/31/43999/9/9/2011/8931055340. The actual name of mine and my father are MANJEET SINGH and RAJIV KUMAR, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MANJEET SINGH
[Signature]

It is for general information that I, 643199 EX- SERGEANT RAJENDRA PRASAD S/o Sh. HOSHIAR SINGH, residing at H.No.-749-P, Sector-3, Part-I, Rewari, Tehsil & Distt.-Rewari, Haryana-123401, declare that name of mine has been wrongly written as RAJENDER PARSHAD in my PPO No. 08/14/B/CORR/12101/89 and Original PPO No. 08/14/B/21378/1988 and Certificate of Discharge. The actual name of mine is RAJENDRA PRASAD, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJENDRA PRASAD
[Signature]

It is for general information that I, SATVINDER KAUR W/o AMARJEET SINGH KATOCH, residing at A-67/A, Hastal Vihar, DK Mohan Garden, West Delhi, Delhi-110059 declare that name of mine has been wrongly written as JYOTI in my minor daughter AASTHA KATOCH, aged 14 years in her Birth Certificate and School Records. The actual name of mine is SATVINDER KAUR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SATVINDER KAUR
[Signature]

It is for general information that I, VIJAY KUMAR S/o RAM SAWRUP, residing at RZC-132, Nihal Vihar, Nangloi, Nilothi, West Delhi, Delhi-110041, declare that name of mine and my wife has been wrongly written as VIJAY and LEELA in my minor daughter SANJU KUMARI aged 10 years in her School Records and name of mine and my wife has been wrongly written as VIJAY KUMAR CHAURASIYA and LEELAVATI in my minor son JAGDISH aged 14 years in his School Records. The actual name of mine and my wife are VIJAY KUMAR and LILA DEVI, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIJAY KUMAR
[Signature]

It is for general information that I, KUSHMA DEVI W/o RAJU, residing at WZ-901, WZ- Block, Naraina Village, Delhi-110028, declare that name of mine has been wrongly written as SUSHMA DEVI in my minor daughter SONAM aged 14 years in her School Records. The actual name of mine is KUSHMA DEVI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KUSHMA DEVI
[Signature]

It is for general information that I, TARLOCHAN SINGH S/o JEET RAM, residing at V.P.O.-Jagatpur Jattan, Distt.-Kapurthala, Punjab-144402, declare that name of mine has been wrongly written as TUSHA RAM in my Passport No: F7185953. The actual name of mine is TARLOCHAN SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

TARLOCHAN SINGH
[Signature]

It is for general information that I, NIRMAL KISHORE SHARMA son of RAGHUNANDAN SHARMA, residing at RZ-769 (RZ-20M/1), Street No. 4, Main Sagar Pur, New Delhi 110046, declare that name of my wife has been wrongly written as NEENA SHARMA in my minor son SHIVANSH VASHISTH aged 15 years in his school records. Actual name of my wife is NAVEEN SHARMA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NIRMAL KISHORE SHARMA
[Signature]

It is for general information that I, RAJENDER PAL JALOTA son of KHERATI RAM, residing at 2228, Raja Park, Rani Bagh, Shakur Basti, Saraswati Vihar, North West Delhi, Delhi-110034, declare that name of mine and my father has been wrongly written as RAJINDER PAL and KHARAITI RAM in my Service Record. The actual name of mine and my father are RAJENDER PAL JALOTA and KHERATI RAM, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJENDER PAL JALOTA
[Signature]

It is for general information that I, KIRAN daughter of Sh. MAHENDER SINGH, R/o WZ-764, Badiyal Moholla, Palanm Village, New Delhi-110045, Declare that name of my father has been wrongly written as MAHENDER in my PAN Card (GFZPK4021P) records. The Actual name of my father is MAHENDER SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KIRAN
[Signature]

It is for general information that I, RAKESH KUMAR son of KANHAIYALAL SHARMA, residing at H. No. 4, Amar Vihar Colony, Najafgarh, New Delhi-110043, declare that name of mine and my minor son has been wrongly written as RAKESH and ISHANT in my minor son ISHANT SHARMA aged 10 years in his school records actual name of mine and my minor son is RAKESH KUMAR and ISHANT SHARMA, respectively. And name of mine has been wrongly written as RAKESH in my minor son YUVRAJ SHARMA aged 13 years in his school records. The actual name of mine is RAKESH KUMAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAKESH KUMAR
[Signature]

It is for general information that I, BHARAT SINGH S/o PARMESHVAR, R/o C-328, Navneet Vihar, Khora Colony Khora Ghaziabad, Khora Gaon, U.P.-201909, declare that name of mine has been wrongly written as BHARAT KUMAR in my S.B.I. Account No. 10117183158. The actual name of mine is BHARAT SINGH, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

BHARAT SINGH
[Signature]

It is for general information that I, KAVITA SINGH W/o PRAMOD KUMAR, R/o H. No. 4-A, SUNLIGHT COLONY-2, DELHI-110014, declare that the name of my minor daughter's aged 16 years has been wrongly written as RITIKA in her school record. That the actual name of my daughter's is RITIKA SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KAVITA SINGH
[Signature]

It is for general information that I, GURSHARAN SINGH S/o Sh. GURDIAL SINGH, R/o S-2/149, Near Arya Samaj Mandir, Jugial Colony, Shahpurkandi Township, Tehsil & Distt. Pathankot-145029, declare that name of mine has been wrongly written as GURSHARAN SINGH BAJWA in my Passport No. H335945. The actual name of mine is GURSHARAN SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

GURSHARAN SINGH
[Signature]

It is for general information that I, RAVINDER KUMAR S/o TULA RAM, R/o 74-A Near Shiv Mandir Mithe Pur Badarpur South Delhi-110044, declare that name of mine has been wrongly written as RAVINDER KASANA in My Minor Son's TANISHK KASANA, aged 13 years and TANUJ KASANA, aged 13 years in School Records. The actual name of mine is RAVINDER KUMAR Respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAVINDER KUMAR
[Signature]

It is for general information that I, JAY KISHUN RAM S/o SUKHAI RAM, residing at C-850, Agar Nagar, Prem Nagar-3, Kirari Suleman Nagar, North West Delhi, Delhi-110086 declare that name of mine has been wrongly written as JAI KISHAN BHARTI in my minor son AYUSH KUMAR aged 16 years in his 10th Class Mark Sheet and Certificate. The actual name of mine is JAY KISHUN RAM, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JAY KISHUN RAM
[Signature]

It is for general information that I, DEEPANSHU GANDHI S/o Sh. RANJAN KUMAR R/o Plot No.F-126-B, F/F, Extension-1-B, Nangloi, Delhi-110041, declare that name of my father and my mother has been wrongly written as RANJAN GANDHI and NEELAM GANDHI in my School Certificates and Adhaar Card. The actual name of my father and my mother are RANJAN KUMAR and NIRMALA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DEEPANSHU GANDHI
[Signature]

It is for general information that I, GURPREET SINGH S/o GURJANT SINGH, residing at Ran singh Wala Bargari Road, Tehsil-Jaito, Distt.-Faridkot, Punjab-151208, declare that name of mine has been wrongly written as GURPREET SINGH BRAR in my Passport No. G3033358. The actual name of mine is GURPREET SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH
[Signature]

It is for general information that I, PANKAJ KUMAR GUPTA S/o FATEH CHAND GUPTA, residing at H. No.-4/1732, Mahavir Block, Opposite Sona Clinic, Bhola Nath Nagar, Shahdara, Delhi-110032, declare that name of mine has been wrongly written as PANKAJ SINGHAL in my minor son VANSI SINGHAL aged 13 years and minor daughter SHAGUN SINGHAL aged 8 years in their School Records. The actual name of mine is PANKAJ KUMAR GUPTA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PANKAJ KUMAR GUPTA
[Signature]

It is for general information that I, ASHA RANI YADAV W/o JAI PARKASH UPADHYAY, residing at H. No.-597, Second Floor, AG Block, Shalimar Bagh, North West Delhi, Delhi-110088, declare that name of mine has been wrongly written as ASHA UPADHYAY and ASHA in my minor daughter SUMEDHA UPADHYAY aged 14 years and my minor son NIKHIL UPADHYAY aged 12 years in their School Records and Birth Certificate. The actual name of mine is ASHA RANI YADAV, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ASHA RANI YADAV
[Signature]

It is for general information that I, SUMIT KUMAR S/o DEVENDER KUMAR, residing at H. No.-RZB 209, Nihal Vihar, Nangloi, West Delhi, Delhi-110041, declare that name of mine and my father has been wrongly written as SUMIT KUMAR SHARMA and DEVENDER KUMAR SHAMRA in my educational documents. The actual name of mine and my father are SUMIT KUMAR and DEVENDER KUMAR, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUMIT KUMAR
[Signature]

It is for general information that I, MOHNISH CHAUHAN S/o Mr. JIWAN PRAKASH CHAUHAN, R/o TA-173/A, Second Floor, Gali No. 3, Tughlakabad Extension, New Delhi-110019, declare that my mother's name is written as LILA NEELAM CHAUHAN in my school certificates. The actual name of my mother is LILA CHAUHAN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MOHNISH CHAUHAN
[Signature]

It is for general information that I, NEHA CHAUHAN D/o Mr. JIWAN PRAKASH CHAUHAN, R/o TA-173/A, Second Floor, Gali No. 3, Tughlakabad Extension, New Delhi-110019, declare that my mother's name is written as NEELAM CHAUHAN in my school certificates. The actual name of my mother is LILA CHAUHAN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NEHA CHAUHAN
[Signature]

It is for general information that I, AKANSHA GANDHI D/o INDERJEET SINGH GANDHI, residing at H. No.-77A, Khurana colony, Bhanina Road Ludhiana, Punjab-141010, declare that name of my father and my mother has been wrongly written as INDERJIT SINGH and MANJIT KAUR/ MANJEET KAUR in my educational documents. The actual name of my father and my mother are INDERJEET SINGH GANDHI and RITA GANDHI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AKANSHA GANDHI
[Signature]

It is for general information that I, BHARATI RAWLA W/o SANJIT SINGH, residing at A-5, Sector-41, Noida-201301, declare that name of mine has been wrongly written as BHARATI SINGH in my minor daughter NANKI SINGH aged 17 years and my minor son RAUNAQ SINGH aged 15 years in their Passport. The actual name of mine is BHARATI RAWLA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

BHARATI RAWLA
[Signature]

It is for general information that I, KAMLJIT KAUR Wife of BALDEV SINGH, residing at Village Samrari, P.O. Bara Pind PS Phillaur, Distt. Jalandhar, Punjab-144418, declare that name of my father has been wrongly written as CHARAN DASS in my Passport No. F5029212. The actual name of my father is CHARAN SINGH respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KAMLJIT KAUR
[Signature]

It is for general information that I, KASHMIR SINGH S/o RAM SINGH, residing at Chhadauri, P.O.- Begumpur, Nawanshahr, Punjab-144524, declare that name of my wife has been wrongly written as RANI in my Passport No. J9586449. The actual name of my wife is KASHMIR KAUR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KASHMIR SINGH
[Signature]

It is for general information that I, DEEPAK KUMAR S/o RAMDHAN SINGH, residing at 603, Gali No. 7, Ambedkar Nagar Ext., Haiderpur Village, Near Max Healthcare, Shalimar Bagh, North West Delhi, Delhi-110088, declare that name of mine has been wrongly written as DEEPAK CHAUHAN in my minor son's AYUSH CHAUHAN aged 13 years and ABHINAV CHAUHAN aged 10 years in their School Records. The actual name of mine is DEEPAK KUMAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DEEPAK KUMAR
[Signature]

It is for general information that I, SACHIN CHOUHAN S/o PRITHBI RAJ, residing at H.No. A-16, Street No. 1, Ambika Vihar Karawal Nagar, North East Delhi, Delhi-110094, declare that name of my father and my mother has been wrongly written as PRITHVI RAJ CHAUHAN and NEELAM DEVI in my educational documents, Aadhar Card and State Bank of India Pass Book. The actual name of my father and my mother are PRITHBI RAJ and LAJJAWATI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SACHIN CHOUHAN
[Signature]

It is for general information that I, WILLIAM JOHN S/o ROBERT JOHN, residing at 88B, Ward No. 2, Mehrauli, South Delhi-110030, declare that name of mine has been wrongly written as WILLIAM DSOUZA in my Service Record. The actual name of mine is WILLIAM JOHN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

WILLIAM JOHN
[Signature]

It is for general information that I, ANIL KUMAR S/o SHREE NATH, residing at 331, A- Block, Mangol Puri, North West Delhi, Delhi-110083, declare that name of my minor son BHAVESH aged 14 years has been wrongly written as PASI BHAVESH KUMAR ANIL MRIDULA in his School Records. The actual name of my minor son is BHAVESH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ANIL KUMAR
[Signature]

It is for general information that I, DEEPAK S/o RAJPAL, residing at 31, Hukam Singh Wali Gali, Taj Pur Kalan, North West Delhi, Delhi-110036, declare that name of mine has been wrongly written as DEEPAK KUMAR in my Driving Licence No. DL-1120080005119. The actual name of mine is DEEPAK, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DEEPAK
[Signature]

It is for general information that I, DUSHYANT son of RAJBIR, residing at 31, Hukam Singh Wali Gali, Taj Pur Kalan, North West Delhi, Delhi-110036, declare that name of mine has been wrongly written as DUSHYANT KUMAR in my Driving Licence No. DL-1120080005084. The actual name of mine is DUSHYANT, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DUSHYANT
[Signature]

It is for general information that I, NABAGHAN PATRA S/o KOLHA PATRA, R/o Flat No. 33, Mahabhadrakali CGHS, Plot No. 6, Sector-13, Dwarka, Delhi-110078, declare that name of my daughter has been wrongly written as KUMARI LIPSITA PATRA in my minor daughter's LIPSITA PATRA aged 13 years in her Birth Certificate. The actual name of my daughter is LIPSITA PATRA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NABAGHAN PATRA
[Signature]

It is for general information that I, MAHENDER SINGH S/o HATTI SINGH, residing at H.No. C-1/5, Panchwati, Colony, Loni Border, Loni-Dehat, Ghaziabad, Uttar Pradesh-201102, declare that name of mine has been wrongly written as MOHINDER SINGH in my Service Record. The actual name of mine is MAHENDER SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MAHENDER SINGH
[Signature]

It is for general information that I, NABAGHAN PATRA S/o KOLHA PATRA, R/o Flat No. 33, Mahabhadrakali CGHS, Plot No. 6, Sector-13, Dwarka, Delhi-110078, declare that name of my daughter has been wrongly written as PRIYANKA in my minor daughter's PRIYANKA PATRA aged 7 years in her Birth Certificate. The actual name of my daughter is PRIYANKA PATRA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NABAGHAN PATRA
[Signature]

It is for general information that I, RAKESH BOTHRA S/o SUMAN KUMAR BOTHRA, residing at A-7/20, First Floor, Block-A, Krishna Nagar, Delhi-110051, declare that name of mine has been wrongly written as RAKESH KUMAR BOTHRA in my Passport No. Z3418939. The actual name of mine is RAKESH BOTHRA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAKESH BOTHRA
[Signature]

It is for general information that I, GYANENDRA KUMAR PARIDA S/o PRAVAKAR PATRA, residing at E-384-385, 2nd Floor, E-Block, Anandvas Shakurpur, North West Delhi, Delhi-110034, declare that name of mine and my wife has been wrongly written as GYANENDER and RETANJALI in my minor son RAJA AYUSH KUMAR aged 15 years in his School Records. The actual name of mine and my wife are GYANENDRA KUMAR PARIDA and RITANJALI PARIDA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

GYANENDRA KUMAR PARIDA
[Signature]

It is for general information that I, SARLA DEVI W/o RAJBIR SINGH, residing at H.No. 871/1, Gali No. 5, Near Sitaram Mandir, Ashok Bihar, Gurugram, Haryana-122015, declare that name of mine has been wrongly written as SARLA in my minor daughter PREETI SINGH aged 15 years in her School Records. The actual name of mine is SARLA DEVI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SARLA DEVI
[Signature]

It is for general information that I, VIJAY MAHTO son of Sh. RAMESHWAR MAHTO, R/o 3/27, F-1, Saket Block, Mandawali Fazalpur, East Delhi, Delhi-110092, declare that name of mine has been wrongly written as BIJAY MAHTO in my Vehicle Registration Certificate No. DL-1RK3279 dated 03.09.2007. The actual name of mine is VIJAY MAHTO, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIJAY MAHTO
[Signature]

It is for general information that I, NAWIN KUMAR son of CHANDESHWAR LAL DEV, R/o T-482/2E/3, 3rd Floor, Baljeet Nagar, New Delhi-110008, declare that name of mine has been wrongly written as NAVEEN KUMAR in my minor daughter's HARSHITA DEV aged 17 years school records. The actual name of mine is NAWIN KUMAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NAWIN KUMAR
[Signature]

It is for general information that I, VIMAL KUMAR SUNDRIYAL S/o TOTA RAM SUNDRIYAL, R/o DDA Flat No. 106, Dwarkadheesh Apartments Pocket-2, Sec-12, Dwarka, New Delhi-110078, declare that name of my father has been wrongly written as TOTA RAM SHASTRI in my 10th Class Documents. That actual name of my father is TOTA RAM SUNDRIYAL, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIMAL KUMAR SUNDRIYAL
[Signature]

It is for general information that I, SUDARSHAN KUMAR PHOGAT S/o SUMER SINGH, residing at RZ-21A, Prem Nagar, Phase-II, Najafgarh, New Delhi-110043, declare that name of mine has been wrongly written as SUDARSHAN KUMAR in my minor daughter DEEPALI aged 16 years in her 10th Class Mark Sheet and Certificate and my 10th Class Certificate and name of mine and my father has been wrongly written as SUDARSHAN KUMAR and S S PHOGAT in my Driving Licence No: DL09 19960052808. The actual name of mine and my father are SUDARSHAN KUMAR PHOGAT and SUMER SINGH respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUDARSHAN KUMAR PHOGAT
[Signature]

It is for general information that I, PRADEEP RATHOUR S/o Sh. JAI SINGH RATHOUR, R/o A3/39, A-Block, Street No. 1, Kabir Nagar, Kashi Ram Doodh Wale, Gokal Pur, Delhi-110094, declare that name of mine and my father has been wrongly written as PRADEEP and JAI SINGH in my service record and name of mine has been wrongly written as PARDEEP RATHOUR in my graduation degree. The actual name of mine and my father is PRADEEP RATHOUR and JAI SINGH RATHOUR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PRADEEP RATHOUR
[Signature]

It is for general information that I, AMAR RAJ son of Sh. RAM LAL JI, R/o 3/114, First Floor, 3-Block, Near Durga Mandir, Ramesh Nagar, New Delhi-110015, declare that name of mine and my minor daughter has been wrongly written as AMAR ARORA and ANISHA ARORA in the school record and birth certificate of my minor daughter ANISHA aged 14 years. The actual name of mine and my minor daughter is AMAR RAJ and ANISHA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AMAR RAJ
[Signature]

It is for general information that I, YASHIKA SINGH D/o Sh. SHYAM SUNDER, R/o H.No. I/20, G-1 Block, Gali No. 30 Raja Puri, Uttam Nagar, D.K. Mohan Garden, West Delhi, Delhi-110059, declare that name of my father has been wrongly written as SHAYAM SUNDER SINGH @ SHYAM SUNDER SINGH in my educational documents (10th & 12th C.B.S.C. Mark sheet and Certificate) Records. The actual name of my father is SHYAM SUNDER, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

YASHIKA SINGH
[Signature]

It is for general information that I, GUDIYA W/o SATYA PRAKASH, residing at J-217, Sardar Colony, Gali No. 6, Rohini Sector-16, North West Delhi, Delhi-110089, declare that name of mine has been wrongly written as GUDDI in my minor daughter LAXMI aged 13 years in her School Records. The actual name of mine is GUDIYA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

GUDIYA
[Signature]

It is for general information that I, SOM DUTT son of DHARM SINGH, residing at 27/500/5H, Siddharth Gali No.10, Block-27, Vishwas Nagar, North East Delhi, Delhi-110032, declare that name of mine and my minor son SOURABH aged 16 years has been wrongly written as SONU and SAURAV in his School Records. The actual name of mine and my minor son are SOM DUTT and SOURABH respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SOM DUTT
[Signature]

It is for general information that I, AARISH BAIG son of ANWAR BAIG, residing at H.No. D-38, Gali No. 1, Batla House, Jamia Nagar, New Friends Colony, South Delhi, Delhi-110025, declare that name of my father has been wrongly written as ANWER BAIG in my 10th Marksheet and Certificate. The actual name of my father is ANWAR BAIG, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AARISH BAIG
[Signature]

It is for general information that I, ZAREEF KHAN S/o Late IBRAHIM KHAN, residing at H.No. 555, 2nd Floor, Street No. 6A, Near Islah Masjid, Sir Syed Road, Zakir Nagar, New Delhi-110025, declare that name of my wife has been wrongly written as FAUZIA KHAN in my minor son MOHD ZAID KHAN aged 13 years in his School Records. The actual name of my wife is AZEEMA KHAN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ZAREEF KHAN
[Signature]

It is for general information that I, NARENDER KUMAR son of BANWARI LAL, residing at 20/31, Azad Nagar, Rohtak Haryana-124001, declare that name of mine has been wrongly written as NARENDER KUMAR NAPERIA in my Passport No. H4010550. The actual name of mine is NARENDER KUMAR respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NARENDER KUMAR
[Signature]

It is for general information that I, SONIA GUPTA wife of RAKESH GUPTA, residing at 88 Ground Floor Deepali, Pitampura, Saraswati Vihar, North West Delhi, Delhi-110034, declare that name of mine and my husband has been wrongly written as SONIA GOYAL and RAKESH GOYAL in my minor daughter SANYA GUPTA aged 15 years in her School Records. The actual name of mine and my husband are SONIA GUPTA and RAKESH GUPTA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SONIA GUPTA
[Signature]

It is for general information that I, SATDEV S/o MEHAR CHAND, residing at Sauli, Birampur, Hoshiarpur, Garhshankar, Punjab-144528, declare that name of my wife has been wrongly written as KAMLESH KAUR in my Passport No: F2953540. The actual name of my wife is KAMLESH KUMARI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SATDEV
[Signature]

It is for general information that I, SARITA W/o RAJU, residing at H. No. 1309, E-Block, Jahangir Puri, N. S. Mandi, North West Delhi, Delhi-110033, declare that name of my minor son AADITYA PASI aged 15 years has been wrongly written as ADITYA PASI in his School Records. The actual name of my minor son is AADITYA PASI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SARITA
[Signature]

It is for general information that I, PAWAN KUMAR SRIVASTAVA son of SATISH SRIVASTAVA, residing at H. No-55, Raju Extn., Old Palam Road, Sector-15, Dwarka, New Delhi-110078, declare that name of mine and my wife has been wrongly written as PAWAN SRIVASTAVA and NIMISHA in my minor son ISHAN SRIVASTAVA, aged 13 years in his School Records. The actual name of mine and my wife are PAWAN KUMAR SRIVASTAVA and NIMISHA SRIVASTAVA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PAWAN KUMAR SRIVASTAVA
[Signature]

It is for general information that I, SATISH CHANDRA S/o RAM PRASAD, residing at H. No-B-487, Jatav Mohalla, Azadpur Village, Delhi-110033, declare that name of mine has been wrongly written as MULAYAM SINGH YADAV in my minor son AALOK YADAV aged 15 years in his School Records. The actual name of mine is SATISH CHANDRA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SATISH CHANDRA
[Signature]

It is for general information that I, DROPTI D/o SHYAM LAL and W/o RAJESH KUMAR, R/o Flat No. 4, Type-2, NDMC Flats, 48 Babar Road, GPO, New Delhi-110001, declare that name of mine has been wrongly written as Draupadi in my minor daughter GEETANJALI, aged 15 years in her School Records. The actual name of mine is Dropti, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DROPTI
[Signature]

It is for general information that I, RASHEEDA wife of MOHD RAZZAQ, residing at E-3500, J J Colony, Block-E, Bawana, Delhi-110039, declare that name of mine has been wrongly written as RASHIDA KHATOON in my minor daughter AFSANA aged 16 years in her School Records. The actual name of mine is RASHEEDA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RASHEEDA
[Signature]

It is for general information that I, SHANTI W/o VINOD SINGH, residing at P-1/59 Mangol Puri, North West Delhi, Delhi-110083, declare that name of mine has been wrongly written as RENU in my minor daughter NUPUR aged 13 years in her School Record. The actual name of mine is SHANTI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SHANTI
[Signature]

It is for general information that I, PAN SINGH S/o NAR SINGH, residing at B-151, B- Block, Jai Vihar, Najafgarh, South West Delhi, Delhi-110043, declare that name of my minor daughter BHOOMI DANGWAL aged 13 years has been wrongly written as BHOOMI in her School Records. The actual name of my minor daughter is BHOOMI DANGWAL, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PAN SINGH
[Signature]

It is for general information that I, KOMAL MALHOTRA W/o SUNIL MALHOTRA, residing at 25A, Gali No-8, Indira Park Extn., Krishan Nagar, Chander Nagar, East Delhi, Delhi-110051, declare that name of mine has been wrongly written as SUMAN MALHOTRA in my minor daughter TANYA MALHOTRA aged 14 years in her School Records and Birth Certificate. The actual name of mine is KOMAL MALHOTRA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KOMAL MALHOTRA
[Signature]

It is for general information that I, KISHAN DEVI wife of OMKAR, residing at S 113, Harijan Basti, Netaji Nagar, NDMC, South West Delhi, Delhi-110023, declare that name of mine has been wrongly written as KRISHNA in my minor son VINAY aged 15 years in his 10th Class Mark Sheet and Certificate. The actual name of mine is KISHAN DEVI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KISHAN DEVI
[Signature]

It is for general information that I, RAM ADHAR GIRI S/o RAM NARAYAN, residing at 16/27, Block-16, Geeta Colony, East Delhi, Delhi-110031, declare that name of my father has been wrongly written as H. GIRI in Driving Licence No. DL-1319840024905. The actual name of my father is RAM NARAYAN, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAM ADHAR GIRI
[Signature]

It is for general information that I, BIKARJIT SINGH S/o GURDEV SINGH CHEEMA, residing at Cheema Patti, Shaina, Barnala, Punjab-148103, declare that name of my father and my mother has been wrongly written as JOGINDER SINGH and LABH KAUR in my Passport No. S5913192. The actual name of my father and my mother are GURDEV SINGH CHEEMA and GURMAIL KUAR respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

BIKARJIT SINGH
[Thumb Impression]

It is for general information that I, VIJENDER KUMAR S/o RAMESH CHANDER, residing at B-4/112, Swarn Jayanti Vihar, Tikri Khurd, Narela, North West Delhi, Delhi-110040, declare that name of mine has been wrongly written as VIJENDER in my minor daughter JYOTI aged 14 years and BIJENDER in my minor daughter BHARTI aged 12 years in their School Records and name of mine has been wrongly written as BIJENDER KUMAR in my ESIC card No:1312382516. The actual name of mine is VIJENDER KUMAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIJENDER KUMAR
[Signature]

It is for general information that I, RAM BHAJ MANGLA S/o BACHAN LAL MANGLA, residing at E-4/24, Sector-11, Rohini, North West Delhi, Delhi-110085, declare that name of mine has been wrongly written as RAMBHAJ MANGLA in my minor daughter MEHAK MANGLA aged 15 years in her School Record. The actual name of mine is RAM BHAJ MANGLA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAM BHAJ MANGLA
[Signature]

It is for general information that I, KUNDAN RAM S/o BHADUR RAM ARYA, residing at RZ-23-B, Arjun Park, Najafgarh, South West Delhi, Delhi-110043, declare that name of mine, my wife and my minor daughter KIRAN KUMARI aged 13 years has been wrongly written as KUNDAN RAM ARYA, VIMLA DEVI and KIRAN in my minor daughter School Record. The actual name of mine, my wife and my minor daughter are KUNDAN RAM, BIMLA DEVI and KIRAN KUMARI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KUNDAN RAM
[Signature]

It is for general information that I, RAM BILAS SHASTRI S/o LATE RAM PRATAP, residing at NMQ-648 Amlori, Singrauli, Madhya Pradesh-486887 declare that name of mine has been wrongly written as RAM BILAS in my Service Record. The actual name of mine is RAM BILAS SHASTRI, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAM BILAS SHASTRI
[Signature]

It is for general information that I, AMLESH KUMAR S/o SHRI DEVI SINGH, residing at H. No. 35, 3rd Floor Gali No. 9, South Anarkali Extension, Krishna Nagar, East Delhi, Delhi-110051, declare that name of my minor daughter GREENA aged 15 years has been wrongly written as GREENA KUMAR in her 10th Class Mark Sheet and Certificate. The actual name of my minor daughter is GREENA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AMLESH KUMAR
[Signature]

It is for general information that I, VINOD KUMAR S/o Sh. RAM KUMAR R/o B-34, Rana Park, Siraspur, Samai Pur, North West Delhi, Delhi-110042, declare that name of my minor daughter has been wrongly written as AARTI in the school record of my minor daughter ARTI aged 13 years. The actual name of my minor daughter is ARTI, which may be amended accordingly. .

It is certified that I have complied with other legal requirements in this connection.

VINOD KUMAR
[Signature]

It is for general information that I, KULDEEP SINGH S/o SHUBRAM, residing at H. No. 45, Dada Maldev Road, Dariya Pur Kalan, Delhi-110039, declare that name of my father has been wrongly written as SUBHRAM in my Driving Licence No. DL-1120150313666. The actual name of my father is SHUBRAM, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KULDEEP SINGH
[Signature]

It is for general information that I, JAMINI ARORA W/o Shri MAHENDER SINGH, R/o House No. 167/7, Pratap Khand, Vishwakarma Nagar, Jhilmil, East Delhi, Delhi-110095, that name of mine has been wrongly written as PARAMJEET KAUR in the birth certificate of my minor son MANPREET aged about 12 years. The actual name of mine is JAMINI ARORA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JAMINI ARORA
[Signature]

It is for general information that I, PARVESH KUMAR S/o TIRATH RAM, residing at B-4/38 A, Keshav Puram, North West Delhi, Delhi-110035, declare that name of mine and my wife has been wrongly written as PARVESH MEHRA and TINA MEHRA in my minor son SAHIL MEHRA aged 14 years in his School Records. The actual name of mine and my wife are PARVESH KUMAR and TEENA RANI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PARVESH KUMAR
[Signature]

It is for general information that I, MOHIT YADAV S/o Shri SATYA PRAKASH YADAV, residing at 15/1077 Vasundhara, Ghaziabad, Uttar Pradesh-201012, declare that name of mine has been wrongly written as MOHIT KUMAR in my CBSE Class 10th Certificate of Continuous and Comprehensive Evaluation (Roll No.- 5165120). The actual name of mine is MOHIT YADAV, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MOHIT YADAV
[Signature]

It is for general information that I, SANDEEP KUMAR LAMBA son of DAULAT RAM LAMBA, residing at H. No. C-02, Ekta Apts Sec-13, Rohini, North West Delhi, Delhi-110085, declare that name of my minor daughter ANNANYA LAMBA aged 14 years has been wrongly written as ANANYA LAMBA in her School Records. The actual name of my minor daughter is ANNANYA LAMBA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SANDEEP KUMAR LAMBA
[Signature]

It is for general information that I, ANKIT GIRI son of VIJAY PAL, residing at Block A-97, Shahbad Dairy, Sahibabad Daulat Pur, North West Delhi, Delhi-110042, declare that name of my father has been wrongly written as VIJAY PAL GIRI in my Voter Id Card, Driving Licence, Bike R. C. and First Aid Certificat No. RR01368822. The actual name of my father is VIJAY PAL, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ANKIT GIRI
[Signature]

It is for general information that I, ANKIT Son of MUNIM DHAR, residing at H. No. B-72-B, Street No. 6 Mata Wali Gali, Johri Pur, Delhi-110094, declare that name of mine has been wrongly written as ANKIT KUMAR in my Caste Certificate No. SC/04/42/14700/27/7/2009/9421000063/954. The actual name of mine is ANKIT, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ANKIT
[Signature]

It is for general information that I, POOJA GUPTA W/o NARESH KUMAR, R/o House No. 1, Ground Floor, Pitampura, North West Delhi, Saraswati Vihar, Delhi-110034, that name of mine has been wrongly written as POOJA in the school record of my minor son KESHAV GUPTA aged 13 years. The actual name of mine is POOJA GUPTA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

POOJA GUPTA
[Signature]

It is for general information that I, SANJAY BHATT S/o MALUCK RAM BHATT, R/o RZ-1, 2nd Floor, Street -1, NSIT, Bajaj Enclave, Kakrola, Delhi-110078, declare that names of mine and my father have been wrongly written as SANJAY KUMAR and MALUK RAM, respectively in my all educational documents and passport. That actual names of mine and my father are SANJAY BHATT and MALUCK RAM BHATT respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SANJAY BHATT
[Signature]

It is for general information that I, ARHAM son of ASIF KAMAL, residing at H. No-198/2, Purvi Mustafabad, Loni Dehat, Ghaziabad, Uttar Pradesh-201102, declare that name my father and my mother has been wrongly written as ASHIF and SHAMEMA in my Educational Documents, Aadhar Card, Pan Card and my Passport No. Z5213037. The actual name of my father and my mother are ASIF KAMAL and SIMMI KAMAL, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ARHAM
[Signature]

It is for general information that I, RAKESH KUMAR S/o Shri SHER SINGH, residing at Flat No. 325, Second Floor, Police Colony, Sector-16A, Dwarka, New Delhi-110078, declare that name of mine and my wife has been wrongly written as RAKESH and KIRAN in my minor son NIKHIL aged 14 years in his School Records. The actual name of mine and my wife are RAKESH KUMAR and KIRAN DEVI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAKESH KUMAR
[Signature]

It is for general information that I, NIDHINESH son of RAMESHWAR, residing at F-363, Sudershan Park, Moti Nagar, New Delhi, Pin-110015, declare that name of my father has been wrongly written as GHASITE in my PAN card No. BESPNO469M. The actual name of my father is RAMESHWAR respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NIDHINESH
[Signature]

It is for general information that I, MOHD NAEEM ANSARI S/o SALIM AHMED ANSARI, residing at H. No. 4929 M/14, Gali No. 4, Sartaj Mohalla, Krishna Nagar, East Delhi, Delhi-110031, declare that name of mine, my father and my mother have been wrongly written as MOHD NAEEM, MOHD SALEEM AHMED and SHAJHAN in my educational documents and name of mine and my father have been wrongly written as MOHD NAEEM and MOHD SALEEM AHMED in my OBC Certificate and name of my father have been wrongly written as SALEEM AHMED in my Pan Card and SALEEM AHMED ANSARI in my Voter ID Card. The actual name of mine, my father and my mother are MOHD NAEEM ANSARI, SALIM AHMED ANSARI and SHAHJAHAN BEGUM ANSARI, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MOHD NAEEM ANSARI
[Signature]

It is for general information that I, P V NAGALAKSHMI W/o RAGHAVENDRA KULKARNI, residence of Qtr No. 256, Type-II, Sector-9, R K Puram, New Delhi-110022, that my name has been wrongly mentioned as AMRUTHA KULKARNI in SBI Account. That the actual name of mine is P. V. NAGALAKSHMI which may be ammended accordingly.

It is certified that I have complied with other legal requirements in this connection.

P V NAGALAKSHMI
[Signature]

It is for general information that I, KULDEEP KUMAR S/o YOGENDRA SINGH, residing at Village-Mehpa, Post- Kurali, Uttar Pradesh-250501, declare that name of my father has been wrongly written as JOGINDRA SINGH in my Educational Documents. The actual name of my father is YOGENDRA SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

KULDEEP KUMAR
[Signature]

CORRIGENDUM

In Gazette of India, Part-IV, Issue No. 36 (07 Sept.—13 Sept., 2019, Page No. 1586, 2nd Column of the left should be:

Read as

have changed the name of my minor son DEVANSH SETH, aged 15 years and he shall hereafter be known as DEV PRAKASH SETH.

Instead of

have changed the my name and he shall hereafter be known as DEV PRAKASH SETH.

एनएसई क्लीयरिंग लिमिटेड

(पूर्व में नैशनल सिक्युरिटीज क्लीयरिंग कॉर्पोरेशन लिमिटेड)

एनसीएल नियमावली, उपनियम और विनियम (पूंजी बाजार, भावी सौदे एवं विकल्प, मुद्रा डेरिवेटिव्स और कोमोडिटी खण्ड) के संशोधन ड्राफ्ट जैसा कि यहां पर दिया गया है, को जनरल क्लॉजिंग एक्ट, 1897 की धारा 23 के प्रावधानों के अनुसार आलोचना के लिए भारत के गजट में प्रकाशित किया जाता है। कोई भी व्यक्ति जिसके पास उक्त विनियमों में प्रस्तावित संशोधनों के संबंध में कोई अवलोकन है तो वह उसे लिखित में अधोहस्ताक्षरी के पास एक्सचेंज प्लाजा, सी-1, ब्लॉक जी, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (ईस्ट), मुम्बई-400051 को गजट में इस प्रकाशन की तिथि से 15 दिन के अंदर भेज सकता है। उक्त तिथि के बाद प्राप्त हुए अवलोकनों पर विचार नहीं किया जाएगा, जब ड्राफ्ट संशोधन पर विचार किया जाएगा:

(1) एनएसई क्लीयरिंग लिमिटेड के संबंधित नियमों, उपनियमों और विनियमों में 'डिफॉल्टर्स कमेटी और एसजीएफ कमेटी' शब्दों को, जहां भी यह/वे दिए गए हैं के स्थान पर 'उचित कमेटी' शब्दों के द्वारा विस्थापित करना प्रस्तावित है और इसे निम्न प्रकार से परिभाषित किया जाना प्रस्तावित है:-

उद्धरण चिह्न शुरू

'उचित कमेटी' का अर्थ होगा सदस्यता चयन कमेटी या कोई ऐसी अन्य कमेटी जिसे समय-समय पर सेबी द्वारा निर्धारित किया जाता है।

उद्धरण चिह्न समाप्त

(2) एनएसई क्लीयरिंग लिमिटेड के भावी सौदे एवं विकल्प खण्ड विनियम और पूंजी बाजार खण्ड विनियम दोनों के विनियम 2.3 को इस प्रकार से संशोधित किया जाना प्रस्तावित है कि वे निम्नानुसार नकदी एवं इक्विटी डेरिवेटिव खण्डों में नए समान सदस्यता संरचना के अंतर्गत सदस्य की समान श्रेणियों को प्रतिबिम्बित करें।

(i) एनएसई क्लीयरिंग लिमिटेड के भावी सौदे एवं विकल्प खण्ड विनियम के विनियम 2.3 को निम्नानुसार संशोधित किया जाना प्रस्तावित है:

उद्धरण चिह्न शुरू

"एफ एण्ड ओ क्लीयरिंग सदस्यों श्रेणियां

एफ एण्ड ओ क्लीयरिंग सदस्यों की निम्नलिखित श्रेणियां नीचे दिए गए अनुसार निर्धारित की जाती हैं:

सेल्फ क्लीयरिंग सदस्य

सेल्फ क्लीयरिंग सदस्य का अर्थ है एक निर्दिष्ट एक्सचेंज का एक सदस्य जिसे उचित प्राधिकार द्वारा क्लीयरिंग कॉर्पोरेशन में एफ एण्ड ओ क्लीयरिंग सदस्य के रूप में स्वीकृति दी गई है जो अपने स्वयं के खाते पर या उसके क्लाइंट के खाते पर सौदों को क्लीयर और तय कर सकता है।

क्लीयरिंग सदस्य

क्लीयरिंग सदस्य का अर्थ है एक सदस्य का अर्थ है एक निर्धारित एक्सचेंज का एक सदस्य जिसे उचित प्राधिकार द्वारा एक एफ एण्ड ओ क्लीयरिंग सदस्य के रूप में क्लीयरिंग कॉर्पोरेशन में स्वीकृति दी गई जो निम्नलिखित सौदों को क्लीयर और तय कर सकता है:

(क) वे सौदे जो इसके स्वयं के खाते पर या इसके क्लाइंट के खाते पर किए जाते हैं।

(ख) वे सौदे जो एक निर्दिष्ट एक्सचेंज के दूसरे सदस्यों द्वारा उनके स्वयं के खाते पर या उनके क्लाइंट्स के खातों पर किए जाते हैं।

व्यवसायी क्लीयरिंग सदस्य

प्रोफेशनल क्लीयरिंग सदस्य का अर्थ है एक क्लीयरिंग सदस्य जिसे उचित प्राधिकार द्वारा स्वीकृति दी जाती है और जो इसके कंस्ट्रिक्ट्स द्वारा किए गए सौदों को क्लीयर और तय कर सकता है।

उद्धरण चिह्न बंद

2 (ii) एनएसई क्लीयरिंग लिमिटेड के पूंजी बाजार खण्ड विनियम के विनियम 2.3 को निम्न प्रकार से संशोधित किया जाना प्रस्तावित है:-

उद्धरण चिह्न शुरू

"सीएम क्लीयरिंग सदस्यों की श्रेणियां

सीएम क्लीयरिंग सदस्यों की निम्नलिखित श्रेणियों को निम्न प्रकार से निर्दिष्ट किया जाता है:

सेल्फ क्लीयरिंग सदस्य

सेल्फ क्लीयरिंग सदस्य का अर्थ है एक निर्दिष्ट एक्सचेंज का एक सदस्य जिसे उचित प्राधिकार द्वारा क्लीयरिंग कॉर्पोरेशन में एफ एण्ड ओ क्लीयरिंग सदस्य के रूप में स्वीकृति दी गई है जो अपने स्वयं के खाते पर या उसके क्लाइंट के खाते पर सौदों को क्लीयर और तय कर सकता है।

क्लीयरिंग सदस्य

क्लीयरिंग सदस्य का अर्थ है एक सदस्य का अर्थ है एक निर्धारित एक्सचेंज का एक सदस्य जिसे उचित प्राधिकार द्वारा एक एफ एण्ड ओ क्लीयरिंग सदस्य के रूप में क्लीयरिंग कॉर्पोरेशन में स्वीकृति दी गई जो निम्नलिखित सौदों को क्लीयर और तय कर सकता है:

- (क) वे सौदे जो इसके स्वयं के खाते पर या इसके क्लाइंट के खाते पर किए जाते हैं।
- (ख) वे सौदे जो एक निर्दिष्ट एक्सचेंज के दूसरे सदस्यों द्वारा उनके स्वयं के खाते पर या उनके क्लाइंट्स के खातों पर किए जाते हैं।

व्यवसायी क्लीयरिंग सदस्य

प्रोफेशनल क्लीयरिंग सदस्य का अर्थ है एक क्लीयरिंग सदस्य जिसे उचित प्राधिकार द्वारा स्वीकृति दी जाती है और जो इसके कंस्टिट्यूटर्स द्वारा किए गए सौदों को क्लीयर और तय कर सकता है।

संरक्षक क्लीयरिंग सदस्य

संरक्षक क्लीयरिंग सदस्य का अर्थ है और इसमें शामिल है संरक्षक, बैंक, ट्रस्ट कम्पनियां और दूसरी फर्में जिन्हें उचित प्राधिकार द्वारा सीएम क्लीयरिंग सदस्यों के रूप में स्वीकृत किया जाता है जो सेल्फ क्लीयरिंग सदस्यों और उनके कंस्टिट्यूट के लिए सिक्युरिटीज, स्थानांतरण विलेख और किसी अन्य दस्तावेज को लेने और देने का कार्य कर सकता है और डिलिवरी करके और उसके लिए इन विनियमों में निर्धारित विधि से भुगतान कर सकता है और भुगतान प्राप्त कर सकता है, जिसे यहां पर संरक्षक क्लीयरिंग सदस्य कहा गया है।

उद्धरण चिह्न बंद

2 (iii) उक्त संशोधन के परिणामस्वरूप,

- (क) उक्त सदस्यता श्रेणियों को दर्शाने वाले परिवर्तनों को संबंधित खण्डों के नियमों, उपनियमों और विनियमों में किया जाना प्रस्तावित है ताकि सदस्यता के नए वर्गीकरण को पुराने वर्गीकरण के स्थान पर विस्थापित किया जा सके जिसमें जहां भी आवश्यक हो पुनःक्रमांकन शामिल है; और
- (ख) प्रतिभागी क्लीयरिंग सदस्य की श्रेणी के संदर्भ और उक्त श्रेणी के से संबंधित उचित प्रवधानों को संबंधित खण्डों के सभी नियमों, उपनियमों और विनियमों में हटाया जाना प्रस्तावित है क्योंकि श्रेणी लागू नहीं है।

3. एनएसई क्लीयरिंग लिमिटेड के पूंजी बाजार खण्ड विनियमों के अध्याय 10 के विनियम 10.10 को परिवर्तित करके क्लीयरिंग सदस्यों पर निलामी प्रतिभूतियों को पेश करने के प्रतिबंध को हटाया जाना प्रस्तावित है जिनमें उन्होंने अल्प डिलिवरी की है:

उद्धरण चिह्न शुरू

10.10 निविदाएं और पेशकश

यदि उचित प्राधिकार द्वारा अन्यथा निर्दिष्ट नहीं है तो सीएम क्लीयरिंग सदस्य क्लोजिंग आउट के दौरान एक निविदा या पेशकश कर सकते हैं। उचित प्राधिकार किसी निविदा या पेशकश को अपने विवेक से मना कर सकता है।

उद्धरण चिह्न बंद

4. (i) एनएसई क्लीयरिंग (कोमोडिटी डेरिवेटिव्स सेगमेंट) विनियम, 2018 में संशोधन करके अनुमोदित रिपोजिटरीज के द्वारा कृषि और कृषि संसाधित कोमोडिटीज के सौदों को अभौतिक रूप देना प्रस्तावित है जो इस प्रकार है:

उद्धरण चिह्न शुरू

एनएसई क्लीयरिंग (कोमोडिटी डेरिवेटिव्स सेगमेंट) विनियम, 2018 में प्रस्तावित संशोधनों को परिशिष्ट में दिया गया है।

उद्धरण चिह्न बंद

एनएसई क्लीयरिंग लिमिटेड के लिए

विक्रम कोठारी
महानिदेशक

टिप्पणी: हिन्दी पाठ में भिन्नता की स्थिति में अंग्रेजी पाठ अभिभावी होगा।

एनएसई क्लियरिंग लिमिटेड
कोमोडिटी डेरिवेटिव सेगमेंट
विनियम
विषय सूची

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परिचय

यहां तैयार किए गए विनियमों को एनएसई (कोमोडिटी डेरिवेटिव सेगमेंट) विनियम, 2018 के नाम से जाना जाएगा।

प्रासंगिकता

ये विनियम क्लियरिंग कॉर्पोरेशन के कोमोडिटी डेरिवेटिव सेगमेंट में कारोबार करने वाले सभी क्लियरिंग सदस्यों पर लागू होंगे यदि संदर्भ के अनुसार अन्यथा आवश्यक नहीं है या यदि समय-समय पर उचित प्राधिकार द्वारा अन्यथा निर्धारित नहीं किया जाता है।

1. परिभाषाएं

यदि संदर्भ के अनुसार स्पष्ट रूप से अन्यथा निर्दिष्ट नहीं है, यहां दिए गए सभी अपरिभाषित शब्दों और अभिव्यक्तियों का अर्थ निम्नलिखित में दी गई उनकी परिभाषाओं के अनुसार होगा:

- सिक्युरिटीज कॉन्ट्रैक्ट्स (विनियम) अधिनियम, 1956 और/या इसके अंतर्गत नियम
- सिक्युरिटीज एण्ड एक्सचेंज बोर्ड ऑफ इंडिया एक्ट, 1992 और/या इसके अंतर्गत नियम
- कम्पनी अधिनियम, 2013
- डिपोजिटरीज एक्ट, 2013
- नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड के नियम, उपनियम और/या विनियम
- नेशनल सिक्युरिटीज क्लियरिंग कॉर्पोरेशन लिमिटेड के कोमोडिटी डेरिवेटिव सेगमेंट के नियम और उपनियम

यदि एक शब्द को एक से अधिक अधिनियमों में परिभाषित किया गया है तो वह अर्थ मान्य होगा जिसे उक्त आदेश से पूर्ववर्ती अधिनियम या कानून में परिभाषित किया गया है, यदि संदर्भ के अनुसार स्पष्ट रूप से अन्यथा निर्दिष्ट नहीं किया गया है।

1.1 अमेरिकन स्टाइल का विकल्प अनुबंध

अमेरिकन स्टाइल के विकल्प अनुबंध का अर्थ है एक विकल्प अनुबंध जिसका प्रयोग अंतिम दिन को या इससे पहले किसी भी दिन किया जा सकता है।

1.2 समनुदेशन

समनुदेशन का अर्थ है एक विकल्प अनुबंध का आबंटन जिसका प्रयोग उसी विकल्प अनुबंध में एक शोर्ट पोजिशन में समान प्रारंभिक कीमत पर दायित्व को पूरा करने के लिए समय-समय पर उचित प्राधिकार द्वारा निर्दिष्ट प्रक्रिया के अनुसार किया जाता है।

1.3 एट द मनी या नीयर द मनी तय कीमत

‘एट द मनी या नीयर द मनी’ तय कीमत विकल्प अनुबंध की अंतर्निहित वस्तु की रेंज में वह तय कीमत है जिसे उचित प्राधिकार द्वारा समय-समय पर निर्धारित किया जा सकता है।

1.4 बही खाते, रिकॉर्ड और दस्तावेज

बही खातों, रिकॉर्ड और दस्तावेजों में वे बही खाते, रिकॉर्ड और दस्तावेज शामिल होते हैं जिनका रखरखाव कोमोडिटी डेरिवेटिव सेगमेंट विनियमों के अध्याय 8 के अंतर्गत किया जाता है और वह रिकॉर्ड होता है जिसका रखरखाव एक कंप्यूटर में या किसी भी मैग्नेटिक रूप में किया जाता है।

1.5 नकद में तय डेरिवेटिव अनुबंध

नकद में तय डेरिवेटिव अनुबंध का अर्थ है एक डेरिवेटिव अनुबंध जिसे अंतर्निहित प्रतिभूति की डिलिवरी की अपेक्षा नकद में किया जाता है।

1.6 क्लीयरिंग बैंक

क्लीयरिंग बैंक वह बैंक होता है जिसे वित्तीय सेटलमेंट करने के लिए क्लीयरिंग बैंक द्वारा नियुक्त किया जाता है जिसका उद्देश्य क्लीयरिंग कार्पोरेशन के माध्यम से क्लीयर किए गए सौदों के लिए मार्जिन मनी और क्लीयरिंग सदस्यों के बीच और क्लीयरिंग कार्पोरेशन और क्लीयरिंग सदस्यों के बीच अन्य वित्तीय लेनदेन का संग्रह किया जा सके, जैसा कि समय-समय पर क्लीयरिंग कार्पोरेशन द्वारा निर्दिष्ट किया जाता है।

1.7 कांस्टिट्यूट/क्लाइंट

एक क्लाइंट/कांस्टिट्यूट का अर्थ है एक व्यक्ति जिसके निर्देश पर और जिसके खाते पर क्लीयरिंग सदस्य सौदों को क्लीयर करता है और उन्हें तय करता है। इस उद्देश्य से “क्लाइंट” शब्द में निर्दिष्ट एक्सचेंज के कारोबारी सदस्यों के पंजीकृत कांस्टिट्यूट शामिल होंगे।

व्याख्या 1: उपनियमावली, नियमावली और विनियमावली में “कांस्टिट्यूट” और ‘क्लाइंट’ शब्दों को एक दूसरे के स्थान पर प्रयोग किया जाता है और उनका अर्थ इन्हीं के अनुसार मान्य होगा।

व्याख्या 2: उप-नियमावली के अध्याय और के उद्देश्य से, कारोबारों के संबंध में ‘कांस्टिट्यूट’ शब्द में एक कारोबारी सदस्य भी शामिल होगा जहां निर्दिष्ट एक्सचेंज में किए गए इस प्रकार के कारोबारों को एक क्लीयरिंग सदस्य की तरफ से क्लीयर और तय किया जाता है।

1.8 खरीद के लेनदेन समापन

खरीद लेनदेन को बंद करने का अर्थ है एक खरीद लेनदेन जो एक शॉर्ट पोजिशन के आंशिक या पूर्ण समायोजन पर प्रभाव डालेगा।

1.9 बिक्री लेनदेन समापन

बिक्री लेनदेन समापन का अर्थ है एक बिक्री लेनदेन जो एक लॉंग पोजिशन के आंशिक या पूर्ण समायोजन पर प्रभाव डालेगा।

1.10 अनुबंध माह

अनुबंध माह का अर्थ है एक माह जिसमें क्लीयरिंग कार्पोरेशन के नियमों के अनुसार एक डेरिवेटिव अनुबंध को अंतिम रूप से तय करने की जरूरत है।

1.11 अनुबंध मूल्य

अनुबंध मूल्य वह मूल्य है जिसे विकल्प अनुबंध की स्ट्राइक कीमत को नियमित/मार्केट लोट साइज से गुणा करके निर्धारित किया जाता है।

1.12 कोमोडिटीज

“कोमोडिटीज” का अर्थ है ऐसा माल जिसके संबंध में कोमोडिटी डेरिवेटिव सेगमेंट में कारोबार करने के लिए सेबी द्वारा डेरिवेटिव अनुबंधों को अनुमति दी जाती है।

1.13 कोमोडिटी डेरिवेटिव

“कोमोडिटी डेरिवेटिव” का अर्थ है समय-समय पर संशोधित सिक्युरिटीज कॉन्ट्रैक्ट्स (विनियम) अधिनियम, 1956 की धारा 2 (ख ग) के अंतर्गत परिभाषित “कोमोडिटी डेरिवेटिव” और इसमें ऐसे अन्य अनुबंध शामिल हैं जिन्हें सेबी द्वारा ‘कोमोडिटी डेरिवेटिव्स सेगमेंट’ में कारोबार करने की अनुमति दी जाती है।

1.14 कोमोडिटी डेरिवेटिव सेगमेंट विनियम

कोमोडिटी डेरिवेटिव सेगमेंट का अर्थ है एनएसई क्लीयरिंग (कोमोडिटी डेरिवेटिव सेगमेंट) विनियम और इसमें व्यापार के नियम, आचार संहिता और इस प्रकार की प्रक्रियाएं, परिपत्र, निर्देश और आदेश शामिल हैं जिन्हें समय-समय पर इसके अंतर्गत उचित प्राधिकार द्वारा जारी किया जाता है।

1.15 प्रयोग

प्रयोग का अर्थ है क्लीयरिंग कार्पोरेशन के नियमों, उपनियमों और विनियमों के अनुसार और नियमों के आधार पर विकल्पधारक द्वारा अधिकारों का आवाहन किया जाता है।

1.16 प्रयोग या स्ट्राइक कीमत

प्रयोग कीमत या स्ट्राइक कीमत कारोबार की वह प्रति इकाई कीमत है जिस पर विकल्पधारक के पास विकल्प का प्रयोग करने के बाद अंतर्निहित को खरीदने या बेचने का अधिकार होता है।

1.17 प्रयोग सेटलमेंट कीमत

प्रयोग सेटलमेंट के संबंध में प्रयोग सेटलमेंट कीमत वह कीमत है जिसे समय-समय पर उचित प्राधिकार द्वारा निर्धारित किया जाता है।

1.18 प्रयोगसेटलमेंट मूल्य

प्रयोग सेटलमेंट के संबंध में प्रयोग सेटलमेंट मूल्य का अर्थ है सेटलमेंट के उद्देश्य से विकल्प अनुबंध के कारोबार की प्रत्येक इकाई के लिए स्ट्राइक कीमत और प्रयोग सेटलमेंट कीमत के बीच अंतर।

1.19 प्रयोग शैली

एक विकल्प की प्रयोग शैली से अभिप्राय है वह कीमत और/या समय जिस पर धारक द्वारा विकल्प का प्रयोग किया जाता है। यह या तो अमेरिकी शैली का विकल्प हो सकता है या यूरोपीय शैली का विकल्प हो सकता है या किसी अन्य शैली का प्रयोग विकल्प हो सकता है जिसे उचित प्राधिकार समय-समय पर निर्धारित कर सकता है।

1.20 समापन दिवस

समापन दिवस का अर्थ है वह दिन जब एक डेरिवेटिव अनुबंध में अंतिम सेटलमेंट देनदारियों का निर्धारण किया जाता है।

1.21 समापन समय

समापन समय वह समय होता है जब विकल्प अनुबंध के समापन दिवस को कामकाज समय समाप्त होता है यह वह समय जिसे समय-समय पर उचित प्राधिकार द्वारा निर्धारित किया जाता है। इस संदर्भ में कामकाज समय में विकल्प अनुबंध का प्रयोग करने के उद्देश्य से कारोबार के समय के समाप्त होने के बाद निर्धारित एक्सचेंज द्वारा निर्धारित समय शामिल है।

1.22 यूरोपीय शैली का विकल्प अनुबंध

यूरोपीय शैली के विकल्प अनुबंध का अर्थ है एक विकल्प अनुबंध जिसका प्रयोग समापन दिवस को या समापन समय से पहले किया जा सकता है।

1.23 अंतिम सेटलमेंट कीमत

अनुबंध के संबंध में अंतिम सेटलमेंट कीमत का अर्थ है देय तिथि दर (डीडीआर) जिसे अनुबंध विशिष्टताओं में निर्धारित विधि या उचित प्राधिकार द्वारा अधिसूचित अन्य विधि द्वारा उस अनुबंध का सेटलमेंट करने के लिए क्लीयरिंग कार्पोरेशन द्वारा निर्धारित किया जाता है।

1.24 भावी सौदा अनुबंध

भावी अनुबंध का अर्थ है भविष्य में अंतर्निहित प्रतिभूति को खरीदने या बेचने के लिए एक कानूनी रूप से बाध्यकारी समझौता।

1.25 भावी सौदा शैली का प्रिमियम सेटलमेंट

भावी सौदा शैली के प्रिमियम सेटलमेंट का अर्थ है भावी सौदों के अनुबंधों के लिए उचित प्राधिकार द्वारा निर्धारित सेटलमेंट पद्धति के आधार पर विकल्प अनुबंधों का प्रिमियम सेटलमेंट और इसमें समय-समय पर उचित प्राधिकार द्वारा निर्धारित किए जाने वाले विनियमों के अनुसार आरंभिक मार्जिन और लम्बी और शॉर्ट विकल्प पोजिशन पर मार्केट की वर्तमान सेटलमेंट कीमत शामिल हैं।

1.26 इन द मनी तय कीमत

पुट विकल्प में इन द मनी तय कीमत विकल्प अनुबंध की वह तय कीमत है जो 'एट द मनी या नीयर द मनी' तय कीमत से अधिक होती है।

कॉल विकल्प के मामले में इन द मनी तय कीमत विकल्प अनुबंध की तय कीमत होती है जो 'एट द मनी या नीयर द मनी' तय कीमत से कम होती है।

1.27 अंतिम कारोबार दिवस

अंतिम कारोबार दिवस का अर्थ है वह दिन जहां तक निर्दिष्ट एक्सचेंज में कारोबार के लिए एक डेरिवेटिव अनुबंध उपलब्ध है।

1.28 लॉग पोजिशन

एक डेरिवेटिव अनुबंध में लॉग पोजिशन का अर्थ है किसी भी समय पर एक डेरिवेटिव अनुबंध के संबंध में बकाया खरीद दायित्व।

1.29 सदस्य की ऑपन पोजिशन

सदस्य की ऑपन पोजिशन का अर्थ है किसी भी या सभी कोमोडिटी डेरिवेटिव अनुबंधों में उस सदस्य और उसके कंस्टिट्यूट की लम्बी और शोर्ट पोजिशन का योग।

1.30 अधिसूचना, नोटिस या सम्प्रेषण

इससे अभिप्राय है कोई भी ऐसी जानकारी जिसे क्लीयरिंग कार्पोरेशन द्वारा क्लीयरिंग सदस्य को सामान्य व्यापारिक पते पर और/या सामान्य आवासीय स्थान पर और/या पार्टी के अंतिम ज्ञात पते पर निम्न में से किसी एक या अधिक या सभी माध्यमों से भेजा जा सकता है:

- (क) डाक के माध्यम से डिलिवर करना
- (ख) रजिस्टर्ड डाक द्वारा भेजना
- (ग) पोस्टिंग के प्रमाणपत्र के अंतर्गत भेजना
- (घ) एक्सप्रेस डिलिवरी पोस्ट/कूरियर सेवाओं के द्वारा भेजना
- (ङ) टेलीग्राम द्वारा भेजना
- (च) अंतिम ज्ञात व्यापारिक या आवासीय पते पर दरवाजे पर चिपकाना
- (छ) किसी भी मुख्य दैनिक अखबार में कम से कम एक बार इसका विज्ञापन देना
- (ज) निर्दिष्ट एक्सचेंज के कारोबारी सिस्टम के माध्यम से एक संदेश भेजना
- (झ) एक इलेक्ट्रॉनिक मेल या फ़ैक्स

1.31 खुला हिस्सा

ऑपन हिस्से का अर्थ है कोमोडिटी डेरिवेटिव अनुबंधों की कुल संख्या जिन्हें एक विपरीत लेनदेन के द्वारा अभी समायोजित और समाप्त नहीं किया गया है और न ही नकद या अंतर्निहित माल की डिलिवरी के माध्यम से पूरा किया गया है। खुले हिस्से की गणना के लिए डेरिवेटिव अनुबंध के केवल एक पक्ष का आकलन किया जाता है।

1.32 बकाया दायित्व

बकाया दायित्व का अर्थ है एक दायित्व जिसे न तो समाप्त किया गया है और न ही निपटाया गया है।

1.33 प्रारंभिक खरीद लेनदेन

प्रारंभिक खरीद लेनदेन का अर्थ एक खरीदारी लेनदेन जो एक लॉग पोजिशन को सृजित करता है या बढ़ाता है।

1.34 प्रारंभिक बिक्री लेनदेन

प्रारंभिक बिक्री लेनदेन का अर्थ है एक बिक्री लेनदेन जो एक शोर्ट पोजिशन को सृजित करता है या बढ़ाता है।

1.35 विकल्प पोजिशन

समान प्रकार और शैली के विकल्प अनुबंध जो एक विकल्प पोजिशन के समान अंतर्निहित कंस्टिट्यूट को शामिल करते हैं।

1.36 विकल्प अनुबंध

विकल्प अनुबंध एक प्रकार का कोमोडिटी डेरिवेटिव अनुबंध होता है जो अनुबंध के खरीदार/धारक को एक निर्धारित अवधि के अंदर या समाप्ति पर अंतर्निहित माल को खरीदने और/या बेचने का अधिकार (लेकिन दायित्व नहीं) देता है। विकल्प अनुबंध जो खरीदने का अधिकार देता है कॉल विकल्प कहलाता है और विकल्प अनुबंध जो बेचने का अधिकार देता, पुट विकल्प कहलाता है।

1.37 विकल्पधारक

विकल्पधारक वह व्यक्ति होता है जिसने एक विकल्प अनुबंध खरीदा है।

1.38 विकल्प विक्रेता

विकल्प विक्रेता वह व्यक्ति होता है जिसने एक विकल्प अनुबंध को बेच दिया है।

1.39 आउट ऑफ द मनी स्ट्राइक प्राइस

पुट ऑप्शन में आउट ऑफ स्ट्राइक प्राइस ऑप्शन अनुबंध का वह स्ट्राइक प्राइस है जो 'एज द मनी प्राइस या नीयर द मनी' स्ट्राइक प्राइस से नीचे होता है।

कॉल ऑप्शन के मामले में आउट ऑफ द मनी स्ट्राइक प्राइस विकल्प अनुबंध का वह स्ट्राइक प्राइस है जो 'एट द मनी या नीयर द मनी' स्ट्राइक प्राइस के समीप होता है।

1.40 प्रिमियम

प्रिमियम वह कीमत है जिसका भुगतान विकल्प का खरीदार विकल्प अनुबंध के अधिकारों के लिए विकल्प विक्रेता को करता है।

1.41 प्रिमियम शैली का प्रिमियम सेटलमेंट

प्रिमियम शैली के प्रिमियम सेटलमेंट का अर्थ है एक विकल्प अनुबंध के खरीदार/धारक द्वारा विकल्प अनुबंध के विक्रेता को पूर्ण विकल्प प्रिमियम का खरीदार/धारक द्वारा इस प्रकार के विकल्प की खरीद पर किया गया भुगतान।

1.42 विकल्पों की श्रृंखला

विकल्पों की श्रृंखला का अर्थ है समान पोजिशन के सभी विकल्प जिनकी प्रयोग कीमत और समापन दिवस समान होता है।

1.43 सेटलमेंट राशि

सेटलमेंट राशि में प्रिमियम सेटलमेंट राशि और/या प्रयोग सेटलमेंट राशि और/या अन्य राशि शामिल है जिसे समय-समय पर उचित प्राधिकार द्वारा निर्धारित किया जा सकता है।

1.44 सेटलमेंट तिथि

सेटलमेंट तिथि का अर्थ है वह तिथि जिस पर एक डेरिवेटिव अनुबंध में बकाया दायित्वों का सेटलमेंट करने की आवश्यकता होती है जैसा कि इन विनियमों में प्रावधान है।

1.45 सेटलमेंट कीमत

प्रयोग सेटलमेंट के संबंध में सेटलमेंट कीमत वह कीमत होती है जिसे समय-समय पर उचित प्राधिकार निर्धारित कर सकता है।

1.46 शोर्ट पोजिशन

एक डेरिवेटिव अनुबंध में शोर्ट पोजिशन का अर्थ है किसी भी समय पर एक डेरिवेटिव अनुबंध के संबंध में बकाया बिक्री दायित्व।

1.47 निर्दिष्ट एक्सचेंज

"निर्दिष्ट एक्सचेंज" या "संबंधित एक्सचेंज" का अर्थ है सिक्युरिटीज कॉन्ट्रैक्ट्स (विनियम), अधिनियम, 1956 के अंतर्गत मान्यता प्राप्त स्टॉक एक्सचेंज जिसमें क्लीयरिंग कॉर्पोरेशन द्वारा इसके कोमोडिटी डेरिवेटिव सेगमेंट में क्लीयर और तय किए जाने वाले सौदों की अनुमति दी जाती है, जो समय-समय पर उचित प्राधिकार द्वारा निर्धारित नियम और शर्तों पर निर्भर है।

1.48 निर्दिष्ट अवधि

एक विकल्प अनुबंध के लिए निर्दिष्ट अवधि प्रारंभिक दिन और अंतिम दिन के बीच की समय अवधि है।

1.49 विकल्प का प्रकार

विकल्प के प्रकार का अर्थ है एक विकल्प का एक पुट या एक कॉल के रूप में वर्गीकरण।

1.50 अंतर्निहित

अंतर्निहित वह होता है जिसके संदर्भ में समय-समय पर निर्दिष्ट एक्सचेंज द्वारा डेरिवेटिव अनुबंधों के कारोबार की अनुमति दी जाती है।

1.51 अंतर्निहित कोमोडिटी

अंतर्निहित कोमोडिटी का एक अर्थ है एक कोमोडिटी जिसके संदर्भ में समय-समय पर निर्दिष्ट एक्सचेंज में कारोबार के लिए डेरिवेटिव अनुबंध को अनुमति दी जाती है।

1.52 कोष्ठ सेवा प्रदाता

‘कोष्ठ सेवा प्रदाता’ का अर्थ है एक एजेंसी जिसे एक अंतर्निहित कीमती वस्तु, जैसे कीमतधातूएं, हीरे-जवाहरात आदि, के भण्डारण और संरक्षण के लिए क्लीयरिंग कॉर्पोरेशन द्वारा अनुमोदित किया जाता है और मान्यता प्रदान की जाती है।

1.53 वेयरहाउस

‘वेयरहाउस’ का अर्थ है भण्डारण का कोई भी स्थान, गोदाम, टैंक, कोष्ठागार, स्टोर हाउस, कोष्ठ, आदि, जहां वस्तुओं को प्राप्त और भण्डारित किया जा सकता है।

1.54 वेयरहाउस/कोष्ठ रसीद

‘वेयरहाउस/कोष्ठ रसीद’ का अर्थ है भौतिक या इलेक्ट्रॉनिक रूप में एक दस्तावेज जो एक अनुमोदित या मान्यता प्राप्त वेयरहाउस में एक वस्तु को रखने का प्रमाण देती है।

1.55 वेयरहाउस सेवा प्रदाता

‘वेयरहाउस सेवा प्रदाता’ का अर्थ है एक एजेंसी जिसे किसी भी अंतर्निहित/माल के भण्डारण और संरक्षण के लिए क्लीयरिंग कॉर्पोरेशन द्वारा अनुमोदित किया जाता है और मान्यता दी जाती है।

2. कोमोडिटी डेरिवेटिव सेगमेंट

2.1 निर्दिष्ट एक्सचेंज

उचित प्राधिकार समय-समय पर सेबी के पूर्व अनुमोदन के बाद निर्दिष्ट एक्सचेंज में लेनदेन करने की अनुमति दे सकता है।

इन विनियमों के उद्देश्य से निम्न एक्सचेंज को निर्दिष्ट किया गया है:

नेशनल स्टॉक एक्सचेंज, जो विनियम करता है जिसे इन विनियमों के उद्देश्य के लिए यहां एनएसई कहा गया है।

2.2 एफ एवं ओ क्लीयरिंग सदस्य

‘क्लीयरिंग सदस्य’ का अर्थ है क्लीयरिंग कॉर्पोरेशन का एक सदस्य और इसमें क्लीयरिंग सदस्यों की सभी श्रेणियां शामिल हैं जिन्हें क्लीयरिंग कॉर्पोरेशन द्वारा कोमोडिटी डेरिवेटिव सेगमेंट में अनुमति दी सकती है।

2.3 क्लीयरिंग सदस्यों की श्रेणियां

क्लीयरिंग सदस्यों की निम्नलिखित पोजिशन को यहां निर्दिष्ट किया गया है:

टीएम क्लीयरिंग सदस्य

टीएम क्लीयरिंग सदस्य का अर्थ है निर्दिष्ट एक्सचेंज का एक सदस्य जिसे उचित प्राधिकार द्वारा क्लीयरिंग कॉर्पोरेशन के कोमोडिटी डेरिवेटिव सेगमेंट में क्लीयरिंग सदस्य के रूप में अनुमति दी जाती है और जो निम्नलिखित सौदों को क्लीयर और उनका सेटलमेंट कर सकता है:

(क) स्वयं के खाते या अपने क्लाइंट के खाते पर सौदे

(ख) एक निर्दिष्ट एक्सचेंज के अन्य सदस्यों द्वारा उनके स्वयं के खाते या उनके क्लाइंट के खाते में किए जाने वाले सौदे।

व्यवसायी क्लीयरिंग सदस्य

स्वयं-क्लीयरिंग सदस्य का अर्थ है निर्दिष्ट एक्सचेंज का एक सदस्य जिसे उचित प्राधिकार द्वारा एक क्लीयरिंग सदस्य के रूप में अनुमति प्रदान की गई है जो इसके स्वयं के खाते या इसके क्लाइंट के खाते पर सौदों को क्लीयर कर सकता है और उनका सेटलमेंट कर सकता है।

2.4 कोमोडिटी लेनदेन कर का संग्रह

क्लीयरिंग कॉर्पोरेशन एक्सचेंज की तरफ से कोमोडिटी लेनदेन कर का संग्रह करेगा। उचित प्राधिकार द्वारा समय-समय पर इस प्रकार के कर की गणना और संग्रह की निर्धारित विधियों के अनुसार प्रत्येक क्लीयरिंग सदस्य एक्सचेंज में उसके द्वारा किए गए या उसके कंस्टिट्यूट की तरफ किए गए और इस प्रकार के क्लीयरिंग सदस्य के माध्यम से क्लीयर किए गए और सेटल किए गए लेनदेनों के संबंध में एक्सचेंज के कारोबारी सदस्य द्वारा देय कोमोडिटी लेनदेन कर क्लीयरिंग कॉर्पोरेशन को भेजेगा। कोई भी क्लीयरिंग सदस्य जो समय-समय पर उचित प्राधिकार द्वारा निर्धारित इन विधियों के अनुसार कर का भुगतान करने में विफल रहता है तो वह भुगतान न करने के परिणामों के लिए जिम्मेदार होगा जिनमें अन्य बातों के साथ साथ क्लीयरिंग सुविधा का वापिस लेना, क्लीयरिंग सदस्य के धन में से समायोजित करना, अदायगी पर रोक लगाना, आदि शामिल है जैसा कि समय-समय पर निर्धारित किया जा सकता है।

3. डेरिवेटिव्स अनुबंध की क्लीयरिंग और सेटलमेंट के प्रावधान

सौदे, लेनदेन और क्रय-विक्रय

इन विनियमों के उद्देश्य के लिए "सौदे", "लेनदेन", और "क्रय-विक्रय" का अर्थ एक ही और समान होगा यदि संदर्भ के अनुसार अन्यथा वांछित नहीं है।

3.1 क्लीयरिंग और सेटलमेंट विनियम अनुबंधों का हिस्सा हैं

डेरिवेटिव्स अनुबंध को क्लीयर करने और सेटलमेंट करने के लिए किसी भी विधि के संबंध में समय-समय पर लागू विनियम और वर्तमान में उचित प्राधिकार के प्रस्ताव, नोटिस, निर्देश और निर्णय प्रत्येक डेरिवेटिव्स अनुबंध के नियमों एवं शर्तों का हिस्सा होंगे।

3.2 डेरिवेटिव्स अनुबंध की रिपोर्टिंग

क्लीयरिंग सदस्यों द्वारा किए गए डेरिवेटिव्स अनुबंध को समय-समय पर उचित प्राधिकार द्वारा निर्धारित विधि और रूम में निर्धारित समय के अंदर रिपोर्ट किया जाएगा।

3.3 डेरिवेटिव्स अनुबंध की क्लीयरिंग और सेटलमेंट

डेरिवेटिव्स अनुबंध पर क्लीयरिंग और सेटलमेंट लेनदेनों को समय-समय पर उचित प्राधिकार द्वारा निर्दिष्ट प्रक्रिया या प्रक्रियाओं के द्वारा क्लीयरिंग कॉर्पोरेशन के माध्यम से क्लीयर और सेटल किया जाएगा।

3.4 सेटलमेंट की विधि में परिवर्तन

उचित प्राधिकार किसी भी समय पर यह आदेश देने में सक्षम है कि किया गया कोई भी सौदा या सभी सौदे या आगे किए जाने वाले सभी सौदे समय-समय पर उचित प्राधिकार द्वारा निर्धारित अन्य उचित प्रक्रियाओं द्वारा किए जाएंगे और प्रत्येक लेनदेन सेटलमेंट विधि या प्रक्रिया में किसी भी इस प्रकार के परिवर्तन पर निर्भर होंगे।

3.5 नोटिस और निर्देश

सभी क्लीयरिंग सदस्य क्लीयरिंग कॉर्पोरेशन के संचालनों से जुड़े सभी मामलों में उचित प्राधिकार के निर्देशों, प्रस्तावों, आदेशों, नोटिसों, दिशानिर्देशों और निर्णयों की अनुपालना करेंगे।

3.6 असत्य या भ्रामक घोषणा

उचित प्राधिकार एक क्लीयरिंग सदस्य पर जुर्माना लगा सकता है, उसे निलम्बित या निष्कासित कर सकता है जो इन विनियमों या उचित प्राधिकार के किसी प्रस्ताव, आदेशों, नोटिसों, दिशानिर्देशों और निर्णयों के अनुसार दाखिल किए जाने वाले क्लीयरिंग प्रपत्रों में कोई भी असत्य या भ्रामक घोषणा करता है।

3.7 क्लीयरिंग के लिए शुल्क

उचित प्राधिकार समय-समय पर क्लीयरिंग कॉर्पोरेशन के माध्यम से क्लीयर और सेटल किए जाने वाले लेनदेनों के लिए क्लीयरिंग शुल्कों का पैमाना निर्धारित करेगा।

3.8 क्लीयरिंग कॉर्पोरेशन बिल

उचित प्राधिकार समय-समय पर शुल्कों, क्लीयरिंग और इस प्रकार के अन्य शुल्कों, जुर्मानों और अन्य देयों के लिए बिल प्रदान करेगा जो क्लीयरिंग कॉर्पोरेशन के माध्यम से क्लीयर और सेटल किए गए लेनदेनों के कारण क्लीयरिंग सदस्य द्वारा क्लीयरिंग कॉर्पोरेशन को देय हो सकते हैं और इस प्रकार के सदस्यों द्वारा देय राशि को उनके क्लीयरिंग खातों में डेबिट करेगा।

3.9 क्लीयरिंग कॉर्पोरेशन की देनदारी

क्लीयरिंग कॉर्पोरेशन के कार्यों के दौरान इसके द्वारा किए गए किसी भी कार्य या चूक के कारण क्लीयरिंग कॉर्पोरेशन या उचित प्राधिकार या क्लीयरिंग कॉर्पोरेशन के किसी कर्मचारी/एजेंटों की कोई देनदारी नहीं होगी।

3.10 क्लीयरिंग दिवस और निर्धारित समय

उचित प्राधिकार समय-समय पर विभिन्न क्लीयरिंग दिवसों को निर्धारित करेगा जिनमें किसी भी या सभी डेरिवेटिव्स अनुबंधों के क्लीयरिंग और सेटलमेंट कार्य के संबंध में जमा और अदायगी दिवस और निर्धारित समय शामिल है। उचित प्राधिकार समय-समय पर किसी भी या सभी क्लीयरिंग सदस्यों के लिए विभिन्न अनुसूचितयों को निर्धारित कर सकता है।

3.11 क्लीयरिंग दिवसों और निर्धारित समयों में परिवर्तन

उचित प्राधिकार किसी भी समय पर किसी भी या सभी डेरिवेटिव्स अनुबंध के संबंध में सम्पूर्ण क्लीयरिंग या किसी भी या सभी क्लीयरिंग दिवसों और निर्धारित समयों को सीमित कर सकता है, उनका विस्तार कर सकता है या स्थगित कर सकता है।

3.12 ओपन श्रेणियां

किसी भी डेरिवेटिव्स अनुबंध की खरीद या बिक्री के लिए सभी अनुबंध ओपन रहेंगे और लागू रहेंगे और क्लीयरिंग सदस्यों और इसके कंस्टिट्यूट्स पर अनुबंध को समायोजित करके या समय-समय पर उचित प्राधिकार द्वारा निर्दिष्ट अन्य विधि से लिक्विडेट करने तक बाध्यकारी रहेंगे; बशर्ते कि सभी ओपन श्रेणियां समापन दिवस को समाप्त हो जाती हैं।

3.13 समायोजन स्वतः नहीं

यदि डेरिवेटिव्स अनुबंध में समान अनुबंध माह और/या इस प्रकार के विनिर्देश हैं जिन्हें उचित प्राधिकार द्वारा समय-समय जारी किया जाता है, और जो स्वामीत्व खाते के विषय में हैं, तो खरीद लेनदेन और बिक्री लेनदेन एक दूसरे को संभावित सीमा तक स्वतः समायोजित करेंगे। यदि डेरिवेटिव्स अनुबंध में समान अनुबंध माह और/या इस प्रकार के विनिर्देश हैं जिन्हें उचित प्राधिकार द्वारा समय-समय जारी किया जाता है, और जो कंस्टिट्यूट के खाते के विषय में हैं, तो खरीद लेनदेन और बिक्री लेनदेन एक दूसरे को समायोजित नहीं करेंगे यदि सौदों को उसी कंस्टिट्यूट के लिए कारोबार के समय समायोजित करने के लिए चिन्हित नहीं किया जाता है।

3.14 क्लीयरिंग सदस्य द्वारा भुगतान

क्लीयरिंग कार्पोरेशन क्लीयरिंग सदस्यों द्वारा भुगतान की गई सभीधनराशियों को एक प्रिंसिपल द्वारा इसे भुगतान की गईधनराशि मानेगा और क्लीयरिंग कार्पोरेशन के पास इस प्रकार कीधनराशि को एक ट्रस्ट या अन्य साम्पिक हित के साथ प्रभावित नहीं किया जाएगा। क्लीयरिंग कार्पोरेशन द्वारा किसी भी क्लीयरिंग सदस्य को किया गया भुगतान पूरा और पर्याप्त चुकता जमा होगा।

4. मार्जिन और क्लीयरिंग/जोखिम सीमाएं

4.1 मार्जिन

क्लीयरिंग कार्पोरेशन समय-समय पर क्लीयरिंग सदस्यों के लिए मार्जिन आवश्यकताओं को निर्धारित करेगा जिनमें जोखिम आधारित कलन विधि के माध्यम से ओपन पेजिशन पर प्रारंभिक मार्जिन शामिल है। क्लीयरिंग सदस्य इस प्रकार के मार्जिन को इस प्रकार के रूप में और उस समय के अंदर प्रदान करेगा जिन्हें क्लीयरिंग कार्पोरेशन समय-समय पर निर्धारित करता है। प्रत्येक क्लीयरिंग सदस्य के पास यह निरंतर दायित्व रहेगा कि वह क्लीयरिंग कार्पोरेशन द्वारा समय-समय पर निर्धारित स्तर पर और निर्धारित अवधि के लिए मार्जिन का रखरखाव करे। क्लीयरिंग कार्पोरेशन क्लीयरिंग सदस्य द्वारा स्वयं या कंस्टिट्यूट की तरफ जमा मार्जिन को उचित तरीके से विभाजित करेगा।

4.2 मार्जिन के भुगतान का तरीका

क्लीयरिंग सदस्य को मार्जिन या तो नकद, जमा रसीद, बैंक गारंटी और उचित प्राधिकार द्वारा अनुमोदित प्रतिभूतियों या उचित प्राधिकार द्वारा समय-समय पर निर्धारित अन्य तरीके के माध्यम से जमा करना आवश्यक होगा जो इस प्रकार के नियम एवं शर्तों पर निर्भर होगा जैसा कि समय-समय पर उचित प्राधिकार निर्धारित करता है।

4.3 मार्जिन को रोकना

क्लीयरिंग कार्पोरेशन अपने स्वयं के विवेक पर या निर्दिष्ट एक्सचेंज के निर्देशों पर क्लीयरिंग सदस्य द्वारा निर्दिष्ट एक्सचेंज की किसी भी आवश्यक अवधि के लिए क्लीयरिंग कार्पोरेशन को प्रदान किए गए किसी भी मार्जिन को रोक/जारी कर करेगा; यदि इस प्रकार के क्लीयरिंग सदस्य के पास ओपन श्रेणियां हैं तो उसे क्लीयरिंग कार्पोरेशन द्वारा रोके गए मार्जिन के बावजूद निरंतर आगे मार्जिन का भुगतान करना होगा।

4.4 अतिरिक्त मार्जिन

यदि क्लीयरिंग कार्पोरेशन की राय में निर्दिष्ट एक्सचेंज द्वारा संचालित किसी मार्केट में अचानक उतार-चढ़ाव स्पष्ट दिखाई देते हैं, तो क्लीयरिंग कार्पोरेशन अतिरिक्त मार्जिन की मांग कर सकता है। अतिरिक्त मार्जिन समय-समय पर निर्धारित विधि से और निर्धारित समय के अंदर देय होगा।

4.5 कंस्टिट्यूट से मार्जिन

4.5.1 क्लीयरिंग सदस्य अपने कंस्टिट्यूट से मार्जिनधनराशि की मांग करेगा जिसे क्लीयरिंग सदस्य को इन विनियमों के अंतर्गत क्लीयरिंग सदस्यों द्वारा किए गए सौदों के संबंध में इस प्रकार के कंस्टिट्यूट के लिए प्रदान करना होगा।

4.5.2 क्लीयरिंग सदस्य केवल समय-समय पर उचित प्राधिकार द्वारा निर्धारित न्यूनतम मार्जिन की प्राप्ति पर ही कंस्टिट्यूट की तरफ से डेरिवेटिव्स अनुबंधों में सौदों को क्लीयर और सेटलमेंट करेगा, यदि कंस्टिट्यूट के पास पहले से ही क्लीयरिंग सदस्य के पास एक बराबर क्रेडिट नहीं है। क्लीयरिंग सदस्य कंस्टिट्यूट्स से अधिक मार्जिन प्राप्त कर सकता है, जैसा कि वह उचित समझे।

4.5.3 जब भी क्लीयरिंग कॉर्पोरेशन द्वारा विशेष रूप से अपने कंस्टिट्यूट की तरफ से जमा मार्जिनधनराशि की मांग की जाएगी, क्लीयरिंग सदस्य क्लीयरिंग कॉर्पोरेशन को सूचित करेगा।

4.6. मार्जिन का भुगतान

क्लीयरिंग सदस्य द्वारा भुगतान मार्जिन और अन्यधनराशि को क्लीयरिंग कॉर्पोरेशन के स्वयं के द्वारा और स्वयं की तरफ से भुगतान किया गया माना जाएगा और उसे उपनियमों और विनियमों के अंतर्गत उचित उद्देश्य के लिए समायोजित किया जाएगा;

बशर्ते कि क्लीयरिंग सदस्य उसके द्वारा क्लीयरिंग कॉर्पोरेशन को भुगतान किए गए मार्जिन की यह पहचान और विभाजन नहीं करता है कि यह उसके स्वयं की तरफ से है या उसके क्लाइंट की तरफ से।

4.7 मार्जिन भुगतान में कमी/चूक का विवरण

4.7.1 यदि क्लीयरिंग सदस्य अपने दायित्वों को पूरा नहीं करता है या उसे चूककर्ता घोषित किया जाता है, तो इस प्रकार का क्लीयरिंग सदस्य क्लीयरिंग कॉर्पोरेशन को एक विवरण भेजेगा जिसमें क्लाइंट कोड, क्लाइंट के नाम, प्रत्येक क्लाइंट की ओपन पेजिशन, प्रत्येक क्लाइंट की बकाया मार्जिनधनराशि और क्लीयरिंग कॉर्पोरेशन को भुगतान और प्रत्येक क्लाइंट द्वारा क्लीयरिंग कॉर्पोरेशन को देय/प्राप्य सेटलमेंट राशि का विवरण होगा। क्लीयरिंग सदस्य विशेष रूप से मार्जिन के भुगतान में विफलता/कमी/चूक और/या उसकी तरफ या उसके क्लाइंट की तरफ बकाया और इस प्रकार की कमी/चूक की राशि का विवरण भी प्रदान करेगा।

4.7.2 क्लीयरिंग कॉर्पोरेशन क्लीयरिंग सदस्य द्वारा प्रदान किए गए इस विवरण का प्रयोग मार्जिनधनराशि को क्लीयरिंग कॉर्पोरेशन के बकाया के साथ दायित्वों की कमी/चूक की सीमा तक समायोजित करने और क्लाइंट को देय राशि को सेटल करने, जो भी मामला हो, के लिए प्रयोग करेगा, यदि क्लीयरिंग कॉर्पोरेशन/डिफॉल्ट्स कमेटी की संतुष्टि के अनुसार विपरित प्रावधान नहीं है।

4.7.3 क्लीयरिंग कॉर्पोरेशन क्लीयरिंग कॉर्पोरेशन के पास मार्जिनधनराशि का समायोजन क्लीयरिंग सदस्यों के बकाया का सेटलमेंट करने से पहले क्लाइंट के बकाया का सेटलमेंट करने के लिए करेगा।

4.7.4 उक्त के बावजूद यदि किसी क्लीयरिंग सदस्य को चूककर्ता (डिफॉल्टर) घोषित कर दिया जाता है, तो इस प्रकार के क्लीयरिंग सदस्य को कारोबारी सदस्य के द्वारा प्रदान विवरण, जिसमें स्वयं कारोबारी सदस्य द्वारा भुगतान की गई राशि या उसके क्लाइंट की तरफ से भुगतान की गई राशि का ब्यौरा है, अंतिम होगा और उसके स्वयं, उसके क्लीयरिंग सदस्य और उसके सभी क्लाइंट्स के लिए बाध्यकारी होगा, यदि क्लीयरिंग कॉर्पोरेशन/डिफॉल्ट्स कमेटी की संतुष्टि के अनुसार विपरित प्रमाणित नहीं हो जाता है।

4.8. क्लीयरिंग/जोखिम सीमा

4.8.1 क्लीयरिंग कॉर्पोरेशन किसी भी समय पर अपने पूर्ण विवेक से:

- (क) सभी या किसी भी क्लीयरिंग सदस्यों और उनके क्लाइंट्स के लिए अधिकतम लम्बी और/या शोर्ट पोजिशन का निर्धारण कर सकता है जिसमें किसी एक या सभी डेरिवेटिव्स अनुबंधों के लिए मात्रा और/या मूल्य शामिल है।
- (ख) एकविशेष मार्केट के लिए मात्रा या मूल्य में या क्लीयरिंग सदस्य की आधार पूंजी के प्रतिशत के रूप में या उक्त के संयुक्त के रूप में या अन्य किसी विधि के रूप में जिसे उचित प्राधिकार समय-समय पर सभी या किसी एक क्लीयरिंग सदस्य के लिए निर्धारित कर सकता है, क्लीयरिंग/जोखिम सीमा को निर्धारित कर सकता है।

4.8.2 क्लीयरिंग कॉर्पोरेशन किसी भी समय पर निर्दिष्ट एक्सचेंज को और संबंधित क्लीयरिंग सदस्य(यों) को अधिसूचित करके उक्त विनियमों के अनुसार किसी भी क्लीयरिंग/जोखिम सीमाओं को लगा सकता है, बढ़ा सकता है या खत्म कर सकता है। इस प्रकार से अधिसूचित क्लीयरिंग/जोखिम सीमाओं में कोई भी अधिरोपण (लागू करना), हटाना या परिवर्तन करना इस प्रकार की अधिसूचना के अनुसार प्रभावी होगा।

4.8.3 क्लीयरिंग कॉर्पोरेशन अपने विवेक से क्लीयरिंग सदस्य को क्लीयरिंग कॉर्पोरेशन में अतिरिक्त आधार पूंजी के जमा पर उनकी क्लीयरिंग/जोखिम सीमा की अनुमति दे सकता है। क्लीयरिंग कॉर्पोरेशन समय-समय पर आधार पूंजी की गणना की विधि और अतिरिक्त आधार पूंजी के जमा के तरीके को निर्धारित करेगा। अतिरिक्त क्लीयरिंग/जोखिम सीमाएं

4.9 क्लीयरिंग/जोखिम सीमा से अधिक

4.9.1 यदि एक क्लीयरिंग सदस्य लागू की गई किसी भी क्लीयरिंग/जोखिम सीमा से अधिक चला जाता है, तो क्लीयरिंग कॉर्पोरेशन को क्लीयरिंग सदस्य से ओपन पेजिशन को बंद करने या समय-समय पर उचित प्राधिकार द्वारा निर्धारित ऐसे उपाय करने की मांग कर सकता है, जिनके परिणामस्वरूप क्लीयरिंग कॉर्पोरेशन की राय में क्लीयरिंग सदस्य क्लीयरिंग/जोखिम सीमाओं की अनुपालना करता है।

4.9.2 यदि एक क्लीयरिंग सदस्य क्लीयरिंग कॉर्पोरेशन की किसी आवश्यकता की अनुपालना करने में विफल होता है तो क्लीयरिंग कॉर्पोरेशन इस प्रकार की ओपन पेजिशन को क्लीयरिंग सदस्य की तरफ से बंद कर सकता है या कोई ऐसा कदम उठा सकता है जो क्लीयरिंग/जोखिम सीमाओं की अनुपालना के लिए आवश्यक है जिसमें कारोबार और/या क्लीयरिंग सुविधा को निष्कासित करना शामिल है।

5. भावी अनुबंधों का सेटलमेंट

5.1 भावी अनुबंधों के लिए दैनिक मार्केट कीमत पर सेटलमेंट

5.1.1 भावी अनुबंधों में एक क्लीयरिंग सदस्य की लॉग या शोर्ट सभी ओपन पोजिशन दैनिक सेटलमेंट कीमत पर बंद मानी जाएंगी, और इस प्रकार का सदस्य क्लीयरिंग कॉर्पोरेशन को किसी भी हानि या लाभ, जो भी मामला हो, का भुगतान करने के लिए उत्तरदायी, या संग्रहित करने का अधिकारी होगा, जिसे भावी अनुबंध की खरीद या बिक्री कीमत या कारोबार के दिन से पिछले दिन की सेटलमेंट कीमत और कारोबारी दिवस के अंत में लेनदेन की दैनिक सेटलमेंट कीमत, जो भी मामला हो, के बीच अंतर के द्वारा निरूपित किया जाता है।

5.1.2 क्लीयरिंग कॉर्पोरेशन के साथ इस प्रकार की सेटलमेंट करने के बाद इस प्रकार का सदस्य दैनिक सेटलमेंट कीमत पर लॉग या शोर्ट, जो भी मामला हो, भावी अनुबंध के योग्य होगा। दैनिक सेटलमेंट के दायित्वों को का भुगतान केवल नकद किया जाएगा।

5.2 दैनिक सेटलमेंट मूल्य

दैनिक सेटलमेंट कीमत कारोबार दिवस के लिए भावी अनुबंधों का अंतिम मूल्य या ऐसा अन्य मूल्य होगा जिसे उचित प्राधिकार द्वारा समय-समय पर निर्धारित किया जा सकता है।

5.3 बाजार में दैनिक सेटलमेंट कीमत के लिए अग्रिम कॉल

यदि मार्केट की स्थितियों या कीमतों में इस प्रकार उतार-चढ़ाव होता है कि उचित प्राधिकार को यह आवश्यक लगता है कि क्लीयरिंग सदस्य को क्लीयरिंग कॉर्पोरेशन में उचित प्राधिकार द्वारा निर्धारित समय में वह राशि जमा करना आवश्यक है जो अनुमानतः इस प्रकार की सेटलमेंट के लिए आवश्यक होगी, तो वह उन क्लीयरिंग सदस्यों को कॉल कर सकता है जो प्रभावित हैं।

5.4 भावी अनुबंध की सेटलमेंट जो समाप्त हो चुके हैं

जो भावी अनुबंध पोजिशन को ऑफसेट करके बंद कर दिए जाते हैं उन्हें समय-समय पर उचित प्राधिकार द्वारा निर्धारित विधि से सेटल किया जाएगा।

5.5 अंतिम सेटलमेंट

अंतिम कारोबार दिवस को कारोबार घण्टों के समाप्त होने पर भावी अनुबंधों में क्लीयरिंग सदस्यों की ओपन पोजिशन समय-समय पर उचित प्राधिकार द्वारा निर्धारित विधि के अनुसार सेटल की जाएंगी।

अंतिम सेटलमेंट पूरी होने पर इस प्रकार के भावी अनुबंधों में ओपन पोजिशन तथ्यतः समाप्त हो जाएंगी।

5.6 अंतिम सेटलमेंट मूल्य

अंतिम सेटलमेंट मूल्य वह मूल्य होगा जिसे समय-समय पर उचित प्राधिकार निर्धारित कर सकता है।

5.7 क्लीयरिंग प्रपत्र

सभी क्लीयरिंग प्रपत्र उचित विनियम में निर्धारित किए अनुसार या ऐसे अन्य स्वरूप में या स्वरूपों में होंगे जिसे उचित प्राधिकार समय-समय पर इसके अतिरिक्त निर्धारित कर सकता है या इसके संशोधित या प्रतिस्थापन रूप में होंगे।

5.8 दैनिक और अंतिम सेटलमेंट दायित्व विवरण

क्लीयरिंग कॉर्पोरेशन विभिन्न प्रकार के भावी अनुबंधों में लेनदेनों के विषय में दैनिक और अंतिम सेटलमेंट दायित्व विवरणों को जेनरेट करेगा और प्रत्येक क्लीयरिंग सदस्य को प्रदान करेगा।

5.9 भुगतान का तरीका

सभी क्लीयरिंग सदस्यों के पास निर्धारित भुगतान प्राप्ति दिवस को उनके क्लीयरिंग खातों में उनके वित्तीय दायित्वों के बराबर धनराशि शेष होगी; बशर्ते कि उचित प्राधिकार सभी या किसी भी क्लीयरिंग सदस्यों के लिए धनराशि के भुगतान का एक भिन्न तरीका निर्धारित नहीं करता है।

5.10 धन की प्राप्ति

क्लीयरिंग बैंक क्लीयरिंग सदस्यों के क्लीयरिंग खातों को क्रेडिट करेगा जिनको क्लीयरिंग कॉर्पोरेशन के निर्देशों के अनुसार धनराशि देय है। क्लीयरिंग सदस्यों को देय सभी धनराशियों को उसके खाते में क्रेडिट किया जाएगा, यदि (क) क्लीयरिंग सदस्य क्लीयरिंग क्लीयरिंग कॉर्पोरेशन के प्रति उसके दायित्वों को पूरा करता है या (ख) उचित प्राधिकार द्वारा अन्यथा आदेश नहीं दिया जाता है;

बशर्ते कि उचित प्राधिकार सभी या किसी क्लीयरिंग सदस्य के लिए धन क्रेडिट करने का भिन्न तरीका निर्धारित कर सकता है।

5.11 सेटलमेंट का तरीका

5.11.1 अंतिम कारोबार दिवस को कारोबार घण्टे समाप्त होने पर भावी अनुबंधों में क्लीयरिंग सदस्यों की सभी ओपन पोजिशन समय-समय पर उचित प्राधिकार द्वारा निर्धारित विधि के अनुसार या तो नकद में सेटल की सकती हैं या भौतिक रूप से सेटल की जा सकती हैं।

5.11.2 भौतिक सेटलमेंट

5.11.2.1 भौतिक रूप में या अमूर्त रूप में डिलिवर की गई अंतर्निहित वस्तु की इकाईयों की संख्या के बराबर दायित्व, जैसा कि यहां दिया गया है, जिन्हें भावी अनुबंधों द्वारा प्रदान किया जाता है, अंतिम दिन बकाया पोजिशन के अनुसार अंतिम तिथि के बाद सृजित किया जाएगा।

5.11.2.2 क्लीयरिंग सदस्य जिसके पास लॉग/शॉर्ट पोजिशन हैं वह क्लीयरिंग कॉर्पोरेशन के प्रति इस प्रकार सृजित डिलिवरी या भुगतान दायित्वों, जो भी मामला हो, को पूरा करेगा। इस प्रकार के दायित्व को पूरा करने पर वह धनराशियों या अंतर्निहित वस्तुओं, जो भी मामला हो, को क्लीयरिंग कॉर्पोरेशन से प्राप्त करेगा।

5 क. विकल्प अनुबंधों की सेटलमेंट

5 क. 1 विकल्प अनुबंधों के लिए दैनिक प्रिमियम सेटलमेंट

प्रिमियम देय पोजिशन वाले क्लीयरिंग सदस्य सेटलमेंट के लिए क्लीयरिंग कॉर्पोरेशन को वह प्रिमियम मूल्य प्रदान करने के लिए उत्तरदायी हैं जिस पर विकल्प अनुबंधों को खरीदा गया था। जिन क्लीयरिंग सदस्यों को प्रिमियम देय है वे सेटलमेंट के लिए क्लीयरिंग कॉर्पोरेशन से वह प्रिमियम मूल्य प्राप्त करने के हकदार हैं जिस पर विकल्प अनुबंध बेचे गए थे।

(क) प्रिमियम सेटलमेंट का तरीका: दैनिक प्रिमियम सेटलमेंट दायित्वों का भुगतान नकद या समय-समय पर उचित प्राधिकार द्वारा निर्धारित माध्यम से किया जाएगा।

(ख) प्रिमियम सेटलमेंट की शैली: प्रिमियम सेटलमेंट की शैली प्रिमियम शैली या भावी शैली हो सकती है। क्लीयरिंग कॉर्पोरेशन उन सभी विकल्प अनुबंधों के लिए प्रिमियम सेटलमेंट की शैली को निर्धारित करेगा जिन्हें क्लीयर और सेटल किया जाना है। क्लीयरिंग कॉर्पोरेशन समय-समय पर प्रिमियम सेटलमेंट के लिए एक या अधिक शैलियों के लिए प्रिमियम सेटलमेंट की विधि को निर्धारित करेगा।

(ग) प्रिमियम सेटलमेंट की विधि: उचित प्राधिकार समय-समय पर प्रिमियम सेटलमेंट की निर्धारित शैली के अनुसार प्रिमियम सेटलमेंट की विधि को निर्धारित करेगा। क्लीयरिंग कॉर्पोरेशन प्रिमियम सेटलमेंट की विधि के अनुसार सभी स्वीकृत विकल्प अनुबंधों के लिए प्रत्येक कारोबार दिवस के अंत में या उचित प्राधिकार द्वारा समय-समय पर निर्धारित समय पर संबंधित क्लीयरिंग सदस्यों द्वारा देय या प्राप्य प्रिमियम सेटलमेंट राशि का आंकलन करेगा, और उसकी जानकारी क्लीयरिंग सदस्य को देगा। तदनुसार इस प्रकार के क्लीयरिंग सदस्य प्रिमियम सेटलमेंट के लिए इस प्रकार की प्रिमियम सेटलमेंट राशि का भुगतान क्लीयरिंग कॉर्पोरेशन को करेंगे या क्लीयरिंग कॉर्पोरेशन से प्राप्त करेंगे।

(घ) प्रिमियम सेटलमेंट का समय: उचित प्राधिकार समय-समय पर प्रिमियम सेटलमेंट के दिन और समय को निर्धारित करेगा।

5 क. 2 प्रयोग

(क) प्रयोग का प्रकार

एक विकल्प अनुबंध के लिए प्रयोग का प्रकार स्वैच्छिक या स्वचालित या उचित प्राधिकार द्वारा समय-समय पर निर्धारित किए गए अनुसार हो सकता है।

1. स्वैच्छिक प्रयोग

स्वैच्छिक प्रयोग एक क्लीयरिंग सदस्य द्वारा अपनी इच्छा से विकल्प अनुबंध का प्रयोग है जो नियमों, उपनियमों और विनियमों पर निर्भर है।

2. स्वचालित प्रयोग

स्वचालित प्रयोग सभी इन-द-मनी स्ट्राइक प्राइस विकल्प अनुबंधों का प्रयोग है, जो अंतिम तिथि को स्वतः ही अनिवार्य रूप से प्रयोग होते हैं, जो नियमों, उपनियमों और विनियमों पर निर्भर है।

उक्त के बावजूद यदि एक क्लीयरिंग सदस्य एक इन-द-मनी स्ट्राइक विकल्प अनुबंध का प्रयोग करने का इच्छुक नहीं है तो विनियम 5क.2 (ग) के अनुसार उचित निर्देश देना इस प्रकार के क्लीयरिंग सदस्य की जिम्मेदारी होगी।

इन-द-मनी स्ट्राइक प्राइस के अलावा एक स्ट्राइक प्राइस पर एक विकल्प का प्रयोग उचित प्राधिकार के विवेक पर होगा और समय-समय पर उचित प्राधिकार द्वारा निर्धारित आवश्यकताओं के अंतर्गत होगा।

(ख) प्रयोग पद्धति

प्रयोग पद्धति अंतरिम या अंतिम हो सकती है या कोई ऐसी पद्धति हो सकती है जिसे उचित प्राधिकार द्वारा समय-समय पर निर्धारित किया जा सकता है।

1. अंतरिम प्रयोग

अंतरिम प्रयोग अंतिम दिन उचित प्राधिकार द्वारा समय-समय पर निर्धारित दिन/और या समय से पहले किसी भी समय पर एक विकल्प अनुबंध का प्रयोग है। अंतरिम प्रयोग स्वैच्छिक होता है। इस प्रकार के अनुबंधों की सेटलमेंट विनियम 5 क 4.1 में प्रयोग सेटलमेंट के लिए उचित प्राधिकार द्वारा निर्धारित प्रावधानों के अनुसार होगी।

2. अंतिम प्रयोग

अंतिम प्रयोग अंतिम दिन को अंतिम समय या उचित प्राधिकार द्वारा समय-समय पर निर्धारित दिन और/या समय पर इससे पहले एक विकल्प अनुबंध का प्रयोग है। अंतिम प्रयोग स्वतः या स्वैच्छिक हो सकता है। इस प्रकार के अनुबंधों की सेटलमेंट विनियम 5 क 4.1 में प्रयोग सेटलमेंट के लिए उचित प्राधिकार द्वारा निर्धारित प्रावधानों के अनुसार की जाएगी।

(ग) प्रयोग की विधि

एक क्लीयरिंग सदस्य द्वारा एक विकल्प अनुबंध के प्रयोग की विधि को यहां दिए गए अनुसार या उचित प्राधिकार द्वारा समय-समय पर निर्धारित अन्य किसी विधि से निर्धारित किया जाएगा।

1. क्लीयरिंग कॉर्पोरेशन को प्रयोग का नोटिस

उचित प्राधिकार समय-समय पर विकल्प अनुबंधों के प्रयोग के संबंध में प्रावधानों को निर्धारित कर सकता है जिनमें निम्न शामिल हैं:

- (क) सदस्यों का प्रकार जो प्रयोग कर सकते हैं
- (ख) प्रयोग का नोटिस देने के लिए सुविधा/प्रणाली
- (ग) दिन जब प्रयोग का नोटिस दिया जा सकता है
- (घ) विकल्प अनुबंधों का न्यूनतम समूह आकार जिनका प्रयोग किया जा सकता है
- (ङ) समय अवधि जिसके अंदर प्रयोग का नोटिस दिया जा सकता है
- (च) विकल्प अनुबंधों की अधिकतम संख्या जिन्हें एक दिन में एक अवधि के अंदर, एक सदस्य या मार्केट के लिए प्रयोग किया जा सकता है
- (छ) स्ट्राइक प्राइस (अर्थात् इन-द-मनी और/एट-द मनी और/या आउट-ऑफ-द-मनी) जिस पर विकल्प अनुबंध का प्रयोग किया जा सकता है।

प्रयोग का नोटिस उस दिन कारोबार के घण्टों के समाप्त होने पर एक विकल्प अनुबंध में लॉग पोजिशन पर माना जाएगा जिस दिन प्रयोग के नोटिस को टेंडर किया गया है, या किसी अन्य दिन/समय पर माना जाएगा जिसे उचित प्राधिकार समय-समय पर निर्धारित कर सकता है।

कोई भी क्लीयरिंग सदस्य इस प्रकार से दिए गए किसी प्रयोग नोटिस को रद्द या संशोधित नहीं करेगा, बशर्ते कि नियमों, उपनियमों और विनियमों में वांछित न हो।

2. क्लीयरिंग कॉर्पोरेशन द्वारा प्रयोग के नोटिस की स्वीकृति

एक प्रयोग नोटिस जिसे विनियम 5क. 2 (ग) 1 के प्रावधानों के अनुसार टेंडर किया गया है उसे उचित प्राधिकार द्वारा स्वीकृत करने पर विचार किया जा सकता है। उचित प्राधिकार को प्राप्त इस प्रकार के प्रयोग नोटिसों को प्रक्रिया के बाद प्रयोग नोटिस टेंडर किए जाने वाले दिन कारोबार के घण्टों की समाप्ति पर या उस दिन या समय पर जिसे उचित प्राधिकार समय-समय पर निर्धारित कर सकता है, वैद्य या अवैद्य घोषित किया जाएगा। सभी वैद्य प्रयोग नोटिसों को उचित प्राधिकार द्वारा स्वीकार किया जाएगा और अवैद्य प्रयोग नोटिस स्वतः ही उचित प्राधिकार द्वारा अस्वीकृत होंगे। उक्त के बावजूद उचित प्राधिकार बाजार के हित में एक प्रयोग नोटिस को अवैद्य घोषित कर सकता है जहां:

2.1 एक उपक्रम, जिसने प्रयोग के लिए एक नोटिस टेंडर किया है, के पास उस अनुबंध में कोई लॉग पोजिशन नहीं है जब इस प्रकार के नोटिस को उचित प्राधिकार द्वारा प्रोसेस किया जाता है।

2.2 कोई अन्य कारण

3. एक प्रयोग नोटिस का समापन

यदि उचित प्राधिकार द्वारा अन्यथा अनुमति नहीं दी जाती है तो सभी वैद्य नोटिस स्थायी होंगे।

4. प्रयोग पर प्रतिबंध

उचित प्राधिकार के पास किसी भी विकल्प अनुबंध में प्रयोग पर ऐसे प्रतिबंध लगाने का प्राधिकार होगा जिन्हें वह विकल्प अनुबंध में या अंतर्निहित प्रतिभूतियों में या अन्यथा सार्वजनिक हित में मार्केट को निष्पक्ष और व्यवस्थित बनाए रखने के लिए आवश्यक समझता है या निवेशकों की सुरक्षा के लिए या उचित प्राधिकार के अनुसार अन्य कारण से आवश्यक है। इस प्रकार के प्रतिबंधों के विपरित कोई भी प्रयोग स्वतः ही अवैद्य और लागू न किए जा सकने योग्य हो जाएगा।

5क. 3. नियतन (एसाइनमेंट)

(क) नियतन की विधियां

नियतन विधियां आनुपातिक या यादृच्छिक (रैंडम) हो सकती हैं या समय-समय पर उचित प्राधिकार द्वारा निर्धारित अन्य विधियां हो सकती हैं।

1. अनुपातिक नियतन

अनुपातिक नियतन प्रयोग किए गए विकल्प अनुबंधों का मार्केट समूहों में समय-समय पर उचित प्राधिकार द्वारा निर्धारित प्रक्रिया के अनुसार समान श्रृंखला के विकल्प अनुबंध में एक या अधिक शोर्ट पोजिशन में अनुपातिक आबंटन होता है जिसे संबंधित अनुबंध के लिए निर्दिष्ट किया जाता है।

2. यादृच्छिक नियतन

यादृच्छिक नियतन प्रयोग किए गए विकल्प अनुबंधों का मार्केट समूहों में समय-समय पर उचित प्राधिकार द्वारा निर्धारित प्रक्रिया के अनुसार समान श्रृंखला के विकल्प अनुबंध में एक या अधिक शोर्ट पोजिशन में यादृच्छिक आबंटन होता है जिसे संबंधित अनुबंध के लिए निर्दिष्ट किया जाता है।

(क) नियतन की प्रक्रिया

उचित प्राधिकार समय-समय पर नियतन की विधि को निर्धारित कर सकता है, जिसमें वह दिन और/या समय शामिल होता है जब नियतन किया जाएगा।

क्लीयरिंग कॉर्पोरेशन द्वारा वैद्य के रूप में स्वीकृत प्रयोग नोटिसों नियतन प्रक्रिया के अनुसार समान श्रृंखला के विकल्प अनुबंधों में शोर्ट पोजिशन को, क्लीयरिंग सदस्यों के कंस्टिट्यूटेंट्स को या कारोबारी सदस्यों को या कारोबारी सदस्यों के कंस्टिट्यूटेंट्स को दायित्वों को पूरा करने के लिए नियत किए जाएंगे। इस प्रकार की शोर्ट पोजिशन जिन्हें प्रयोग किए गए विकल्प अनुबंधों को नियत किया गया है, उन्हें सेटलमेंट के उद्देश्य से नियत विकल्प अनुबंध कहा जाएगा। नियत सदस्य विकल्प अनुबंध और नियमों, विनियमों और विनियमों के लिए निर्धारित प्रयोग सेटलमेंट विधि के अनुसार अपने दायित्वों को पूरा करने के लिए जिम्मेदार होगा।

5क. 4. प्रयोग सेटलमेंट

प्रयोग सेटलमेंट अंतरिम या अंतिम हो सकती है। उचित प्राधिकार समय-समय पर अंतरिम प्रयोग सेटलमेंट और अंतिम प्रयोग सेटलमेंट के लिए प्रक्रिया, तरीका, विधि, दिन, समय, आदि को निर्धारित कर सकता है।

5क. 4.1 प्रयोग सेटलमेंट

उचित प्राधिकार समय-समय पर प्रयोग का प्रकार और सेटलमेंट की पद्धति को निर्धारित कर सकता है।

5क. 4.1.1 सेटलमेंट का तरीका: सेटलमेंट या तो नकद की जाएगी या भौतिक रूप से या किसी अन्य विधि से की जाएगी जिसे उचित प्राधिकार समय-समय पर निर्धारित कर सकता है।

5क.4.1.2 सेटलमेंट की विधि:

4.1.2.1 नकद सेटलमेंट:

4.1.2.1.1 एक प्रयोग किया गया विकल्प अनुबंध और शोर्ट पोजिशन जिसे इस प्रकार का विकल्प अनुबंध नियत किया गया है, प्रयोग सेटलमेंट मूल्य पर या उचित प्राधिकार द्वारा समय-समय पर निर्धारित मूल्य पर तय किया जाएगा।

4.1.2.1.2 क्लीयरिंग सदस्य जिसे विकल्प अनुबंध नियत किया गया है, विकल्प अनुबंध की प्रत्येक इकाई के लिए प्रयोग सेटलमेंट मूल्य का भुगतान क्लीयरिंग कॉर्पोरेशन को करने या उससे प्राप्त करने, जो भी मामला हो, के लिए जिम्मेदार या हकदार होगा, जो नियमों, उपनियमों और विनियमों पर निर्भर है।

4.1.2.1.3 क्लीयरिंग सदस्य जिसने विकल्प अनुबंध को प्रयोग किया है, विकल्प अनुबंध की प्रत्येक इकाई के लिए प्रयोग सेटलमेंट मूल्य का भुगतान क्लीयरिंग कॉर्पोरेशन को करने या उससे प्राप्त करने, जो भी मामला हो, के लिए जिम्मेदार या हकदार होगा, जो नियमों, उपनियमों और विनियमों पर निर्भर है।

4.1.2.2 भौतिक सेटलमेंट

4.1.2.2.1 यहां दिए गए अनुसार भौतिक रूप में या अमूर्त रूप में डिलिवर की गई एक अंतर्निहित वस्तु में दायित्वों, जिन्हें एक विकल्प अनुबंध द्वारा प्रदान किया जाता है, को विकल्प के प्रकार और श्रृंखला के अनुसार स्ट्राइक मूल्य पर प्रयोग के दिन या उसके बाद सृजित किया जाएगा।

4.1.2.2.2 एक नियत विकल्प अनुबंध द्वारा अवगत अंतर्निहित वस्तु के समान संख्या में दायित्वों को प्रयोग के दिन या उसके बाद, विकल्प के प्रकार और श्रृंखला के अनुसार, स्ट्राइक प्राइस पर सृजित किया जाएगा।

4.1.2.2.3 क्लीयरिंग सदस्य जिसने एक विकल्प अनुबंध का प्रयोग किया है या जिसे एक विकल्प अनुबंध नियत किया गया है, जैसा भी मामला हो, विकल्प अनुबंध, वितरण या इस प्रकार क्लीयरिंग कॉर्पोरेशन के प्रति सृजित भुगतान दायित्वों को पूरा करने के लिए उत्तरदायी होगा। इस प्रकार के दायित्वों को पूरा करने पर वहधनराशि या अंतर्निहित कोमोडिटी, जो भी मामला हो, को क्लीयरिंग कॉर्पोरेशन से प्राप्त करने का हकदार होगा।

5क.4.1.3 सेटलमेंट का दिन और समय:

उचित प्राधिकार समय-समय पर सेटलमेंट किए जाने वाले दिन और समय को निर्धारित कर सकता है।

5क.5 क्लीयरिंग के प्रपत्र

सभी क्लीयरिंग प्रपत्र संबंधित विनियम में निर्धारित किए अनुसार या किसी अन्य स्वरूप में या स्वरूपों में होंगे जिन्हें उचित प्राधिकार अतिरिक्त रूप से समय-समय पर निर्धारित कर सकता है या उनमें संशोधन कर सकता है या विस्थापित कर सकता है।

5क.6 सेटलमेंट दायित्वों का विवरण

क्लीयरिंग कॉर्पोरेशन क्लीयरिंग सदस्यों और कारोबारी सदस्यों को उचित डाटा डाउनलोड करने की सुविधा दे सकता है ताकि विभिन्न प्रकार के विकल्प अनुबंधों के विषय में प्रिमियम सेटलमेंट, अन्य सेटलमेंट दायित्व विवरण सृजित कर सकें।

5क.7 भुगतान का तरीका

भुगतान जमा के दिन सभी क्लीयरिंग सदस्यों के क्लीयरिंग खातों में उनके वित्तीय दायित्वों की सीमा तक क्लीयरिंगधनराशि शेष होगी; बशर्ते कि उचित प्राधिकार किसी भी या सभी क्लीयरिंग सदस्यों के लिए वित्तीय भुगतान के भिन्न तरीके को निर्धारित कर सकता है।

5क.8 धन की प्राप्ति

क्लीयरिंग बैंक उन क्लीयरिंग सदस्यों के क्लीयरिंग खातों को क्रेडिट करेगा जिनको क्लीयरिंग कॉर्पोरेशन के निर्देशों के अनुसारधनराशि देय है। क्लीयरिंग सदस्यों को देय सभीधनराशियों को उसके खातों में क्रेडिट किया जाएगा यदि:

(क) क्लीयरिंग सदस्य क्लीयरिंग कॉर्पोरेशन के प्रति अपने दायित्वों को पूरा कर देता है या

(ख) उचित प्राधिकार द्वारा अन्यथा आदेश नहीं दिया जाता;

लेकिन उचित प्राधिकार किसी भी या सभी क्लीयरिंग सदस्यों के लिएधनराशि क्रेडिट करने के भिन्न तरीके का निर्धारण कर सकता है।

6. कोमोडिटीज की डिलिवरी

निम्नलिखित प्रावधान भावी अनुबंध और विकल्प अनुबंध, जो भी मामला हो, दोनों पर लागू होंगे:—

6.1 डिलिवरी और प्राप्ति विवरण

दायित्व विवरण के आधार पर क्लीयरिंग कॉर्पोरेशन प्रत्येक क्लीयरिंग सदस्य के लिए डिलिवरी और प्राप्ति विवरण सृजित करेगा। डिलिवरी और प्राप्ति विवरण में दूसरे क्लीयरिंग सदस्यों को डिलिवरी की जाने वाली और उनसे प्राप्त की जाने वाली कोमोडिटीज का विवरण होगा। डिलिवरी और प्राप्ति विवरण को क्लीयरिंग सदस्यों के खातों में उनको कोमोडिटीज डिलिवर करने के आदेश की पुष्टि माना जाएगा जैसा कि डिलिवरी और प्राप्ति विवरणों में निर्धारित है।

6.2 कोमोडिटीज की डिलिवरी

- (1) संबंधित भुगतान जमा के दिन क्लीयरिंग सदस्य एक्सचेंज द्वारा निर्धारित डिलिवरी केंद्र में डिलिवरी विवरण के अनुसार डिलिवरी करेगा। क्लीयरिंग कॉर्पोरेशन के निर्देशों के अनुसार एक क्लीयरिंग सदस्य द्वारा प्राप्त की जाने वाली कोमोडिटीज उसे बदले में भुगतान के दिन डिलिवरी की जाएगी।
- (2) डिलिवरी इस प्रकार की इकाइयों में और इस प्रकार की गुणवत्ता/ग्रेड में होगी जैसा कि उचित प्राधिकार समय-समय पर अधिसूचित कर सकता है।
- (3) जब तक कि संबंधित प्राधिकारी द्वारा निर्देशित या तय नहीं किया जाता है, जब एक सौदे में प्रवेश किया जाता है, तो कोमोडिटीज को ऐसी डिलिवरी इकाइयों में सौदों के निपटान में दिया जा सकता है जो संबंधित प्राधिकरण द्वारा समय-समय पर निर्दिष्ट की जा सकती हैं।
- (4) संबंधित प्राधिकरणों द्वारा समय-समय पर निर्दिष्ट अन्य दस्तावेजों के साथ कोमोडिटीज उन सौदों को पूरा करने के लिए अच्छी डिलिवरी का निर्माण करती हैं, जिन पर ये विनियम लागू होते हैं।
- (3) यदि अन्य दस्तावेजों के साथ कोई डिलिवरी प्राप्त करने वाले सदस्य द्वारा सही नहीं पायी जाती है, अन्य दस्तावेजों के साथ कोमोडिटीज को संबंधित सदस्य द्वारा समय-समय पर संबंधित प्राधिकारी द्वारा निर्धारित प्रक्रिया के अनुसार वापस कर दिया जाएगा।

6.3 कोमोडिटीज का विभौतिकीकरण

विभौतिकीकरण का अर्थ है कोमोडिटी के जमा कराने की एवज में जमाकर्ता को एक कोष्ठ/वेयरहाउस रसीद की बजाय एक इलेक्ट्रॉनिक क्रेडिट को जारी करना। प्रतिभूति की विभौतिकीकरण वेयरहाउसिंग डेवलपमेंट एण्ड रेगुलेटरी अथोरिटी (डबल्यूडीआरए) से पंजीकृत रिपोजिटरी के माध्यम से निगोशिएबल वेयरहाउस रसीद (ईएनडबल्यूआर) के द्वारा किया जा सकता है जिसे डबल्यूडीआरए से पंजीकृत वेयरहाउस के द्वारा जारी किया जाता है।

6.4 डिलिवरी की मात्रा के लिए निर्धारित सीमा

जब भी डिलिवरी की गई मात्रा उस डिलिवरी आदेश की सीमा के अंदर है जिसे समय-समय पर निर्धारित किया जा सकता है, डिलिवरी को प्रत्येक डिलिवरी आदेश/डिलिवरी के लिए अस्थायी रूप से पूर्ण माना जाएगा।

जब कोमोडिटीज को डिलिवरी के लिए विशिष्ट अवधि के लिए गोदामों में संग्रहीत किया जाता है तो लोडिंग और अनलोडिंग, नमूनाकरण, परिवहन, कीट संक्रमण, भंडारण की स्थिति, नमी में भिन्नता आदि के कारण वजन घटने के अधीन होती हैं। जब एक विक्रेता निश्चित मात्रा की एक वस्तु को डिलिवर करता है तो उक्त कारणों से वह उस मात्रा से मेल नहीं खा सकती जब खरीदार ने उस कोमोडिटी को वेयर हाउस से निकाला था, जिसे उद्योग सामान्यतः स्वीकार करता है। लोडिंग, अनलोडिंग और नमूनाकरण आदि के कारण हैंडलिंग के दौरान हानि को समायोजित करने के उद्देश्य से, प्रासंगिक परिपत्रों में निर्दिष्ट विभिन्न वस्तुओं पर मानक कटौती लागू की जा सकती है। हालाँकि, नमी भिन्नता के कारण वजन समायोजन मानक कटौती के अतिरिक्त कोमोडिटी के रिवैलीडेशन/निकास के समय किया जाएगा।

6.5 गुणवत्ता मानदण्ड

क्लियरिंग सदस्य और कंस्टिट्यूटर्स यह सुनिश्चित करेंगे कि एक्सचेंज द्वारा निर्धारित अनुमोदित गुणवत्ता मानकों के अलावा, स्वीकृत वेयरहाउस/एक्सचेंज/सीसी के माध्यम से जमा/कारोबार/डिलिवर की गई वस्तु, लागू नियमों की अनुपालना के अनुसार है, जैसा कि अधिकारियों द्वारा निर्धारित सुरक्षा नियमों के अनुसार, जैसे भारतीय मानक प्राधिकरण, बीआईएस, पैकेजिंग और लेबलिंग आदि के तहत आदेश, साथ ही समय-समय पर अन्य राज्य/केंद्रीय कानून और प्राधिकरण द्वारा समय-समय पर निर्धारित मानदण्ड।

6.6 कर, ड्यूटी, उपकर और अन्य कर

माल/वस्तुओं की डिलिवरी देने वाले सभी विक्रेता और माल/वस्तुओं की डिलिवरी लेने वाले सभी खरीदारों को आवश्यक रूप से जीएसटी रजिस्ट्रेशन कराना होगा जिसकी आवश्यकता माल एवं सेवा कर (जीएसटी) अधिनियम के अंतर्गत है जो डिलिवरी केंद्रों पर लागू होता है और अन्य आवश्यक लाइसेंस प्राप्त करना आवश्यक है, यदि कोई है।

एक्सचेंज के सदस्यों द्वारा किए गए सभी अनुबंधों के संबंध में सभी डिलिवरीज और भावी अनुबंधों के संबंध में सभी लागू कानूनी फीस, स्टैम्प ड्यूटी, करों और शुल्कों का भुगतान प्रत्यक्ष रूप से केंद्र/राज्य/स्थानीय सरकारी विभागों को करना संबंधित सदस्यों की जिम्मेदारी होगी और एक्सचेंज/सीसी को इसकी अनुपालना न करने के लिए जिम्मेदार नहीं माना जाएगा।

6.7 माल को डिलिवर करने में विफलता

(1) इस संबंध में अन्य प्रावधानों के साथ पक्षपात के बिना, यदि कोई क्लीयरिंग सदस्य भुगतान के दिन माल की डिलिवरी करने में विफल रहता है तो क्लीयरिंग कॉर्पोरेशन बिना किसी अतिरिक्त नोटिस या सूचना के उस सदस्य को देय सभीधनराशियों पर रोक लगाएगा और/या सदस्य के खाते को इस संबंध में समय-समय पर उचित प्राधिकार द्वारा निर्धारित मूल्य पर डिलिवर न किए गए माल के बराबर राशि को डेबिट करेगा।

6.8 डिफॉल्ट की घोषणा

(1) एक क्लीयरिंग सदस्य जो दस्तावेजों के साथ माल को डिलिवर करने में विफल रहता है जिनका वह देनदार है या उसके द्वारा देय राशि का भुगतान नहीं करता है तो उसे उपनियमों और विनियमों के प्रावधानों के अनुसार डिफॉल्टर घोषित किया जाएगा।

6.9 डिफॉल्टर को देय डिलिवरी

डिफॉल्टर के द्वारा देय सभी डिलिवरी और भुगतान क्लीयरिंग कॉर्पोरेशन को हस्तांतरित किए जाएंगे। क्लीयरिंग कॉर्पोरेशन के पास इन कोमोडिटीज को उचित विधि से बेचने का अधिकार होगा ताकि डिफॉल्टर सदस्य द्वारा कोमोडिटीज की डिलिवरी न करने या भुगतान न करने की भरपाई की जा सके।

6.10 डिलिवरी को देने या प्राप्त करने में विफलता के लिए जुर्माना

उचित प्राधिकार क्लीयरिंग सदस्य पर उसके दायित्वों के अनुसार कोई भी या सभी कोमोडिटीज और/याधनराशियों को देने या प्राप्त करने में विफलता के लिए समय-समय पर निर्धारित जुर्माना या अन्य शुल्क लगा सकता है। इस प्रकार जुर्माना या अन्य शुल्क किसी भी अतिरिक्त हानि के होंगे जो इस प्रकार के सदस्य को सौदा समाप्त होने के कारण हो सकते हैं।

6.11 माल औरधनराशियों को रोकना

इन विनियमों में प्रावधानों के बावजूद, उचित प्राधिकार समय-समय पर निर्धारित अवधि के लिए किसी भी कोमोडिटी के भुगतान और किसी भीधनराशि को रोक सकता है जिसमें माल और मार्जिन राशि शामिल है, यदि

(क) क्लीयरिंग सदस्य ने वांछित माल को भुगतान के दिन डिलिवर नहीं किया है या

(ख) क्लीयरिंग सदस्य के क्लीयरिंग खाते में भुगतान करने के दिन भुगतान के लिए पर्याप्तधन नहीं है या

(ग) क्लीयरिंग सदस्य मार्जिन की आवश्यकता को पूरा करने में विफल रहता है या

(घ) क्लीयरिंग सदस्य किसी भी अतिरिक्त दायित्व को पूरा करने में विफल रहता है या

(ङ) उचित प्राधिकार किसी और कारण से उचित समझता है

6.12 कमी के लिए माल को रोकना

यदि क्लीयरिंग सदस्य की राशि कम पड़ जाती है तो उचित प्राधिकार क्लीयरिंग सदस्य को देय कोमोडिटी के भुगतान को रोक सकता है और/या उसकी क्लीयरिंग सुविधा को समाप्त कर सकता है। उचित प्राधिकार इस प्रकार की कमी की रिकवरी पर भुगतान को जारी कर सकता है और/या क्लीयरिंग सुविधा को वापिस कर सकता है और क्लीयरिंग सदस्य को क्लीयर करने और सेटल करने की अनुमति दे सकता है जो उचित प्राधिकार द्वारा लगाए गए नियम एवं शर्तों पर निर्भर है।

6.13. रोका गया माल और राशियां—कैसे निपटारा करें

उक्त विनियमों के अनुसार माल और वित्त का निपटारा उचित प्राधिकार के साथ उचित समय और तरीके से किया जाएगा, जिसमें रोके गए वित्त को क्लीयरिंग सदस्य के दायित्वों को पूरा करने के लिए प्रयोग करना या रोके गए माल के लिए समायोजित करना या कोई ऐसा कदम उठाना शामिल है जिसे उचित प्राधिकार समय-समय पर निर्धारित कर सकता है। रोके गए माल के बदले में प्राप्तधनराशि का निपटारा क्लीयरिंग कॉर्पोरेशन के द्वारा उचित समय और उचित विधि से किया जा सकता है।

6.14. सेवा प्रदाता

क्लीयरिंग कॉर्पोरेशन उस सेवा प्रदाता को निर्दिष्ट करेगा जिसके माध्यम से डिलिवरी की जाएगी और जो कोमोडिटी डेरिवेटिव सेगमेंट में सौदों की सेटलमेंट के लिए, क्लीयरिंग सदस्य द्वारा किए गए सभी सौदों के लिए कोमोडिटीज के माध्यम से मार्जिन का संग्रह करने के लिए, कोमोडिटी की किसी अन्य गतिविधि के लिए और समय-समय पर उचित प्राधिकार द्वारा निर्धारित किसी अन्य उद्देश्य के लिए एजेंट के रूप में कार्य करेगा।

इस प्रकार के सेवा प्रदाताओं में वाउल्ट सेवा प्रदाता, वेयरहाउस सेवा प्रदाता या कोई भी अन्य सेवा प्रदाता शामिल है जिसे समय-समय पर उचित प्राधिकार द्वारा मान्यता प्रदान की जाती है।

6.15 सेवा प्रदाता को क्लीयरिंग सदस्य के निर्देशों के अनुसार कार्य करना है

क्लीयरिंग कॉर्पोरेशन सेवा प्रदाता को क्लीयरिंग सदस्यों के बीच सौदों के सेटलमेंट के लिए डेबिट और क्रेडिट करने का निर्देश देगा। सेवा प्रदाता समय-समय पर सौदों के सेटलमेंट के संबंध में खातों को स्थानांतरित करने के लिए क्लीयरिंग कॉर्पोरेशन से प्राप्त निर्देशों का पालन करेगा। क्लीयरिंग सदस्य के खातों में डेबिट और क्रेडिट के संबंध में क्लीयरिंग कॉर्पोरेशन के निर्देश स्थायी होंगे और वे निर्देशों के अनुसार क्लीयरिंग सदस्य के खाते को डेबिट और/या क्रेडिट करने के लिए आदेश होंगे जिसकी पुष्टि क्लीयरिंग सदस्य द्वारा की जाएगी।

6.16. गिरवी रखने का प्राधिकार

उचित प्राधिकार के पास किसी ऐसे सदस्य के खाते में क्लीयरिंग कॉर्पोरेशन द्वारा रखी गई सभी या किसी भी कोमोडिटी को गिरवी रखने और पैसा उधार लेने का अधिकार होगा, जो क्लीयरिंग कॉर्पोरेशन को देय सम्पूर्ण या आंशिक राशि का भुगतान करने में विफल हो जाता है।

6.17 मान्यताप्राप्त वेयरहाउस

निर्दिष्ट एक्सचेंज मान्यताप्राप्त वेयरहाउस को निर्दिष्ट करेगा जिसके माध्यम से एक विशेष कोमोडिटी की डिलिवरी की जाएगी और जो एक्सचेंज, क्लीयरिंग कॉर्पोरेशन या किसी अन्य विनियामक प्राधिकार द्वारा समय-समय पर निर्धारित विधि से माल की कमी को पूरा करेगा।

क्लीयरिंग कॉर्पोरेशन समय-समय पर प्रक्रियाओं, विधियों, आचार संहिताओं और संचालनों को निर्धारित करेगा जिनकी अनुपालना करने की आवश्यकता प्रत्येक मान्यताप्राप्त वेयरहाउस, वेयरहाउस सेवा प्रदाता, वाउल्ट सेवा प्रदाता को होगी। मान्यताप्राप्त वेयरहाउस के संबंध में विनियम किसी भी सेटलमेंट प्रक्रिया का एक हिस्सा होंगे।

6.18. अनुमोदित पारखी

क्लीयरिंग कॉर्पोरेशन प्रत्येक डिलिवरी लोकेशन के लिए अनुमोदित पारखी को नियुक्त करेगा जहां एक वेयरहाउस में इस प्रकार के माल/वस्तुओं को जामा कराते समय और प्राप्त करते समय मार्केट के प्रतिभागी स्वतंत्र रूप से अपने माल/वस्तुओं की जांच करवा सकते हैं।

6.19. मान्यताप्राप्त वेयरहाउस और अनुमोदित पारखी के कार्य और कर्तव्य

मान्यताप्राप्त वेयरहाउस और अनुमोदित पारखी क्लीयरिंग कॉर्पोरेशन के कंस्टिट्यूट्स और सदस्यों को सेवा प्रदान करेंगे और क्लीयरिंग कॉर्पोरेशन, विनियामक या इस प्रकार के अन्य प्राधिकार द्वारा समय-समय पर निर्धारित कार्यों को पूरा करेंगे।

6.20. वेयरहाउस में भण्डारित वस्तुओं का सत्यापन

क्लीयरिंग कॉर्पोरेशन के पास स्वयं या किसी एजेंसी/विशेषज्ञों के माध्यम से किसी भी समय सामान्य रूप से कंस्टिट्यूट और/या वेयरहाउस सुविधाओं के द्वारा जमा वस्तुओं का सत्यापन करने का अधिकार है या एक्सचेंज, क्लीयरिंग कॉर्पोरेशन, विनियामक या किसी अन्य प्राधिकार द्वारा समय-समय पर निर्धारित वेयरहाउसिंग के नियमों की अनुपालना के लिए अधिकार रखता है।

7. बंद करना

7.1 ओपन पोजिशन का स्थानांतरण और प्रभावी होने पर बंद करना

मार्जिन, क्लीयरिंग/जोखिम सीमाओं, मार्केट सेटलमेंट का दैनिक भाव और अंतिम भाव से संबंधित किसी भी प्रावधान की अनुपालना न करने पर, उन नियमों एवं शर्तों को पूरा करने में विफलता पर जिन पर डेरिवेटिव्स अनुबंधों में लेनदेन आधारित हैं या किसी अन्य कारण से जिसे उचित प्राधिकार समय-समय पर निर्धारित कर सकता है, तो उचित प्राधिकार:

(क) कंस्टिट्यूट्स की सभी या किसी भी ओपन पोजिशन या डिफॉल्टर क्लीयरिंग सदस्य की समय-समय पर निर्धारित अन्य पोजिशन को ऐसे सदस्य को स्थानांतरित कर सकता है जो इस प्रकार के स्थानांतरण को स्वीकार करने पर सहमत है जो समय-समय पर उचित प्राधिकार द्वारा निर्धारित नियम एवं शर्तों पर निर्भर है और/या

(ख) डिफॉल्टर क्लीयरिंग सदस्य के विरुद्ध खरीद या बिक्री के द्वारा सभी या किसी भी ओपन पोजिशन को बंद कर सकता है।

7.2 विविष्ट मामलों में बंद करना

उक्त प्रावधानों की सामान्यता के साथ भेदभाव के बिना संबंधित विनियमों के मामलों में या उचित प्राधिकार द्वारा निर्धारित मामलों में या इनके अतिरिक्त या परिवर्तित करके समापन किया जा सकता है।

7.3 क्लीयरिंग कॉर्पोरेशन बिना किसी नोटिस के समापन का अधिकार रखता है

क्लीयरिंग कॉर्पोरेशन के माध्यम से सेटल किए गए डेरिवेटिव अनुबंधों के संबंध में क्लीयरिंग कॉर्पोरेशन के पास डिफॉल्ट करने वाली पार्टी के विरुद्ध सौदे को समाप्त करने का अधिकार होगा। ऐसे मामलों में क्लीयरिंग सदस्य को कोई नोटिस नहीं दिया जाएगा जिसके विरुद्ध समापन किया जाएगा।

उक्त प्रावधानों के साथ भेदभाव के बिना संबंधित विनियम में निर्धारित मामलों में या उचित प्राधिकार द्वारा समय-समय पर निर्धारित या संशोधित या विस्थापित ऐसे दूसरे मामलों में जिन्हें समय-समय पर उचित प्राधिकार द्वारा निर्धारित किया जा सकता है में बिना नोटिस के समापन किया जा सकता है।

7.4 डिफॉल्टर क्लीयरिंग सदस्य के साथ अनुबंध का समापन

यदि एक क्लीयरिंग सदस्य को डिफॉल्टर घोषित किया जाता है तो क्लीयरिंग कॉर्पोरेशन डिफॉल्ट के संबंध में उपनियमों और विनियमों के अनुसार उसके विरुद्ध सभी ऑपन पोजिशन को बंद करके बकाया का निर्धारण करेगा।

7.5 मृतक क्लीयरिंग सदस्य के साथ अनुबंध का समापन

एक क्लियरिंग सदस्य की मृत्यु पर जिसकी तरफ दायित्व बकाया हैं, संबंधित प्राधिकारी अपने विवेक पर अपने उत्तराधिकारियों या कानूनी प्रतिनिधियों को इस तरह के बकाया दायित्वों का निपटान करने की अनुमति दे सकता है। ऐसी अनुमति जिसे लागू नहीं किया जा रहा है या लागू नहीं होने की स्थिति में, क्लीयरिंग कॉर्पोरेशन मृतक सदस्य के खिलाफ अनुबंध को समाप्त करके सभी बकाया दायित्वों का निर्धारण करेगा। इस तरह समापन पर मृतक सदस्य के उत्तराधिकारियों या कानूनी प्रतिनिधियों से हानि, यदि कोई है, का दावा किया जाता है तो वे भुगतान करेंगे और यदि लाभ का दावा उनके किया जाता है तो संबंधित प्राधिकरण की स्वीकृति प्राप्त करने के बाद क्लीयरिंग कॉर्पोरेशन द्वारा उन्हें भुगतान किया जाएगा। यदि मृतक सदस्य के वारिस या कानूनी प्रतिनिधि उनके द्वारा दावा की गई राशि का भुगतान करने में विफल रहते हैं, तो ऐसा होगा जैसा कि मृतक सदस्य को डिफॉल्टर घोषित करने पर किया जाता और उस स्थिति में डिफॉल्ट से संबंधित उपनियम कानून और विनियम लागू होंगे।

7.6 समापन को कैसे लागू किया जाता है

समापन क्लीयरिंग कॉर्पोरेशन द्वारा क्लीयरिंग सदस्य के विरुद्ध निम्नलिखित में से किसी एक तरीके से की जाएगी:

(क) संबंधित प्राधिकारियों द्वारा तय कीमतों पर क्लोज-आउट की घोषणा

(ख) निर्दिष्ट एक्सचेंज में आदेश देकर क्लियरिंग सदस्य के विरुद्ध खरीद या बिक्री द्वारा

(ग) उचित प्राधिकारी समय-समय पर निर्धारित तरीके से।

7.7 निलामी और पेशकश

जब तक उचित प्राधिकार द्वारा अन्यथा अनुमति न दी जाए, क्लियरिंग-आउट से प्रभावित होने वाले सदस्यों के अलावा क्लियरिंग-सदस्यों को इस तरह के क्लोज-आउट के दौरान बोली या प्रस्ताव दिया जा सकता है। उचित प्राधिकार अपने विवेक से किसी भी बोली या प्रस्ताव को अस्वीकार कर सकता है।

7.8 क्लोजिंग-आउट क्लियरिंग सदस्य की जिम्मेदारी

अन्यथा प्रावधानों को छोड़कर, क्लीयरिंग सदस्य, जिसके उदाहरण पर और उसकी तरफ से क्लीयरिंग कॉर्पोरेशन द्वारा क्रय और विक्रय किया जाता है, किए गए सौदे के लिए जिम्मेदार होगा और इस प्रकार के समापन के अनुसार किए गए किसी भी सौदे के लिए क्लीयरिंग कॉर्पोरेशन या इसके कर्मचारियों पर कोई जिम्मेदारी नहीं होगी।

7.9 उचित प्राधिकार द्वारा स्थगन

उचित प्राधिकार किसी विशेष मामले में क्लोज-आउट को स्थगित कर सकता है यदि इसकी राय में एक उचित बाजार उपलब्ध नहीं है या ऐसी अन्य परिस्थितियों में, जैसा कि यह समय-समय पर निर्दिष्ट किया जा सकता है लेकिन इस तरह का कोई भी स्थगन चूक करने वाले पक्ष को परिणामस्वरूप होने वाली क्षति से राहत नहीं देगा या मध्यस्थ पक्षों को उनकी देनदारियों से मुक्त नहीं करेगा।

7.10 निलंबन या समापन का स्थगन

उचित प्राधिकार किसी भी डेरिवेटिव अनुबंध के संबंध में खरीद या बिक्री को निलम्बित या स्थगित कर सकता है और समय-समय पर ऐसे विस्तार या स्थगन की अवधि को बढ़ा या स्थगित कर सकता है जब परिस्थितियां सामान्य हित में इस तरह के निलंबन या स्थगन को वांछनीय बनाने के लिए इसके सामने प्रकट होती हैं।

7.11 डिफॉल्टर के विरुद्ध क्लोज आउट

जब क्लोज-आउट को ऊपर दिए अनुसार प्रभावित किया जाता है और संबंधित क्लियरिंग सदस्य को डिफॉल्टर घोषित किया जाता है, तो क्लोज-आउट से उत्पन्न अंतर उक्त सदस्य से वसूल किया जाएगा या डिफॉल्ट से संबंधित उप-नियम और विनियमों के अनुसार वितरित किया जाएगा।

7.12. क्लोजिंग आउट के लिए प्रशुल्क

क्लियरिंग-आउट क्लियरिंग कॉरपोरेशन की सलाह पर लागू होता है, क्लीयरिंग सदस्य जिसके खिलाफ क्लोज-आउट होता है, क्लियरिंग कॉरपोरेशन को भुगतान करेगा, जैसे कि उचित प्राधिकार समय-समय पर निर्धारित करता है।

7.13 क्लोजिंग आउट से उत्पन्न हानि

जब क्लियरिंग-आउट क्लियरिंग कॉरपोरेशन की सलाह पर क्लीयरिंग सदस्य की उसके दायित्वों (मार्जिन दायित्वों सहित) को पूरा करने में विफलता के कारण किया जाता है, तो इसके परिणामस्वरूप ऐसे सदस्य को नुकसान होगा और उसके द्वारा क्लीयरिंग कॉरपोरेशन को उसके द्वारा भुगतान किया जाएगा।

7.14 क्लोजिंग आउट से उत्पन्न लाभ

जब क्लियरिंग-आउट क्लियरिंग कॉरपोरेशन की सलाह पर क्लीयरिंग सदस्य के किसी भी दायित्व को पूरा करने में विफलता (मार्जिन दायित्वों सहित) के कारण लागू किया जाता है, तो परिणामस्वरूप होने वाले किसी भी लाभ को कोर सेटलमेंट गारंटी फंड के खाते में जमा किया जाएगा या क्लीयरिंग कॉरपोरेशन द्वारा समय-समय पर उचित प्राधिकार द्वारा निर्धारित किए जाने वाले अन्य फंड में रखा जाएगा।

7.15. क्लोजिंग आउट से उत्पन्न हानि और क्षति का भुगतान न करने पर डिफॉल्ट

यदि क्लीयरिंग सदस्य, जिसके विरुद्ध एक सौदे को इन विनियमों के प्रावधानों के अंतर्गत क्लोज-आउट किया गया है, क्लोजिंग आउट से उत्पन्न हानि और क्षति, यदि कोई है, का उचित प्राधिकार द्वारा समय-समय पर निर्धारित अवधि के अंदर भुगतान करने में विफल रहता है, तो उसे एक डिफॉल्टर घोषित किया जाएगा।

8. क्लीयरिंग बैंक

8.1 क्लीयरिंग कॉरपोरेशन को नियंत्रित करना है

उचित प्राधिकार समय-समय पर प्रक्रियाओं, विधियों, और संचालनों को निर्धारित करेगा जिसकी अनुपालना क्लीयरिंग सदस्य को क्लीयरिंग बैंक(कों) के साथ उनके क्लीयरिंग खाते के माध्यम से लेनदेनों के उद्देश्य के लिए आवश्यक होगी।

8.2 क्लीयरिंग बैंक के कार्य

क्लीयरिंग कॉरपोरेशन वित्तीय सेटलमेंट के लिए, क्लीयरिंग कॉरपोरेशन के माध्यम से किए गए सभी सौदों के लिए मार्जिन राशि के संग्रह के लिए और क्लीयरिंग सदस्यों और क्लीयरिंग कॉरपोरेशन के बीच क्लीयरिंग सदस्यों के बीच वित्तीय लेनदेनों के लिए क्लीयरिंग बैंक(कों) को नियुक्त करेगा।

8.3. क्लीयरिंग सदस्यों के पास क्लीयरिंग बैंक में खाता

क्लियरिंग कॉरपोरेशन के प्रत्येक क्लियरिंग सदस्य के पास क्लीयरिंग बैंक शाखा (एस) के साथ क्लियरिंग खाते होंगे। क्लियरिंग कॉरपोरेशन के माध्यम से किए गए सौदों के सेटलमेंट के लिए, मार्जिन राशि के भुगतान के लिए और किसी अन्य उद्देश्य के लिए क्लियरिंग सदस्य केवल क्लियरिंग खाते का संचालन करेंगे। क्लीयरिंग खाते उचित प्राधिकार द्वारा निर्दिष्ट किए गए किसी भी या सभी खंडों के लिए हो सकते हैं। क्लियरिंग सदस्य किसी अन्य उद्देश्य के लिए क्लियरिंग खाते का संचालन नहीं करेगा।

8.4 क्लीयरिंग बैंक क्लीयरिंग कॉरपोरेशन के निर्देशों के अनुसार कार्य करेगा

क्लियरिंग कॉरपोरेशन क्लियरिंग बैंक को क्लियरिंग सदस्यों के बीचधन निपटान के लिए किए जाने वाले डेबिट और क्रेडिट के लिए निर्देश देगा। क्लियरिंग बैंक निधियों की सेटलमेंट के लिए क्लियरिंग कॉरपोरेशन से प्राप्त निर्देशों के अनुसार कार्य करेगा। क्लीयरिंग सदस्य के खातों में डेबिट और क्रेडिट करने के लिए क्लीयरिंग कॉरपोरेशन के निर्देश क्लीयरिंग सदस्य के खाते में डेबिट और/या उसके खाते में राशि को क्रेडिट करने के लिए एक क्लीयरिंग सदस्य द्वारा स्थायी आदेश होंगे।

8.5 क्लीयरिंग बैंक को निधियों के सेटलमेंट में चूक की सूचना क्लीयरिंग कॉरपोरेशन को देगा

यदि क्लीयरिंग कॉरपोरेशन से प्राप्त निर्देशों के कारण निधियों में कोई चूक होती है तो क्लीयरिंग बैंक तुरंत क्लीयरिंग कॉरपोरेशन को सूचित करेगा।

8.6. सदस्य क्लीयरिंग बैंक को अधिकृत करेंगे

क्लीयरिंग सदस्य क्लीयरिंग बैंक को उनके क्लीयरिंग खातों को क्लीयरिंग कॉरपोरेशन से प्राप्त निर्देशों के अनुसार डेबिट और क्रेडिट करने की अनुमति होगी।

8.7 क्लीयरिंग बैंक में क्लीयरिंग कॉरपोरेशन के क्लीयरिंग खाते होंगे

उचित प्राधिकार द्वारा निर्दिष्ट किसी भी सौदे के संबंध में यदि अन्यथा निर्दिष्ट नहीं किया जाता है, क्लीयरिंग सदस्य या उसकी तरफ से दावा करने वाले किसी भी सदस्य के पास क्लीयरिंग कॉरपोरेशन के क्लीयरिंग खाते में या उचित प्राधिकार द्वारा समय-समय पर निर्दिष्ट किसी अन्य खाते में किसी भी धनराशि पर अधिकार, हक या हिस्सा नहीं होगा।

ऐसे मामलों में इस प्रकार का भुगतान या क्रेडिट प्रविष्टि इस प्रकार के क्लीयरिंग सदस्य को किया गया भुगतान या क्रेडिट माना जाएगा।

8.9 क्लीयरिंग सदस्य और क्लीयरिंग प्रपत्र

एक क्लीयरिंग सदस्य को एक क्लीयरिंग संख्या आबंटित की जाएगी जिसे क्लीयरिंग सदस्य द्वारा क्लीयरिंग कार्पोरेशन के साथ किए गए संचालनों के संबंध में सभी प्रपत्रों पर दर्शाना होगा। क्लीयरिंग सदस्यों द्वारा प्रयोग किए गए क्लीयरिंग प्रपत्र और प्रारूप क्लीयरिंग कार्पोरेशन द्वारा निर्दिष्ट किए जाएंगे और यदि अन्यथा अनुमति नहीं है तो कोई अन्य प्रपत्र या प्रारूप प्रयोग नहीं किया जाएगा।

8.10. क्लीयरिंग प्रपत्रों पर हस्ताक्षर

सभी क्लीयरिंग प्रपत्र पर क्लीयरिंग सदस्य या उसके अधिकृत हस्ताक्षरी द्वारा हस्ताक्षरित किया जाएगा।

8.11. नमूना हस्ताक्षर

एक क्लीयरिंग सदस्य क्लीयरिंग कार्पोरेशन के पास अपने हस्ताक्षर और उसके अधिकृत प्रतिनिधियों के हस्ताक्षरों का नमूना भेजेगा। नमूना हस्ताक्षर कार्ड पर क्लीयरिंग सदस्य और उसके अधिकृत प्रतिनिधियों के हस्ताक्षर क्लीयरिंग कार्पोरेशन के एक अधिकारी की उपस्थिति में किए जाएंगे।

9. अभिलेख, वार्षिक खाते और ऑडिट

9.1 अभिलेख

9.1.1 प्रत्येक क्लीयरिंग सदस्य सभी उचित कानूनी अधिनियमों की अनुपालन करा करेगा, जिनमें सिक्कुरिटीज कॉन्ट्रैक्ट (विनियम) अधिनियम, 1956 और उसके अंतर्गत 1957 के नियम, और सिक्कुरिटीज एक्सचेंज बोर्ड ऑफ इंडिया अधिनियम, 1992 और उसके अंतर्गत आने वाले नियम, विनियम और विनिर्देश, और केंद्र सरकार और किसी भी वैधानिक निकाय या स्थानीय प्राधिकार या किसी निकाय या उस प्राधिकार के अंतर्गत काम करने वाले प्राधिकार या खातों और अभिलेखों के रखरखाव के संबंध में केंद्र सरकार के निर्देशों के अंतर्गत किसी भी अधिसूचना, विनिर्देश और दिशानिर्देश शामिल हैं।

9.1.2 उक्त विनियम की आवश्यकताओं के अतिरिक्त प्रत्येक क्लीयरिंग सदस्य निम्नलिखित आवश्यकताओं और इस प्रकार की अन्य आवश्यकताओं की अनुपालन करेगा जिन्हें क्लीयरिंग कार्पोरेशन समय-समय पर बही-खातों, अभिलेखों और क्लीयरिंग कार्पोरेशन के संबंधित क्लीयरिंग खण्ड में उसकी सदस्यता के संबंध में दस्तावेजों के संबंध अधिसूचित करता है।

9.1.3 क्लीयरिंग कार्पोरेशन का प्रत्येक क्लीयरिंग सदस्य पांच वर्ष की अवधि के लिए अपने व्यापार से संबंधित निम्नलिखित अभिलेखों का रखरखाव करेगा। यदि कोई विवाद होता है तो संबंधित दस्तावेजों/अभिलेखों का रखरखाव पांच वर्ष की अवधि के लिए विवाद के अंतर्गत निपटारे या निर्णय के बाद किया जाएगा।

(क) क्लीयरिंग कार्पोरेशन से प्राप्त दायित्वों के विवरण।

(ख) निपटान करने वाली एजेंसियों से प्राप्त सभी विवरणों का रिकॉर्ड और उनके साथ सभी पत्राचार का रिकॉर्ड।

(ग) कंस्टिट्यूट से लिखित में प्राप्त सभी निर्देशों की प्रतियां।

(घ) उधार लिए गए और उधार दिए गए धन सहित ऋणों के संबंध में प्राप्त रिकॉर्ड।

(ङ) कंस्टिट्यूट से अलग से एकत्र किए गए क्लीयरिंग शुल्क के संबंध में रिकॉर्ड।

(च) लेनदेन का एक रजिस्टर जिसमें किसी गए सभी बिक्री/खरीद लेनदेन, इस प्रकार के लेनदेन के पक्ष, इस प्रकार के लेनदेनों की तिथि और समय, वह कीमत जिस पर डेरिवेटिव्स अनुबंधों को खरीदा/बेचा गया था, कंस्टिट्यूट्स के नाम और क्लीयरिंग शुल्क यदि कोई है, जिसे क्लीयरिंग सदस्य द्वारा लिया जाता है, शामिल हैं।

9.1.4 प्रत्येक क्लीयरिंग सदस्य इस प्रकार के रिकॉर्ड और बही खातों को रखेगा, जो आवश्यक हो सकते हैं, ताकि क्लॉइंट की सूचना को स्वयं की सूचना से अलग किया जा सके जिसमें लेनदेनों, मार्जिन और सेटलमेंट की सूचना का विवरण शामिल है।

9.1.5 प्रत्येक क्लीयरिंग सदस्य एक क्लीयरिंग सदस्य के रूप में अपने व्यापार के संबंध में अनिवार्य बही खातों का रखरखाव करेगा ताकि निम्नलिखित को दर्शाया और भिन्न किया जा सके:

(क) अपने प्रत्येक क्लॉइंट से प्राप्त या उसके वास्तेधनराशि और उसे देय या उसके वास्तेधनराशि और,

(ख) क्लीयरिंग सदस्य के स्वयं के खाते में प्राप्त और देयधनराशियां।

(ग) सभी क्लीयरिंग सदस्यों के लिए क्लॉइंट्स के धन को एक अलग खाते में रखना और स्वयं के धन को एक अलग खाते में रखना अनिवार्य होगा। उस लेनदेन के लिए, जिसमें क्लीयरिंग सदस्य एक पोजिशन को एक प्रिंसिपल के रूप में ले रहा है, भुगतान क्लॉइंट के खाते से किए जाने की अनुमति नहीं होगी।

9.1.6 क्लाइंट के खाते से क्लीयरिंग सदस्य के खाते में स्थानांतरण की अनुमति संबंधित विनियमों में उल्लेखित परिस्थितियों के अंतर्गत होगी।

(क) क्लाइंट के खाते में धन का भुगतान करने का दायित्व

प्रत्येक क्लीयरिंग सदस्य, जो एक क्लाइंट के खाते पर पैसा रखता है या प्राप्त करता है, तत्काल इसका भुगतान बैंक में चालू या जमा खाते में करेगा जो उस सदस्य के नाम से होगा और उसके नाम के साथ "क्लाइंट" शब्द होगा (जिसे यहां "क्लाइंट" कहा गया है)। एक क्लीयरिंग सदस्य सभी क्लाइंट्स के लिए एक संयुक्त खाता रख सकता है या प्रत्येक क्लाइंट के नाम से खाता रख सकता है, जो भी वह उचित समझे; बशर्ते कि जब एक क्लीयरिंग सदस्य क्लाइंट से संबंधित आंशिकधनराशि में एक चेक या ड्राफ्ट प्राप्त करता है, तो वह इस प्रकार के चेक या ड्राफ्ट पूर्ण भुगतान क्लाइंट के खाते में करेगा और बाद में संबंधित विनियम के अनुसार आगे स्थानांतरित करेगा।

(ख) क्लाइंट के खाते में निम्न के अतिरिक्त किसी भी पैसे का भुगतान नहीं किया जाएगा:

- (1) क्लाइंट के वास्ते रखा गया या प्राप्त पैसा
- (2) खाता खोलने या रखने के लिए क्लीयरिंग सदस्य का पैसा
- (3) किसी भी राशि के विस्थापन के लिए पैसा जो गलती से या दुर्घटनावश खाते से निकल गया है
- (4) एक चेक या ड्राफ्ट जिसे क्लीयरिंग सदस्य द्वारा प्राप्त किया गया है जिसमें आंशिक पैसा क्लाइंट का है और आंशिक पैसा क्लीयरिंग सदस्य को देय है।

(ग) क्लाइंट के खाते से निकाले जाने वाला पैसा

निम्नलिखित के अतिरिक्त कोई भी पैसा क्लाइंट के खाते से नहीं निकाला जाएगा:

(1) वह पैसा जिसकी आवश्यकता उचित रूप से क्लाइंट को या उसकी तरफ से क्लीयरिंग सदस्य को देय एक ऋण के भुगतान के लिए होती है या वह पैसा जिसे क्लाइंट के प्राधिकार से निकाला गया है, या वह पैसा जिसके संबंध में क्लाइंट का दायित्व क्लीयरिंग सदस्य के प्रति होता है, बशर्ते कि इस प्रकार से निकाला गया पैसा किसी भी मामले में इस प्रकार के प्रत्येक क्लाइंट के लिए एक समय के लिए रखे गए कुल पैसे से अधिक नहीं होगा।

(2) क्लियरिंग सदस्य से संबंधित वहधनराशि जिसे क्लाइंट के खाते में भुगतान किया जा सकता है जैसा कि ऊपर विनियम में उल्लेख किया गया है।

(3) धनराशि जो गलती या दुर्घटना से ऐसे खाते में भुगतान किया गया है। (डी) राइट टू लेन, सेट-ऑफ नॉट अफेक्टेड इस सेक्शन में कुछ भी वंचित करने वाले सदस्य को वंचित नहीं करेगा, चाहे वह एलआईएन के माध्यम से, सेट-ऑफ, काउंटर-क्लेम चार्ज (एस) या अन्यथा मनीऑर्डर के खिलाफ खड़ा हो ग्राहकों के खाते का क्रेडिट।

घ. स्वत्व, मुआवजा (सेट-ऑफ) का अधिकार प्रभावित नहीं होगा

इस सेक्शन में कुछ भी एक क्लीयरिंग सदस्य को क्लाइंट के खाते में पैसे के विरुद्ध किसी भी उपाय या अधिकार, चाहे स्वत्व, मुआवजा, प्रति-दावा शुल्क के माध्यम से हो या अन्यथा हो, से वंचित नहीं करेगा।

9.2 अभिलेखों का रखरखाव

9.2.1 प्रत्येक क्लियरिंग सदस्य निगम की स्थायी आवश्यकताओं के अनुसार अपने प्रत्येक कंस्टिट्यूट के साथ निष्पादित समझौतों की प्रतियां स्थायी रूप से अपने पास रखेगा।

9.2.2 प्रत्येक क्लियरिंग सदस्य निपटारा करने वाली एजेंसियों या बैंकों के साथ निष्पादित समझौतों की प्रतियां स्थायी रूप से रखेगा।

9.2.3 प्रत्येक क्लियरिंग उसके व्यवसाय से संबंधित प्राप्त होने वाले सभी पत्राचारों के मूल दस्तावेज और (अंतर-कार्यालय ज्ञापन और संचार सहित) क्लीयरिंग सदस्य द्वारा भेजे गए सभी पत्राचारों की प्रतियां अपने पास रखेगा।

9.2.4 प्रत्येक क्लियरिंग सदस्य खातों की सभी गारंटियों और सभी पॉवर ऑफ एटोर्नी और किसी भी खाते के संबंध में विवेकाधिकार प्राधिकार को देने के अन्य प्रमाण और ऐसे निर्णयों की प्रतियां अपने पास रखेगा जो एक एजेंट को एक क्लीयरिंग सदस्य की तरफ से कार्य करने में सक्षम बनाता है।

9.2.5 प्रत्येक क्लीयरिंग सदस्य उसके द्वारा किए गए सभी लिखित समझौतों (या उनकी प्रतियां) अपने पास रखेगा जो उसके व्यापार से संबंधित हैं, जिनमें किसी भी खाते के संबंध में समझौते शामिल हैं।

9.2.6 प्रत्येक क्लीयरिंग सदस्य किसी भी कंस्टिट्यूट के खाते को बंद करने के बाद कम से कम पांच वर्ष के लिए कोई भी रिकॉर्ड रखेगा जो इस प्रकार के खाते को खोलने और रखरखाव करने के नियम एवं शर्तों, कंस्टिट्यूट के साथ किए गए समझौते की

तिथि, इसमें किए गए संशोधन की तिथि, समापन की तिथि और इस प्रकार के कंस्टिट्यूट के प्रतिनिधियों के संबंध में होगा, जिसने प्रत्येक मामले में हस्ताक्षर किए हैं। यदि कोई विवाद होता है तो संबंधित दस्तावेजों/अभिलेखों का रखरखाव विवाद के अंतिम निपटारे या निर्णय के बाद पांच वर्ष के लिए किया जाएगा।

9.2.7 एक क्लीयरिंग सदस्य क्लीयरिंग सदस्य को उस स्थान के बारे में जानकारी देगा जहां ये अभिलेख रखे गए हैं और ऑडिट/जांच के लिए उपलब्ध हैं।

9.2.8 अभिलेखों के रखरखाव के संबंध में ये आवश्यकताएं न केवल क्लीयरिंग सदस्य के मुख्य कार्यालय में लागू होंगे बल्कि अन्य शाखा कार्यालयों में भी लागू होंगे और क्लीयरिंग सदस्य के बिजनेस को संचालित करने के लिए क्लीयरिंग सदस्य द्वारा नियंत्रित या स्वामीत्व वाली किसी भी नामित कम्पनी के कार्यालयों पर भी लागू होंगे।

9.2.9 प्रत्येक क्लीयरिंग सदस्य अपने कंस्टिट्यूट की लिखित सभी शिकायतों का रिकॉर्ड भी सुरक्षित रखेगा जिसमें कंस्टिट्यूट की संदर्भ संख्या, उसका नाम, शिकायतों का विवरण, क्लीयरिंग सदस्य द्वारा उठाया गए कदम, यदि मामला क्लीयरिंग कॉर्पोरेशन के पास मध्यस्थता के लिए भेजा गया है तो उसका विवरण शामिल होंगे।

9.2.10 प्रत्येक क्लीयरिंग सदस्य प्रतिभूतियों का विवरण रखेगा जो एक क्लीयरिंग सदस्य की सम्पत्तियां होती हैं जिसमें यह दर्शाया जाता है कि वे किसके पास जमा हैं और क्या सदस्य द्वारा अन्यथा रखी गई हैं, क्या उन्हें लोन या अग्रिमों के लिए समर्थक जमानत के रूप में रखा गया है।

9.2.11 यहां दिए गए किसी प्रावधान के बावजूद, प्रत्येक क्लीयरिंग सदस्य असली दस्तावेजों को इलेक्ट्रॉनिक और भौतिक दोनों रूपों में सुरक्षित रखेगा, जिनकी प्रतियाँ सीबीआई, पुलिस या किसी अन्य प्रवर्तन एजेंसी के द्वारा उनकी जांच के दौरान मुकदमा खत्म होने तक ले ली जाती हैं।

9.3 वार्षिक खाते और ऑडिट

9.3.1 प्रत्येक क्लियरिंग सदस्य 31 मार्च को समाप्त होने वाले प्रत्येक वित्तीय वर्ष के लिए या ऐसी अन्य तिथियों के लिए वार्षिक खाते तैयार करेगा जिसकी सलाह क्लीयरिंग कॉर्पोरेशन देता है।

8.3.2 क्लियरिंग सदस्य के व्यवसाय की परिसंपत्तियां और देनदारियां बैलेंस शीट में प्रविष्ट की जाएंगी और उन्हें इस प्रकार से वर्गीकृत और वर्णित किया जाएगा कि बैलेंस शीट इस प्रकार के व्यापार की स्थिति का उस तिथि को वास्तविक और उचित विवरण प्रस्तुत करे जिस तिथि को उसे तैयार किया गया है।

9.3.3 प्रत्येक क्लीयरिंग सदस्य क्लीयरिंग कॉर्पोरेशन को ऑडिट किया गया वित्तीय विवरण प्रदान करेगा और इस प्रकार की क्लीयर रिपोर्ट क्लीयरिंग सदस्य के वित्तीय वर्ष के खत्म होने के बाद छह महीने से पहले देनी होगी, बशर्ते कि जब क्लीयरिंग कॉर्पोरेशन संतुष्ट हो जाता है कि परिस्थितियों के कारण इस प्रकार की रिपोर्ट का समय बढ़ाना आवश्यक है, तो यह समय में उचित विस्तार कर सकता है।

9.3.4 प्रत्येक क्लीयरिंग सदस्य वार्षिक आधार पर एक स्वतंत्र योग्यताप्राप्त चार्टर्ड अकाउंटेंट, कम्पनी सचिव या लागत और प्रबंधन अकाउंटेंट, जो अभ्यासरत है और जिसके हितों का कोई टकराव नहीं है, द्वारा अर्धवार्षिक आधार पर पूर्ण आंतरिक ऑडिट करवाएगा और रिपोर्ट को समय-समय पर क्लीयरिंग कॉर्पोरेशन द्वारा निर्धारित प्रारूप और समय के अंदर दाखिल करेगा।

10. जांच

10.1 जांच प्राधिकार

10.1.1 क्लीयरिंग कॉर्पोरेशन कम से कम वर्ष में बार या अधिक बार एक सदस्य के खातों और अन्य दस्तावेजों की जांच करेगा। जहां क्लीयरिंग कॉर्पोरेशन को उचित लगता है, वह एक या अधिक लोगों को क्लीयरिंग सदस्यों के खातों, अन्य अभिलेखों और दस्तावेजों की जांच के लिए जांच प्राधिकारी नियुक्त कर सकता है जिसमें संबंधित विनियम में निर्धारित अन्य उद्देश्य भी शामिल हैं।

10.1.2 क्लीयरिंग कॉर्पोरेशन द्वारा नियुक्त जांच प्राधिकारी इसके स्वयं के अधिकारी हो सकते हैं या बाहरी पेशेवर हो सकते हैं।

10.1.3 जब क्लीयरिंग कॉर्पोरेशन बाहरी पेशेवरों को जांच प्राधिकारी के रूप में नियुक्त करता है, तो यह क्लीयरिंग सदस्य को इस प्रकार से नियुक्त व्यवसायी या फर्म का नाम और पता जांच के समय अधिसूचित करेगा।

10.1.4 जब बाहरी पेशेवरों को क्लियरिंग सदस्य के संबंध में एक जांच प्राधिकारी के रूप में नियुक्त किया जाता है और ऐसे पेशेवर क्लियरिंग सदस्य के साथ पहले से ही किसी अन्य क्षमता से संबंधित होते हैं, तो ऐसे सदस्य क्लियरिंग कॉर्पोरेशन को इस संबंध में सूचित करेंगे।

10.1.5 जहां एक क्लियरिंग सदस्य के संबंध में जांच अधिकारी के रूप में किसी बाहरी पेशेवर की नियुक्ति के बाद, क्लियरिंग सदस्य या उसका कोई भी सहयोगी किसी अन्य क्षमता में अपनी सेवाओं के लिए जांच प्राधिकारी संलग्न करता है, तो जांच प्राधिकारी इस प्रकार की पेशेवर क्लीयरिंग सदस्य या इसके सहयोगियों के साथ क्लीयरिंग कॉर्पोरेशन की पूर्व सहमति के बिना सेवा में संलग्न नहीं होंगे।

10.2 जांच के लिए कारण

क्लीयरिंग कार्पोरेशन एक क्लीयरिंग सदस्य की जांच निम्न उद्देश्यों के लिए करवा सकता है:

(क) यह सुनिश्चित करना कि खातों और अन्य खातों का रखरखाव आवश्यक तरीके से बनाए रखा जा रहा है;

(ख) यह सुनिश्चित करना कि सेबी अधिनियम, नियम और विनियमन के प्रावधानों का अनुपालन किया जा रहा है;

(ग) यह सुनिश्चित करना कि सिक्योरिटीज कॉन्ट्रैक्ट्स (विनियमन) अधिनियम, फॉरवर्ड कॉन्ट्रैक्ट (विनियमन) अधिनियम और उनके द्वारा बनाए गए नियमों के प्रावधानों का अनुपालन किया जा रहा है;

(घ) यह सुनिश्चित करना कि क्लीयरिंग कार्पोरेशन के उपनियमों, नियमों और विनियमों के विभिन्न प्रावधान और जारी किए गए निर्देशों या निर्देशों का अनुपालन किया जा रहा है;

(ङ) निवेशकों, क्लीयरिंग कार्पोरेशन के अन्य सदस्यों या किसी अन्य व्यक्ति से प्राप्त शिकायतों की जांच करना जो क्लीयरिंग सदस्य की गतिविधियों के संबंध में हो सकती हैं;

(च) बिना मांग के, किसी भी कारण से जहां क्लीयरिंग सदस्यों की जांच परिस्थितियों के अनुसार सार्वजनिक हित में हो;

(छ) यह जांच करने लिए कि क्लियरिंग कार्पोरेशन द्वारा समय-समय पर जारी किए गए किसी भी नोटिस, परिपत्र, निर्देश या आदेश की अनुपालना या सदस्यों की अन्य गतिविधियों का अनुपालन की जा रही है

(ज) भारत सरकार सहित किसी भी विनियमन प्राधिकरण द्वारा इस संबंध में जारी किए गए निर्देशों की अनुपालना के लिए

10.3 नोटिस

10.3.1 उक्त के अनुसार कोई भी जांच करने से पहले क्लीयरिंग कार्पोरेशन इस उद्देश्य के लिए एक उचित नोटिस क्लीयरिंग सदस्य को देगा।

10.3.2 उक्त के बावजूद, जहां क्लीयरिंग कार्पोरेशन की राय है कि इस प्रकार को कोई नोटिस नहीं दिया जाना चाहिए, तो यह लिखित में निर्देश दे सकता है कि क्लीयरिंग सदस्य के मामलों की जांच बिना इस प्रकार के नोटिस के की जानी चाहिए।

10.3.3 क्लीयरिंग कार्पोरेशन के अधिकारी या जांच प्राधिकारी जिसे क्लीयरिंग कार्पोरेशन द्वारा जांच के लिए निर्देश दिया गया है, जांच करेगा और क्लीयरिंग सदस्य, जिसके विरुद्ध एक जांच की जा रही है, संबंधित विनियम के अनुसार अपने दायित्वों को पूरा करने के लिए बाध्य होगा।

10.4 जांच पर एक क्लीयरिंग सदस्य के दायित्व

10.4.1 क्लीयरिंग सदस्य, जिसकी जांच की जा रही है, के प्रत्येक निदेशक, अधिकारी और कर्मचारी की यह जिम्मेदारी होगी कि वह उसके कब्जे और नियंत्रण में सभी बहियों, खातों और दस्तावेजों को जांच प्राधिकारी के सम्मुख प्रस्तुत करेगा जहां इस प्रकार के खाते और दूसरे दस्तावेज जब दूसरे व्यक्ति के कब्जे या नियंत्रण में हैं, और उसे इस प्रकार का विवरण और सूचना जांच प्राधिकार की आवश्यकता के अनुसार निर्धारित समय में प्रदान करेगा।

10.4.2 क्लीयरिंग सदस्य जांच प्राधिकारी को उसके या उसकी तरफ से किसी अन्य व्यक्ति के परिसर में उचित अनुमति प्रदान करेगा और उसके कब्जे में या किसी दूसरे व्यक्ति के कब्जे में खातों, दस्तावेजों और कंप्यूटरीकृत सामग्री की जांच के लिए उचित सहायता भी प्रदान करेगा, जो जांच प्राधिकार की राय में महत्वपूर्ण हैं। इस प्रकार की प्रतियां या सामग्री को जांच प्राधिकार के द्वारा क्लीयरिंग कार्पोरेशन की सम्पत्ति के रूप में रखा जाएगा।

10.4.3 जांच के दौरान

जांच प्राधिकार के पास जांच के दौरान क्लीयरिंग सदस्य या उसके किसी भी सहयोगी के किसी भी सदस्य, निदेशक, अधिकारी और कर्मचारी के कथनों का विश्लेषण करने या दर्ज करने का अधिकार होगा।

10.4.4 जहां एक एसोसिएट की जांच की जा रही है वहां क्लीयरिंग सदस्य के प्रत्येक निदेशक, अधिकारी और कर्मचारी का यह कर्तव्य होगा कि इस प्रकार का सहयोगी जांच के संबंध में जांच प्राधिकारी को सभी सहायता प्रदान करेगा जिसकी उम्मीद प्रत्येक क्लीयरिंग सदस्य से की जा सकती है।

10.4.5 जांच प्राधिकारी के पास क्लीयरिंग सदस्य के बैंकरों के पास या किसी अन्य एजेंसी के पास वित्तीय मामलों के संबंध में दस्तावेजों की जांच करने का अधिकार होगा, जिनकी जांच जांच प्राधिकारी को उचित प्रतीत हो सकती है।

10.4.6 जांच प्राधिकारी के पास क्लीयरिंग सदस्य के संबंध में खाते और दूसरे दस्तावेज उपलब्ध होंगे या क्लीयरिंग कार्पोरेशन द्वारा प्राधिकृत खाते और दूसरे रिकॉर्ड उपलब्ध होंगे जिनका संबंध क्लीयरिंग सदस्य के एसोसिएट से है जिसे प्रदान करना क्लीयरिंग सदस्य की क्षमता के अंदर है।

10.5 रिपोर्ट दाखिल करना

10.5.1 जांच प्राधिकार जितना जल्दी संभव हो एक जांच रिपोर्ट क्लियरिंग कार्पोरेशन को दाखिल करेगा।

10.5.2 जांच प्राधिकार को दाखिल किए गए सभी दस्तावेज, कागजात, रिटर्न या उनकी प्रतियां क्लियरिंग कार्पोरेशन की तरफ से इसके द्वारा रखी जा सकती हैं। यह इनकी पूर्ण गोपनीयता को बनाए रखेगा और उनके दी गई किसी भी सूचना को किसी व्यक्ति, फर्म, कम्पनी या प्राधिकार को उजागर नहीं करेगा यदि किसी लागू कानून द्वारा ऐसा करना आवश्यक नहीं है और इस संबंध में क्लियरिंग कार्पोरेशन की अनुमति लेना आवश्यक है।

10.5.3 क्लियरिंग कार्पोरेशन जांच रिपोर्ट पर विचार करने के बाद इसके परिणाम क्लियरिंग सदस्य को सम्प्रेषित करेगा ताकि जांच प्राधिकार के परिणामों पर क्लियरिंग कार्पोरेशन द्वारा कोई भी कदम उठाने से पहले उसे सुनवाई का अवसर दिया जा सके।

10.5.4 क्लियरिंग सदस्य से व्याख्या को प्राप्त होने पर, यदि कोई है, क्लियरिंग कार्पोरेशन क्लियरिंग सदस्य को उचित कदम उठाने के लिए निर्देश दे सकता है जो सार्वजनिक हित में उचित हो।

10.5.5 उक्त के बावजूद, जहां क्लियरिंग कार्पोरेशन को लगता है कि कुछ परिस्थितियों में इस प्रकार की सुनवाई का अवसर प्रदान नहीं करना चाहिए, यह बिना सुनवाई का अवसर प्रदान किए तत्काल कार्यवाही कर सकता है।

11. क्लियरिंग सदस्यों के लिए आचार संहिता

11.1 सामान्य सिद्धांत

11.1.1 व्यवसायिकता

एक क्लियरिंग सदस्य अपने व्यापार के संचालन में कारोबार के न्यायोचित और उचित सिद्धांतों के व्यापारिक सम्मान के उच्च मानदण्डों को अपनाएगा। एक क्लियरिंग सदस्य के पास ऐसे संसाधन और विधियां होंगी और वह उनका प्रयोग करेगा जो उसके व्यापार की गतिविधियों के उचित कार्यप्रदर्शन के लिए आवश्यक हैं।

11.1.2 क्लियरिंग कार्य पद्धतियों की अनुपालना

क्लियरिंग सदस्य क्लियरिंग कार्पोरेशन के नियमों, विनियमों और उपनियमों की अनुपालना करेंगे और उचित प्राधिकार के ऐसे संचालनात्मक मानकों, आदेशों, नोटिसों, दिशानिर्देशों और विनिर्देशों की अनुपालना करेंगे जो समय-समय पर लागू हो सकते हैं।

11.1.3 ईमानदारी और निष्पक्षता

अपनी व्यापार गतिविधियों के संचालन में एक क्लियरिंग सदस्य अपने कंस्टिट्यूटर्स के हित में ईमानदारी और निष्पक्षता से काम करेगा

11.2 सेटलमेंट सिद्धांत

11.2.1. क्लियरिंग सदस्य सुनिश्चित करेंगे कि विभिन्न कानूनी अधिनियमों, नियमों और विनियमों के अनुसार उन पर और उनके कर्मचारियों पर लागू न्यासीय और दूसरे दायित्वों की अनुपालना की जा रही है।

11.2.2 क्लियरिंग सदस्य सुनिश्चित करेंगे कि कर्मचारी ऐसी कार्यपद्धतियों में पर्याप्त रूप से प्रशिक्षित हों जिनमें वे कारोबार करते हैं, क्लियर करते हैं और निपटान करते हैं, और वे उनकी स्वयं की और उनके संगठन की जिम्मेदारियों से व उचित कानूनी अधिनियमों के बारे में जानकारी रखते हों जो क्लियरिंग सदस्यों पर लागू होते हैं, और उन्हें क्लियरिंग कार्पोरेशन के नियमों, विनियमों और उपनियमों का ज्ञान होना चाहिए जिनमें उनका कोई भी परिशिष्ट या संशोधन भी शामिल हैं।

11.2.3 जब कंस्टिट्यूट की तरफ से लेनदेन किए जाते हैं, क्लियरिंग सदस्य सुनिश्चित करेंगे कि वे इन विनियमों के वर्तमान अध्याय में दी गई आचार संहिता और विनियमों का अनुपालन करते हैं।

11.2.4 क्लियरिंग सदस्य से संबंधित कोई भी क्लियरिंग सदस्य कंस्टिट्यूट प्रतिभूतियों और निधियों का अनुचित प्रयोग नहीं करेगा।

11.2.5 लेनदेन करते समय या लेनदेन की व्यवस्था करते समय, क्लियरिंग सदस्यों को सुनिश्चित करना चाहिए कि हमेशा लेनदेन की प्रकृति को किसी भी तरह से गलत प्रस्तुत न करने के लिए ध्यान रखा जाए।

11.2.6 कोई भी क्लियरिंग सदस्य कंस्टिट्यूट के खाते में किसी भी विवेकाधीन शक्ति का प्रयोग नहीं करेगा यदि इस प्रकार का कंस्टिट्यूट निर्धारित व्यक्ति या व्यक्तियों को लिखित में सत्यापन नहीं करता और खाते को क्लियरिंग सदस्य द्वारा स्वीकार नहीं किया जाता है जैसा कि क्लियरिंग सदस्य द्वारा लिखित में प्रमाणित होता है।

11.3 सामान्य दिशानिर्देश

एक क्लियरिंग सदस्य क्लियरिंग कार्पोरेशन में व्यापार करते समय निम्नलिखित कार्यपद्धतियों से बचेगा।

परिरक्षण और सहायता

कोई भी क्लीयरिंग सदस्य किसी भी क्लीयरिंग सदस्य का परिरक्षण नहीं करेगा या सहायता नहीं करेगा या उसकी रिपोर्ट करने से नहीं चूकेगा जिसके बारे में उसे ज्ञान है कि उसने क्लीयरिंग कार्पोरेशन के नियमों, उपनियमों या विनियमों या इसके अंतर्गत शासी बोर्ड या महानिदेशक या क्लीयरिंग कार्पोरेशन द्वारा उसकी तरफ से अधिकृत किसी समीति या अधिकारी के किसी निर्णय, आदेश, नोटिस या निर्देश का उलंघन किया है।

12. क्लीयरिंग सदस्यों व्यापार का संचालन

12.1 कार्यालय से संबंधित प्रक्रिया

12.1.1 प्रत्येक क्लीयरिंग सदस्य सुनिश्चित करेगा कि उसकी तरफ से कार्य करने वाले सभी लोग हमेशा पेशेवर दक्षता और ईमानदारी के उच्च मानकों को बनाए रखेंगे।

12.1.2 प्रत्येक क्लीयरिंग सदस्य हमेशा इस प्रकार के आधारभूत ढांचे, स्टाफ, सम्प्रेषण सुविधाओं और अभिलेखों का बनाए रखेंगे ताकि अपने कंस्टिट्यूट को संतुष्टिजनक सेवा प्रदान कर सकें और क्लीयरिंग कार्पोरेशन के उपनियमों, नियमों और विनियमों के अनुसार और समय-समय पर लागू अन्य अधिनियम(मों) की आवश्यकताओं के पूरा सकें।

12.1.3 जहां क्लीयरिंग कार्पोरेशन ऐसा करना सार्वजनिक हित में आवश्यक समझता है, अपने स्वयं के उदाहरण पर या दूसरे क्लीयरिंग सदस्य या कंस्टिट्यूट की शिकायत पर क्लीयरिंग सदस्य या उसके किसी कर्मचारी से सेवा या पेशेवर व्यवहार के स्तर के संबंध में पुष्टि कर सकता है, जहां इस प्रकार की सेवा या व्यवहार असंतुष्टिजनक पाया जाता है या क्लीयरिंग कार्पोरेशन के उपनियमों, नियमों और विनियमों और इनके अंतर्गत जारी अधिसूचना, निर्देशों या परिपत्रों में दिए गए सिद्धांतों के विरुद्ध पाया जाता है।

12.2 निरीक्षण

12.2.1 अपनाई जाने वाली प्रक्रियाएं

- (क) प्रत्येक क्लीयरिंग सदस्य अपने व्यापार का निरीक्षण करने के लिए और इसके कर्मचारियों की गतिविधियों का निरीक्षण करने के लिए जिन्हें क्लीयरिंग कार्पोरेशन के उपनियमों, नियमों और विनियमों की और इसके अंतर्गत दूसरे उचित कानूनी अधिनियमों के अंतर्गत जारी अधिसूचनाओं, निर्देशों आदि की अनुपालना के डिजाइन किया गया है, प्रक्रियाओं का स्थापित करेगा, और लागू करेगा।
- (ख) क्लीयरिंग सदस्य उन सभी लोगों के नामों का एक आंतरिक रिकॉर्ड रखेगा जिन्हें निरीक्षण कर्मचारी के रूप में नियुक्त किया गया है और उन तिथियों का रिकॉर्ड रखेगा जिनके लिए उक्त नियुक्ति प्रभावी है या थी। इस प्रकार का रिकॉर्ड क्लीयरिंग सदस्य द्वारा कम से कम तीन वर्ष के लिए रखा जाएगा।
- (ग) प्रत्येक क्लीयरिंग सदस्य विशेष रूप से व्यक्ति या व्यक्तियों लिखित में अधिकृत करेगा, जो क्लीयरिंग सदस्य की ओर से लेनदेन करने और ऐसे कार्य करने के लिए अधिकृत हो सकते हैं, जिन्हें क्लीयरिंग सदस्य ऐसे व्यक्ति को सौंपना चाहते हैं, और इस प्रकार की पॉवर ऑफ एटोर्नी की एक प्रति क्लीयरिंग कार्पोरेशन को उपलब्ध करा सकते हैं, इससे पहले कि ऐसा व्यक्ति क्लीयरिंग कार्पोरेशन के साथ लेनदेन करता है।
- (घ) एक क्लीयरिंग सदस्य क्लीयरिंग कार्पोरेशन की तरफ अधिकृत व्यक्ति के द्वारा जांच के लिए ऐसा रिकॉर्ड रखेगा और उपलब्ध करवाएगा जिसमें इस प्रकार के क्लीयरिंग सदस्य की वित्तीय स्थिति से संबंधित सूचना जैसा कि क्लीयरिंग कार्पोरेशन के द्वारा इस उद्देश्य के लिए निर्धारित किया गया है।
- (ङ) क्लीयरिंग सदस्य शुल्क, प्रशुल्क और अन्य राशि का भुगतान करेगा जिसे क्लीयरिंग कार्पोरेशन समय-समय पर अधिसूचित कर सकता है, और उस माध्यम और समय में भुगतान करेगा जैसा कि क्लीयरिंग कार्पोरेशन के द्वारा आवश्यक है।
- (च) क्लीयरिंग सदस्य को उसके उपक्रम की स्थिति और संविधान, संचालन, गतिविधियों में किसी भी परिवर्तन की सूचना क्लीयरिंग कार्पोरेशन को देनी होगी।

12.2.2 आंतरिक निरीक्षण

प्रत्येक क्लीयरिंग सदस्य कम से कम वर्ष में एक बार अपने व्यापार की समीक्षा करेगा, जिसमें वह लगा हुआ है, जिसे उचित रूप से डिजाइन किया जाएगा ताकि उपनियमों, नियमों और विनियमों के उलंघन का पता लगाया जा सके और उनकी अनुपालना में सहायता की जा सके।

12.2.3 लिखित अनुमति

प्रत्येक क्लीयरिंग सदस्य सभी लेनदेनों और इसके कर्मचारियों के पत्राचारों के आंतरिक रिकॉर्ड की समीक्षा के लिए विधियों को स्थापित करेगा और एक उचित वरिष्ठ अधिकारी से उसकी लिखित पुष्टि करवाएगा।

12.3 कंस्टिट्यूट के साथ संबंध

12.3.1 जब एक नए कंस्टिट्यूट के साथ संबंध स्थापित किया जाता है तो क्लीयरिंग सदस्यों को इस प्रकार के व्यक्ति की पृष्ठ भूमि, वास्तविकता, वित्तीय सुदृढ़ता और उसके उद्देश्यों का आकलन करने के लिए उचित कदम उठाने होंगे।

12.3.2 क्लीयरिंग सदस्य कंस्टिट्यूट को बिजनेस करने के लिए क्लीयरिंग सदस्य की देनदारी की सही प्रकृति के बारे में जानकारी देगा, जिसमें उस देनदारी पर कोई भी सीमाएं और सक्षमता शामिल है जिसमें क्लीयरिंग सदस्य कार्य करता है और उस पर कंस्टिट्यूट की देनदारी के बारे में बताएगा।

12.3.3 क्लीयरिंग सदस्य क्लीयरिंग सदस्यों के कंस्टिट्यूट के रूप में कंस्टिट्यूट के अधिकारों और दायित्वों को संचालित करने वाले उचित प्रावधानों के निष्कर्ष प्रदान करेगा जैसा कि क्लीयरिंग कार्पोरेशन उपनियमों, नियमों और विनियमों, या किसी अन्य विनियामक प्राधिकार की उचित नियमावतियों, अधिसूचनाओं, परिपत्रों, परिशिष्टों या संशोधनों में निर्धारित है, जहां तक क्लीयरिंग सदस्यों और कंस्टिट्यूट के बीच संबंध संचालित होते हैं, और कंस्टिट्यूट को कोई लागत नहीं देनी होगी। क्लीयरिंग सदस्य अपने कंस्टिट्यूट का ध्यान क्लीयरिंग कार्पोरेशन या किसी अन्य विनियामक प्राधिकार द्वारा उस पर किसी अभियोग, जुर्मानों आदि की तरफ भी दिलाएगा।

12.4 कंस्टिट्यूट को की गई सिफारिशें

12.4.1 एक क्लीयरिंग सदस्य अपने कंस्टिट्यूट के साथ सौदे में महत्वपूर्ण सूचना की पर्याप्त घोषणाएं करेगा।

12.4.2 कोई भी क्लीयरिंग सदस्य या क्लीयरिंग सदस्य से जुड़ा व्यक्ति एक कंस्टिट्यूट को क्लीयरिंग सदस्य द्वारा या कंस्टिट्यूट के लिए किए गए लेनदेनों में हानि के विरुद्ध गारंटी देगा।

13. क्लीयरिंग और अन्य प्रपत्र

13.1 क्लीयरिंग प्रपत्र, विशेष रिटर्न और अन्य प्रपत्र

क्लीयरिंग प्रपत्र, विशेष रिटर्न और अन्य प्रपत्र जो इन उपनियमों और विनियमों में उल्लेखित हैं और जिन्हें अलग से निर्धारित नहीं किया गया है, वे उचित प्राधिकार द्वारा समय-समय पर निर्धारित स्वरूप या स्वरूपों में होंगे, जिसमें उनके परिशिष्ट या उनके संशोधन भी शामिल हैं।

13.2 क्लीयरिंग संख्या और क्लीयरिंग प्रपत्र

एक क्लीयरिंग सदस्य को एक क्लीयरिंग संख्या आबंटित की जाएगी जो उस क्लीयरिंग सदस्य द्वारा क्लीयरिंग कार्पोरेशन के साथ संचालन के संबंध प्रयोग किए जाने वाले सभी प्रपत्रों पर लिखी होती है।

13.3 क्लीयरिंग प्रपत्र पर हस्ताक्षर

सभी क्लीयरिंग प्रपत्रों पर क्लीयरिंग सहायक के क्लीयरिंग सदस्य द्वारा हस्ताक्षर किए जाएंगे।

13.4 असत्य या भ्रामक बयान

उचित प्राधिकार एक क्लीयरिंग सदस्य पर जुर्माना कर सकता है, उसे निलम्बित कर सकता है या निष्कासित कर सकता है जिसने क्लीयरिंग प्रपत्रों में असत्य या भ्रामक बयान दिए हैं, जिन्हें इन विनियमों या उचित प्राधिकार के प्रस्तावों, आदेशों, नोटिसों, निर्देशों और निर्णयों के अनुसार दाखिल करने की जरूरत होती है।

टिप्पणी: हिन्दी पाठ में भिन्नता की स्थिति में अंग्रेजी पाठ अभिभावी होगा।

मल्टी कमाडिटी एक्सचेंज क्लीयरिंग कार्पोरेशन लिमिटेड

मुम्बई, दिनांक 5 सितम्बर 2019

भारतीय प्रतिभूति और विनियम बोर्ड ने प्रतिभूति संविदा (विनियम) अधिनियम, 1956 की धारा 7क के तहत इसकी शक्तियों का प्रयोग करते हुए अपने पत्र सं. सेबी/एचओ/सीडीएमआरडी/डीआरएमपी/ओडब्ल्यू/पी/2019/21069/1 दिनांक 23 अगस्त, 2019 द्वारा मल्टी कमाडिटी एक्सचेंज क्लीयरिंग कार्पोरेशन लिमिटेड ("एमसीएक्ससीसीएल") के नियमों में निम्नलिखित संशोधनों को अनुमोदित किया है। एमसीएक्ससीसीएल के नियमों के लिए संशोधन भारत के राजपत्र में इसके प्रकाशन की तारीख से प्रभावी होंगे।

एमसीएक्ससीसीएल के नियमों में संशोधन

1. एमसीएक्ससीसीएल नियमों के नियम 1 के खंड 1.1 में "नियम" शब्द "या क्लीयरिंग कार्पोरेशन के नियमों" शब्दों से पहले "इन नियमों" शब्दों द्वारा प्रतिस्थापित किया जाएगा।

2. एमसीएक्ससीसीएल नियमों के नियम 2.1 में खंड 2.1.3 के पश्चात निम्नलिखित खंड अंतःस्थापित किया जाएगा, नामतः—
“2.1.3क, “उप नियमों” का तात्पर्य इस समय प्रवृत्त क्लीयरिंग कारपोरेशन के उप-नियमों और समय-समय पर उनमें किए गए किन्हीं संशोधनों से है।”
3. एमसीएक्ससीसीएल नियमों के नियम 2.1.40 में निम्नलिखित परन्तुक प्रतिस्थापित किया जाएगा, नामतः — “एसईसीसी” — प्रतिभूति संविदा (विनियम) (स्टाक एक्सचेंज और क्लीयरिंग कारपोरेशन) विनियम 2018 के लिए संक्षिप्ताक्षर है।”
4. एमसीएक्ससीसीएल नियमों के नियम 2.1.2 में “इन उप-नियमों” शब्दों को “विनियम” शब्दों से पूर्व “उप-नियमों” द्वारा प्रतिस्थापित किया जाएगा।
5. एमसीएक्ससीसीएल नियमों के नियम 2.1.12 में “इन उप-नियमों” शब्दों को “और उसी के अनुरूप श्रेणीबद्ध” शब्दों से पूर्व “उप-नियमों” शब्दों से प्रतिस्थापित किया जाएगा।
6. एमसीएक्ससीसीएल नियमों के नियम 2.1.15 में “इन उप-नियमों” शब्दों को “तहत अनिवार्य वर्णित” शब्दों के पश्चात “उप-नियमों” शब्दों से प्रतिस्थापित किया जाएगा।
7. एमसीएक्ससीसीएल नियमों के नियम 2.1.42 में “इन उप-नियमों” शब्दों को “के अनुसार” शब्दों के पश्चात “उप-नियमों” शब्दों से प्रतिस्थापित किया जाएगा।
8. एमसीएक्ससीसीएल नियमों के नियम 2.1.43 में “इन उप-नियमों” शब्दों को “के प्रयोजन” शब्दों के पश्चात और “में परिभाषित” शब्दों के पश्चात “उप-नियमों” शब्दों से प्रतिस्थापित किया जाएगा।
9. एमसीएक्ससीसीएल नियमों के नियम 8.1 में “अनुशासनात्मक न्यायाधिकार” शीर्षक को “अनुशासनात्मक कार्यवाई” शब्दों से प्रतिस्थापित किया जाएगा।
10. एमसीएक्ससीसीएल नियमों के नियम 8.1 में निम्नलिखित अंतःस्थापित किया जाएगा :—
“संबद्ध प्राधिकार निष्कासित या निलंबित और/या जुर्माना लगा सकता है और/या आक्षेप के तहत लंबित और या चेतावनी और/या किसी क्लीयरिंग सदस्य के सभी या किन्हीं अधिकारों को वापिस लेना, यदि;
क. क्लीयरिंग सदस्य अपनी सदस्यता वचन को क्लीयरिंग कारपोरेशन को देने में उल्लंघन करता है;
ख. क्लीयरिंग सदस्य द्वारा अपनी सदस्यता आवेदन में दिया गया या सौंपी गई कोई प्रस्तुति, आश्वासन या दस्तावेज के बारे में क्लीयरिंग सदस्य को पता था कि वे गलत थे या झूठे थे या किसी आर्थिक संबंध में झूठे बन गए हैं;
ग. क्लीयरिंग सदस्य या इसका कोई भी प्रधान अधिकारी, कर्मचारी, कान्ट्रैक्टर, एजेंट, प्रतिनिधि या क्लाइंट, इसके बिजनेस या कारोबारों को संचालित करने वाले प्रयोज्य नियम या विनियम के उल्लंघन की स्थिति में हैं;
घ. क्लीयरिंग सदस्य या इसका कोई भी कर्मचारी या एजेंट पर भारत के अंदर या बाहर धोखाधड़ी या बेईमानी में शामिल अपराध के संबंध में किसी कोर्ट में आरोप लगाया जाता है;”
11. एमसीएक्ससीसीएल नियमों के नियम 8.3.1 में, खंड (च) को निम्न से प्रतिस्थापित किया जाएगा :—
“विवाचन को प्रस्तुत करने या पालन करने में विफल रहना : यदि वह विवाचन को प्रस्तुत करने या पालन करने या किसी अवार्ड निर्णय या संबद्ध प्राधिकार या समिति या नियमों, उप नियमों और विनियमों के तहत संदर्भ के संबंध में किए गए विवाचनों को कार्यान्वित करने में अनदेखी या विफल रहता है या नकारता है।”
12. एमसीएक्ससीसीएल नियमों के नियम 8.3.3 के खंड (छ) में “इन उप-नियमों” शब्दों को “और विनियम” शब्दों से पूर्व “उप-नियमों” शब्दों से प्रतिस्थापित किया जाएगा।
13. एमसीएक्ससीसीएल नियमों के नियम 8.3.5 में “इन उप-नियमों” शब्दों को “में उल्लिखित” शब्दों के पश्चात “उप-नियमों” शब्दों से प्रतिस्थापित किया जाएगा।
14. एमसीएक्ससीसीएल नियमों के नियम 8.4 में “उप-नियमों के अध्याय-XII” में उल्लिखित शब्दों से पहले “डिफाल्ट की घोषणा के परिणाम के संदर्भ में प्रावधान” शब्दों को हटाया जाएगा।

मल्टी कमाडिटी एक्सचेंज क्लीयरिंग कारपोरेशन लिमिटेड

मुम्बई, दिनांक 5 सितम्बर 2019

भारतीय प्रतिभूति और विनियम बोर्ड ने प्रतिभूति संविदा (विनियम) अधिनियम, 1956 की धारा 9 के तहत इसकी शक्तियों का प्रयोग करते हुए अपने पत्र संख्या सेबी/एचओ/सीडीएमआरडी/डीआरएमपी/ओडब्ल्यू/पी/2019/21069/1 दिनांक 23 अगस्त, 2019 द्वारा मल्टी कमाडिटी एक्सचेंज क्लीयरिंग कारपोरेशन लिमिटेड ("एमसीएक्ससीसीएल") के उप-नियमों में निम्नलिखित संशोधनों को अनुमोदित किया है। एमसीएक्ससीसीएल के उप-नियमों के लिए संशोधन भारत के राजपत्र में इसके प्रकाशन की तारीख से प्रभावी होंगे।

एमसीएक्ससीसीएल के उप-नियमों में संशोधन

1. एमसीएक्ससीसीएल के उप-नियमों के निम्नलिखित उप-नियम 2.1.43 को हटाया जाएगा :-
 "अनुशासनात्मक कार्यवाही समिति - का अर्थ बोर्ड या संबद्ध प्राधिकार द्वारा गठित एक समिति है, जो संबद्ध प्राधिकार द्वारा इन उप-नियमों, समाशोधन या निपटारा प्रणाली में क्लीयरिंग सदस्य या किसी प्रतिभागी द्वारा नियमों या विनियमों या एक क्लीयरिंग सदस्य एक प्रतिभागी के रूप में अपने व्यवहार में किसी अभियोजित या कल्पित उल्लंघन, जिसमें संबद्ध प्राधिकार के कानूनी निर्देशों के जानबूझ कर अनुपालन न करना शामिल है, के संदर्भ में मूल्यांकन, विचार तथा/या निर्णय लेती हो।"
2. एमसीएक्ससीसीएल के उप-नियमों के उप-नियम 2.1.77 को निम्न से प्रतिस्थापित किया जाएगा :-
 प्रतिभूति संविदा (विनियम) (स्टाक एक्सचेंज तथा क्लीयरिंग कारपोरेशन) विनियम, 2018 के लिए संक्षिप्त रूप "एसईसीसी" है।
3. एमसीएक्ससीसीएल उप-नियमों के उप-नियम 5.8.1 के खंड (घ) में "अनुशासनात्मक कार्यवाही समिति या डिफॉल्टर समिति" शब्दों को "संबद्ध प्राधिकार या समिति" शब्दों से प्रतिस्थापित किया जाएगा।
4. एमसीएक्ससीसीएल उप-नियमों के उप-नियम 11.2.3 में "डिफॉल्टर समिति" शब्दों को "संबद्ध प्राधिकार या समिति" शब्दों से प्रतिस्थापित किया जाएगा।
5. एमसीएक्ससीसीएल उप-नियमों के उप-नियम 12.1.1 के निम्नलिखित खंड (च) को हटाया जाएगा :-
 "क्लीयरिंग सदस्य अपनी सदस्यता वचन को क्लीयरिंग कारपोरेशन को देने में उल्लंघन करता है"।
6. एमसीएक्ससीसीएल उप-नियमों के उप-नियम 12.1.1 में निम्नलिखित खंडों को हटाया जाएगा :-
 "(ज) क्लीयरिंग सदस्य द्वारा अपनी सदस्यता आवेदन में दिया गया या सौंपी गई कोई प्रस्तुति, आश्वासन या दस्तावेज के बारे में क्लीयरिंग सदस्य को पता था कि वे गलत थे या झूठे थे या किसी आर्थिक संबंध में झूठे बन गए हैं;
 (झ) क्लीयरिंग सदस्य या इसका कोई भी प्रधान अधिकारी, कर्मचारी, कौन्सिलर, एजेंट, प्रतिनिधि या क्लाइंट, इसके बिजनेस या कारबारों को संचालित करने वाले प्रयोज्य नियम या विनियम के उल्लंघन की स्थिति में है;
 (ञ) क्लीयरिंग सदस्य या इसका कोई भी कर्मचारी या एजेंट भारत के अंदर या बाहर धोखाधड़ी या बेईमानी में शामिल अपराध के संबंध में किसी कोर्ट में आरोप लगाया जाता है;"
7. एमसीएक्ससीसीएल उप-नियमों के उप-नियम 12.1 में खंड (ठ) के पश्चात निम्नलिखित खंड अंतःस्थापित किया जाएगा, नामतः- "(ड) कोई एक्सचेंज या क्लीयरिंग कारपोरेशन क्लीयरिंग सदस्य को डिफाल्टर के रूप में घोषित करता है।"
8. एमसीएक्ससीसीएल नियमों के उप-नियम 12.4.2 के निम्नलिखित खंड (ठ) को हटाया जाएगा :-
 "जिस सूचना से दावों को आमंत्रित किया जाएगा उसमें वह दावा भी होना चाहिए जो सबसे बड़ी राशि का हो तथा इसके अतिरिक्त सभी प्रासंगिक सूचनाएं होनी चाहिए।"

तारीख : 05.09.2019

स्थान : मुंबई

प्रवीण वी. कोटियान

प्रमुख-विधि

NSE CLEARING LIMITED

(Formerly known as National Securities Clearing Corporation Limited)

The draft amendments to NCL Rules, Byelaws and Regulations (Capital Market, Futures & Options, Currency Derivatives and Commodity segment) as given hereunder are published for criticism in accordance with the provisions of Section 23 of the General Clauses Act, 1897 in the Official Gazette of India. Any person having any observations on the proposed amendment to the said Regulations can send the same in writing to the undersigned at the Exchange Plaza, C-1, Block G, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051 within 15 days from the date of this publication in the Gazette. The observations received after the aforementioned date will not be considered when the draft amendment will be taken for consideration:-

- (1) It is proposed to replace the words 'Defaulters' Committee and SGF Utilization Committee wherever it/they appears/appear in the Rules, Byelaws and Regulations of the respective segments of NSE Clearing Limited with 'Relevant Committee' and the same is proposed to be defined as under:-

Quote

'Relevant Committee' shall mean the Membership Selection Committee or such other committee as stipulated by SEBI from time to time.

Unquote

- (2) Regulation 2.3 of both Futures & Options segment Regulations and Capital Market segment Regulations of NSE Clearing Limited are proposed to be amended to reflect the same categories of membership under new uniform membership structure across cash and equity derivative segments as under:-
(i) Regulation 2.3 of Futures & Options segment Regulations of NSE Clearing Limited is proposed to be amended as under:-

Quote

"Categories of F&O Clearing Members

The following categories of F&O Clearing Members are specified as under:

Self-Clearing Member

Self-Clearing Member means a member of a Specified Exchange who is admitted by the relevant authority on the Clearing Corporation as a F&O Clearing Member who may clear and settle deals executed on its own account or on account of its clients.

Clearing Member

Clearing Member means a member of a Specified Exchange who is admitted by the relevant authority on the Clearing Corporation as a F&O Clearing Member who may clear and settle the following deals:

- (a) Deals executed on its own account or on account of its clients.
(b) Deals executed by other members of a Specified Exchange on their own account or on account of their clients

Professional Clearing Member

Professional Clearing Member means a Clearing Member who is admitted by the relevant authority and who may clear and settle deals executed by its constituents.

Unquote

- 2 (ii) Regulation 2.3 of Capital Market segment Regulations of NSE Clearing Limited is proposed to be amended as under:-

Quote

"Categories of CM Clearing Members

The following categories of CM Clearing Members are specified as under:

Self-Clearing Member

Self-Clearing Member means a member of a Specified Exchange who is admitted by the relevant authority on the Clearing Corporation as a CM Clearing Member who may clear and settle deals executed on its own account or on account of its clients.

Clearing Member

Clearing Member means a member of a Specified Exchange who is admitted by the relevant authority on the Clearing Corporation as a CM Clearing Member who may clear and settle the following deals:

- (a) Deals executed on its own account or on account of its clients.
- (b) Deals executed by other members of a Specified Exchange on their own account or on account of their clients

Professional Clearing Member

Professional Clearing Member means a Clearing Member who is admitted by the relevant authority and who may clear and settle deals executed by its constituents.

Custodian Clearing Member

Custodian Clearing Member means and includes Custodians, Banks, Trust Companies and other firms admitted by the relevant authority as CM clearing members who may act for self-clearing members and their constituents in giving and taking delivery of securities, transfer deeds and any other documents by effecting delivery and in making and receiving payment for the same in the manner prescribed in these Regulations herein after called Custodian Clearing Members.”

Unquote

2(iii) As a consequence of the above amendment,

(a) the consequential changes to reflect the above membership categories are proposed to be carried out in the Rules, Byelaws and Regulations of the respective segments to replace the old categorization with the new categorization of the membership including re-numbering wherever required; and

(b) references to Participant Clearing Member category and the relevant provisions pertaining to the said category are proposed to be deleted throughout the Rules, Byelaws and Regulations of the respective segments since such category is not applicable.

3. Regulation 10.10 of Chapter 10 of Capital Market segment Regulations of NSE Clearing Limited is proposed to be modified to remove the restriction on clearing members to offer in auction securities in which they have short delivered :-

Quote**10.10 Bids and Offers**

Unless otherwise specified by the relevant authority, CM clearing members may make a bid or offer during closing out. The relevant authority shall be at liberty at its discretion to refuse any bid or offer given.

Unquote

4. (i) NSE Clearing (Commodity Derivatives segment) Regulations, 2018 are proposed to be amended to facilitate dematerialized settlement of Agriculture and Agri-processed commodities through approved repositories as under:-

Quote

The amendments proposed to NSE Clearing (Commodity Derivatives segment) Regulations, 2018 are given in Annexure.

Unquote

For NSE Clearing Limited

VIKRAM KOTHARI
Managing Director

NSE CLEARING LIMITED
COMMODITY DERIVATIVE SEGMENT
REGULATIONS
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INTRODUCTION

The Regulations framed hereunder shall be known as NSE Clearing (Commodity Derivative segment) Regulations, 2018

APPLICABILITY

These Regulations shall be applicable to all Clearing Members dealing in Commodity Derivative segment of the Clearing Corporation unless the context requires otherwise or unless otherwise specified by the relevant authority from time to time.

1. DEFINITIONS

Unless in the context it is explicitly stated otherwise, all words and expressions used herein but not defined, and defined in the following, shall have the meanings respectively assigned to them therein:

- Securities Contracts (Regulation) Act, 1956 and/or Rules thereunder
- Securities and Exchange Board of India Act, 1992 and/or Rules thereunder
- The Companies Act, 2013
- The Depositories Act, 2013
- Rules, Bye-laws and/or Regulations of National Stock Exchange of India Limited
- Rules and Bye-Laws of Commodity Derivative Segment of National Securities Clearing Corporation Limited

In case a term is defined in more than one Act then its meaning as defined in that Act or statute which precedes in the above order shall prevail, unless in the context it is explicitly stated otherwise.

1.1 American style option contract

American Style option contract means an option contract which may be exercised on any day, on or before the expiration day.

1.2 Assignment

Assignment means an allocation of an option contract which is exercised, to a short position in the same option contract, at the same strike price, for fulfillment of the obligation, in accordance with the procedure as may be specified by the Relevant Authority, from time to time.

1.3 At the money or Near the money strike price

‘At the money or Near the money’ strike price is the strike price of the option contract at or within such range of the underlying as may be specified by the Relevant Authority from time to time.

1.4 Books of Accounts, Records and Documents

Books of accounts, records and documents include books of accounts, records and documents which are required to be maintained under Chapter 8 of the Commodity Derivative Segment Regulations and records maintained in a computer or in any magnetic form.

1.5 Cash Settled Derivatives contract

Cash settled Derivatives Contract means a Derivatives Contract which shall be performed by cash settlement rather than by delivery of the underlying security.

1.6 Clearing Bank

Clearing Bank is such bank as the Clearing Corporation may appoint to act as a funds settling agency, for the collection of margin money for all deals cleared through the Clearing Corporation and any other funds movement between clearing members and the Clearing Corporation and between clearing members as may be directed by the Clearing Corporation from time to time.

1.7 Constituent/Client

A client/Constituent means a person, on whose instructions and on whose account the Clearing Member clears and settles deals. For this purpose, the term “Client” shall include all registered constituents of trading members of Specified Exchange.

Explanation 1: The terms ‘Constituent’ and ‘Client’ are used interchangeably in the Byelaws, Rules & Regulations and shall have the same meaning assigned herein.

Explanation 2: For the purpose of Chapters IX, X & XI of the Bye-laws, the term ‘Constituent’ in relation to trades shall also include a trading member where such trades done on the Specified Exchange are cleared and settled on his behalf by a Clearing Member.

1.8 Closing buy transaction

Closing buy transaction means a buy transaction which will have the effect of partly or fully offsetting a short position.

1.9 Closing sell transaction

Closing sell transaction means a sell transaction which will have the effect of partly or fully offsetting a long position.

1.10 Contract Month

Contract month means the month in which the Clearing Corporation rules require a Derivatives Contract to be finally settled.

1.11 Contract Value

Contract Value is the value arrived at by multiplying the strike price of the option contract with the regular/market lot size.

1.12 Commodities

“Commodities” means such goods with respect to which derivative contracts are permitted by SEBI for dealings in Commodity Derivative Segment.

1.13 Commodity Derivative

“Commodity Derivative” means “commodity derivative” as defined under section 2 (bc) of Securities Contracts (Regulation) Act, 1956 or as amended from time to time and includes such other contract as may be permitted by SEBI to trade under ‘Commodity Derivatives Segment’

1.14 Commodity Derivative Segment Regulations

Commodity Derivative segment Regulations mean the NSE Clearing (Commodity Derivative Segment) Regulations and includes business rules, code of conduct and such other procedures, circulars, directives and orders as issued by the Relevant Authority from time to time thereunder.

1.15 Exercise

Exercise means the invocation of right, in accordance with and subject to Rules, Byelaws and Regulations of Clearing Corporation, by the option holder.

1.16 Exercise or Strike Price

Exercise Price or Strike Price is the price per unit of trading, at which the option holder has the right either to buy or sell the underlying, upon exercise of the option.

1.17 Exercise Settlement Price

Exercise Settlement price, in respect of Exercise Settlement, is the price as may be decided by the relevant authority from time to time.

1.18 Exercise Settlement Value

Exercise Settlement value, in respect of Exercise Settlement means the difference between the strike price and the exercise settlement price for each unit of trading of the option contract for the purpose of settlement.

1.19 Exercise Style

Exercise Style of an option refers to the price at which and/or time as to when the option is exercisable by the holder. It may either be an American style option or an European style option or such other exercise style of option as the Relevant Authority may prescribe from time to time.

1.20 Expiration Day

Expiration day means the day on which the final settlement obligations are determined in a Derivatives Contract

1.21 Expiration time

Expiration time is the close of business hours on the expiration day of the option contract or such other time as may be specified by the Relevant Authority from time to time. In this context, business hours shall include the time specified by the Specified Exchange, after the close of the trading hours, for the purpose of exercising option contracts.

1.22 European style option contract

European Style option contract means an option contract, which may be exercised on the expiration day on or before the expiration time.

1.23 Final Settlement Price

Final Settlement Price in respect of a contract means Due Date Rate (DDR) determined by the Clearing Corporation for settling that contract in accordance with the method specified in the contract specification or such other method as may be notified by the Relevant Authority.

1.24 Futures Contract

Futures Contract means a legally binding agreement to buy or sell the underlying security in the future.

1.25 Futures style premium settlement

Futures Style premium settlement means premium settlement of option contracts based on settlement system as specified by the relevant authority for futures contracts and will include initial margins and mark to market settlement on long and short option positions, in accordance with the Regulations as may be specified by the Relevant Authority from time to time.

1.26 In the money strike price

In the money strike price, in case of Put Option, is the strike price of the option contract which is above 'At the money or Near the money' strike price.

In the money strike price, in case of Call Option, is the strike price of the option contract which is below 'At the money or Near the money' strike price.

1.27 Last Trading Day

Last Trading Day means the day up to which a Derivatives Contract is available for trading in the specified exchange.

1.28 Long Position

Long Position in a Derivatives Contract means outstanding purchase obligations in respect of a Derivatives Contract at any point of time.

1.29 Members' Open Position

Members open position means the sum of long and short positions of the member and his constituent in any or all of the Commodity Derivatives Contracts outstanding with the Clearing Corporation.

1.30 Notification, Notice Or Communication

It refers to any such intimation that can be served by the Clearing Corporation to the Clearing Member at ordinary business address and/or ordinary place of residence and/or last known address of the party in any one or more or all of the following ways:-

- (a) delivering it by post
- (b) sending it by registered post
- (c) sending it under certificate of posting
- (d) sending it by express delivery post/courier services
- (e) sending it by telegram
- (f) affixing it on the door at the last known business or residential address
- (g) advertising it at least once in any prominent daily newspaper
- (h) sending a message through the Trading System of specified exchange
- (i) an electronic mail or fax

1.31 Open Interest

Open interest means the total number of Commodity Derivatives Contracts that have not yet been offset and closed by an opposite transaction nor fulfilled by delivery of the cash or underlying commodities. For calculation of open interest only one side of the Derivatives Contract is counted.

1.32 Outstanding Obligation

Outstanding obligation means the obligation which has neither been closed out nor been settled.

1.33 Opening buy transaction

Opening buy transaction means a buy transaction which will have the effect of creating or increasing a long position.

1.34 Opening sell transaction

Opening sell transaction means a sell transaction which will have the effect of creating or increasing a short position.

1.35 Option Class

Option contracts of the same type and style that cover the same underlying constitute an option class.

1.36 Option contract

Option Contract is a type of Commodity Derivatives Contract which gives the buyer/holder of the contract the right (but not the obligation) to buy and/or sell the underlying commodity at a predetermined price within or at the end of a specified period. The option contract, which gives a right to buy, is called a Call Option and the option contract that gives a right to sell is called a Put Option.

1.37 Option Holder

Option holder is a person who has bought an option contract.

1.38 Option Seller

Option seller is a person who has sold an option contract.

1.39 Out of the money strike price

Out of the money strike price, in case of Put Option, is the strike price of the option contract which is below 'At the money or Near the money' strike price.

Out of the money strike price, in case of Call Option, is the strike price of the option contract which is above 'At the money or Near the money' strike price.

1.40 Premium

Premium is the price which the buyer of the option pays to the seller of the option for the rights conveyed by the option contract.

1.41 Premium style premium settlement

Premium Style Premium Settlement means payment of full option premium by the buyer/holder of an option contract to the seller of the option contract, on purchase of such option contract by the buyer/holder.

1.42 Series of Options

Series of Options means all options of the same class having the same exercise price and expiration day.

1.43 Settlement Amount

Settlement amount shall include premium settlement amount and/or exercise settlement amount and/or such other amount as may be specified by the Relevant Authority from time to time.

1.44 Settlement Date

Settlement date means the date on which outstanding obligations in a Derivatives Contract are required to be settled as provided in these Regulations.

1.45 Settlement Price

Settlement price, in respect of Exercise Settlement is the price as may be decided by the Relevant Authority from time to time.

1.46 Short Position

Short Position in a Derivatives Contract means outstanding sell obligations in respect of a Derivatives Contract at any point in time.

1.47 Specified Exchange

"Specified Exchange" or "specified exchange" or "Concerned Exchange" means a recognised stock exchange under the Securities Contracts (Regulation) Act, 1956 dealings on which may be admitted to be cleared and settled by the Clearing Corporation in its Commodity Derivative Segment subject to such terms and conditions as may be specified from time to time by the relevant authority.

1.48 Specified period

Specified period for an option contract is the time period between the start day and the expiration time.

1.49 Type of option

Type of option means the classification of an option as either a put or a call.

1.50 Underlying

Underlying is one with reference to which derivative contracts are permitted to be traded by the Specified Exchange from time to time.

1.51 Underlying Commodity

Underlying commodity means a commodity with reference to which a Derivatives Contract is permitted to be traded on the Specified Exchange from time to time.

1.52 Vault Service Provider

'Vault Service Provider' means an agency approved and accredited by the Clearing Corporation for the storage and preservation of a valuable underlying such as precious metals, gems etc.

1.53 Warehouse

"Warehouse" means and includes any place of storage, godown, tank, silos, store house, vault, etc. where commodities are capable of being received and stored.

1.54 Warehouse/Vault Receipt

“Warehouse/Vault Receipt” means a document, whether in physical or electronic form evidencing a commodity being held in an approved or accredited warehouse.

1.55 Warehouse Service Provider

‘Warehouse Service Provider’ means an agency approved and accredited by the Clearing Corporation for the storage and preservation of any Underlying/goods.

2. COMMODITY DERIVATIVE SEGMENT

2.1 Specified Exchange

The relevant authority may from time to time admit transactions executed in specified exchanges after obtaining prior approval of SEBI.

For the purpose of these regulations, the following exchange is specified:

National Stock Exchange, which exchange is hereinafter referred to as NSE for the purposes of these Regulations.

2.2 F&O Clearing Members

“Clearing Member” means a member of the Clearing Corporation and includes all categories of clearing members as may be admitted as such by the Clearing Corporation to the Commodity Derivative Segment.

2.3 Categories of Clearing Members

The following categories of Clearing Members are specified as under:

TM Clearing Member

TM Clearing member means a member of a Specified Exchange and who is admitted by the relevant authority on the Commodity Derivative Segment of the Clearing Corporation as a Clearing Member who may clear and settle the following deals:

- (a) Deals executed on its own account or on account of its clients.
- (b) Deals executed by other members of a Specified Exchange on their own account or on account of their clients

Professional Clearing Member

Professional Clearing Member means a Clearing Member who is admitted by the relevant authority and who may clear and settle deals either on account of its constituents and on account of members of the Specified Exchange and or their constituents.

Self-Clearing member

Self-Clearing member means a member of a Specified Exchange and who is admitted by the relevant authority on the Clearing Corporation as a Clearing Member who may clear and settle deals executed on its own account or on account of its clients.

2.4 Collection of Commodities Transaction Tax

The Clearing Corporation shall, on behalf of the Exchange, collect the Commodities Transaction Tax. Every Clearing Member shall remit to the Clearing Corporation the Commodities Transaction Tax payable by the Trading Member of the Exchange in respect of the transactions entered into by him on the Exchange either on his own behalf or on behalf of his Constituents and cleared and settled through such Clearing Member in accordance with the procedures prescribed by the Relevant Authority from time to time for the calculation and collection of such tax. Any Clearing Member who fails to make the payment in accordance with the procedures, prescribed by the Relevant Authority from time to time, would be liable for such consequences of non-payment including but not limited to withdrawal of clearing facility, appropriation from the monies of the Clearing Member, withholding of pay-outs, etc. as may be prescribed from time to time.

3. PROVISIONS REGARDING CLEARING & SETTLEMENT OF DERIVATIVES CONTRACT

Deals, Transactions and Dealings

For the purpose of these Regulations the terms “deals”, “transactions”, and “dealings” shall have one and the same meaning unless the context indicates otherwise.

3.1 Clearing and Settlement Regulations Form Part of Contracts

The Regulations from time to time in force relating to any procedure for clearance and settlement of Derivatives Contract and the resolutions, notices, directions and decisions of the relevant authority for the time being in force shall be a part of the terms and conditions of every Derivatives Contract.

3.2 Reporting of Derivatives Contract

Derivatives Contract executed by the Clearing Members shall be reported to the Clearing Corporation in such manner and form and within such time as may be specified from time to time by the relevant authority.

3.3 Clearing and Settlement of Derivatives Contract

Clearing and Settlement of transactions on Derivatives Contract shall be cleared and settled through the Clearing Corporation by such process or processes as the relevant authority may from time to time prescribe.

3.4 Change in Settlement Procedure

It shall be competent for the relevant authority to order at any time that any or all deals entered into or to be entered into shall be settled by any other suitable processes as may be decided from time to time and every transaction shall be subject to any such change in settlement procedure or process.

3.5 Notices and Directions

All Clearing Members shall comply with the instructions, resolutions, orders, notices, directions and decisions of the relevant authority in all matters connected with the operations of the Clearing Corporation.

3.6 False or Misleading Statements

The relevant authority may fine, suspend or expel a Clearing Member who makes any false or misleading statement in the Clearing Forms required to be submitted in conformity with these Regulations or any resolutions, orders, notices, directions and decisions of the relevant authority thereunder.

3.7 Charges for Clearing

The relevant authority shall from time to time prescribe the scale of clearing charges for the clearing and settlement of transactions through the Clearing Corporation.

3.8 Clearing Corporation Bills

The Clearing Corporation shall periodically render bills for the charges, clearing and such other fees, fines and other dues as may be payable by Clearing Members to the Clearing Corporation on account of transactions cleared and settled through the Clearing Corporation and debit the amount payable by such members to their clearing accounts.

3.9 Liability Of The Clearing Corporation

No liability shall attach either to the Clearing Corporation or to the relevant authority or any employees/agents of the Clearing Corporation by reason of anything done or omitted to be done by the Clearing Corporation in the course of its operations.

3.10 Clearing Days And Scheduled Times

The relevant authority shall from time to time fix the various clearing days including the pay-in and pay-out days and the scheduled time to be observed in connection with the clearing and settlement operations of any or all Derivatives Contracts. The relevant authority may specify different schedules for any or all Clearing Members from time to time.

3.11 Alteration Of the Clearing Days And Scheduled Times

The relevant authority may at any time curtail, extend, alter or postpone from time to time the entire clearing or any or all of the various clearing days and scheduled times in respect of any or all of the Derivatives Contract.

3.12 Open Positions

All contracts for the purchase or sale of any Derivatives Contract shall remain open and in force and shall continue to be binding upon the Clearing Members and its constituents until liquidated by offsetting contract or such other method as may be specified by the relevant authority from time to time; provided however all open positions shall cease to exist after expiration day.

3.13 Offset not automatic

In case of deals in Derivatives Contracts having the same contract month and/or such other specifications as may be issued by the relevant authority from time to time, and pertaining to proprietary account, the buy transactions and sell transactions shall offset each other automatically to the extent possible. In the case of deals in Derivatives Contracts having the same contract month and/or such other specifications as may be issued by the relevant authority from time to time, and pertaining to Constituent account, the buy transactions and sell transactions shall not offset each other unless the deals are specifically marked for offsetting at the time of entering into the trade for the same Constituent.

3.14 Payment by Clearing Member

The Clearing Corporation shall treat all monies paid by Clearing Members as monies paid to it by a principal and such monies in the hands of Clearing Corporation shall not be impressed with a trust or other equitable interest. Any payment by Clearing Corporation to any Clearing Member shall constitute good and sufficient discharge.

4. MARGINS AND CLEARING/EXPOSURE LIMITS

4.1 Margin

The Clearing Corporation shall from time to time specify margin requirements for the Clearing Members including initial margin on open positions through risk based algorithms. The Clearing Members shall furnish and maintain such margins in such form and within such time as specified by the Clearing Corporation. Every Clearing Member has a continuing obligation to maintain margins at the level and for the period stipulated by the Clearing Corporation from time to time. The Clearing Corporation shall segregate in such manner as it may deem fit the margins deposited by the Clearing Member on its own account and on behalf of Constituents.

4.2 Mode of payment of Margin

Clearing Member shall be required to furnish margins either in the form of cash, deposit receipts, guarantee of a bank(s) and securities approved by the relevant authority or such other mode and subject to such terms and conditions as the relevant authority may specify from time to time.

4.3 Withholding Margin

The Clearing Corporation shall at its discretion or on the instructions of the specified exchange withhold/release of any margin furnished by that Clearing Member to the Clearing Corporation for any period required by the Specified Exchange/Clearing Corporation; If such a Clearing Member has open positions he must continue to pay any further margin or other obligations without taking into account any margin so withheld by the Clearing Corporation.

4.4 Additional margin

If in the opinion of the Clearing Corporation sudden fluctuations of any Market operated by the Specified Exchange are apparent, the Clearing Corporation may call for additional margin. Additional margin shall be payable in the manner and within such time as may be specified from time to time.

4.5 Margin from the Constituents

- 4.5.1 The Clearing Members shall demand from its constituents the margin monies which the clearing member has to provide under these Regulations in respect of dealings done by the Clearing Members for such constituents.
- 4.5.2 The Clearing Members shall clear and settle deals in derivatives contracts on behalf of the constituents only on the receipt of such minimum margin as the relevant authority may decide from time to time, unless the constituents already has an equivalent credit with the clearing member. The clearing member may collect higher margins from constituents, as he deems fit.
- 4.5.3 The Clearing member as and when required by the Clearing Corporation shall inform the Clearing Corporation, specifically the amount deposited as margin on behalf of his constituents.
- 4.5.4 The Clearing Member shall not allow the utilisation of margin monies paid by one client to the margin money dues of his own account or of other clients'.

4.6 Payment of Margins

The clearing corporation shall treat all the margin and other monies paid by the clearing member as having been paid by and on his own behalf and appropriate the same accordingly for such purposes as it may deem fit under the Byelaws and Regulations;

Provided that, the clearing member may identify and segregate margin payments made by him to Clearing Corporation as to whether it is on his own account or on account of his clients'.

4.7 Statement of Shortage/Default in payment of margins

- 4.7.1 In the event of non-performance of clearing member of his obligations or his being declared as defaulter, such clearing member shall submit to the clearing corporation, a statement containing a list of client codes, names of the clients, open positions of each client, client-wise margin amount due and paid to the clearing corporation, client-wise settlement amount payable to/receivable from the clearing corporation and client-wise settlement amount paid to the clearing corporation. The clearing member shall also specifically attribute such failure/shortage/default in payment of margins and/or settlement dues either to himself or his clients' and the amount of such shortage/default.
- 4.7.2 The Clearing Corporation shall use such statement provided by the Clearing Member for appropriating the margin monies with the Clearing Corporation towards the dues of the Clearing Corporation to the extent of shortage/default of obligations and for the purpose of settling the amounts due to clients', as the case may be, unless the contrary is proved to the satisfaction of the Clearing Corporation/Defaults Committee.
- 4.7.3 The Clearing Corporation shall appropriate the margin monies with the Clearing Corporation for settling the dues of the clients' before settling the dues of the Clearing Members.
- 4.7.4 Notwithstanding the above, in the event of any Clearing Member being declared as a defaulter, the statement, furnished by the Trading Member to such Clearing Member, providing the amounts paid by Trading Member on his own account or is paid on behalf of his clients' shall be conclusive and binding on himself, his Clearing Member and all his clients' unless the contrary is proved to the satisfaction of the Clearing Corporation/Defaults Committee.

4.8 Clearing/Exposure Limit

- 4.8.1 The Clearing Corporation may at any time in its absolute discretion :
 - (a) Prescribe maximum long and or short open positions for all or any of the Clearing Members and their Clients including quantity and or value for any or all Derivatives Contracts;
 - (b) Prescribe clearing/exposure limit for a market type either in quantity or value or as a percentage of the base capital of the Clearing Member or a combination of any of the above or such other method as the relevant authority may decide from time to time for all or any of the Clearing Members;
- 4.8.2 The Clearing Corporation may at any time impose, increase, reduce or remove any clearing/exposure limits pursuant to the above regulations by notifying the Specified Exchange and the relevant Clearing Member(s). Any imposition, removal or change in clearing/exposure limits so notified shall take effect as stipulated in such notification.
- 4.8.3 The Clearing Corporation may at its discretion allow Clearing Members to increase their clearing/exposure limit on deposit of additional base capital with the Clearing Corporation. The Clearing Corporation shall specify from time to time the method of calculation of base capital and mode of deposit of additional base capital.

4.9 Exceeding clearing/exposure limits

- 4.9.1 If a Clearing Member exceeds any clearing/exposure limit imposed, the Clearing Corporation shall be entitled to require the Clearing Member to close out open positions or take such other measures as may be specified by the relevant authority from time to time, which may in the opinion of the Clearing Corporation result in the Clearing Member complying with the clearing/exposure limits.
- 4.9.2 If a Clearing Member fails to comply with any requirement of the Clearing Corporation, the Clearing Corporation may close out such open positions on the Clearing Member's behalf or take such other measures required to comply with the clearing/exposure limits including withdrawal of trading and/or clearing facility.

5. SETTLEMENT OF FUTURES CONTRACTS

5.1 Daily Mark to Market Settlement for Futures Contracts

- 5.1.1 All open positions whether long or short of a Clearing Member in Futures Contracts shall be deemed to have been closed out at the daily settlement price, and such member shall be liable to pay to, or entitled to collect from the Clearing Corporation any loss or profit, as the case may be, represented by the difference between the price at which the Futures Contract was bought or sold, or the settlement price of the previous trading day and the daily settlement price of the transaction at the end of the trading day, as the case may be.
- 5.1.2 After making such settlement with the Clearing Corporation, such member shall be deemed long or short, such Futures Contract, as the case may be, at the daily settlement price. The daily settlement obligation shall be paid only in cash.

5.2 Daily Settlement Price

Daily settlement price shall be the closing price of the Futures Contracts for the trading day or such other price as may be decided by the relevant authority from time to time.

5.3 Advance call for daily mark to mark settlement

If the market conditions or price fluctuations are such that the relevant authority deems it necessary, it may call upon the Clearing Members which in its opinion are affected, to deposit with the Clearing Corporation by such time as specified by the relevant authority, the amount of funds that it estimates will be needed to meet such settlements as may be necessary.

5.4 Settlement of Futures Contracts which are closed out

Futures Contracts which are closed out by offsetting positions shall be settled as per the procedure prescribed by the relevant authority from time to time.

5.5 Final Settlement

All open positions of Clearing Members in the Futures Contracts at the close of trading hours on the last trading day shall be settled as per the procedure specified by the relevant authority from time to time.

On completion of final settlement, open positions in such futures contracts shall ipso facto cease to exist.

5.6 Final Settlement Price

The Final Settlement Price shall be the price as may be specified by the relevant authority from time to time.

5.7 Clearing Forms

All Clearing Forms shall be as specified in the relative regulation or in such other form or forms as the relevant authority may from time to time prescribe in addition thereto or in modification or substitution thereof

5.8 Daily and Final Settlement Obligations Statements

Clearing Corporation shall generate and provide to each Clearing Member, Daily and Final Settlement Obligations Statements pertaining to transactions in different kinds of Future Contracts.

5.9 Mode of Payment

All Clearing Members shall, on the designated pay-in day, have clear balance of funds in their clearing account to the extent of their funds obligation; provided however the relevant authority may specify different mode of payment of funds for all or any of the Clearing Members.

5.10 Receipt of Funds

The Clearing Bank shall credit the clearing accounts of the Clearing Members who are due to receive funds as per the instructions of the Clearing Corporation. All funds due to a Clearing Member shall normally be credited to his account unless (a) the Clearing Member does not perform his obligations to the Clearing Corporation or (b) it is otherwise ordered by the relevant authority;

Provided however the relevant authority may specify different mode of funds credit for all or any of the Clearing Members.

5.11 Settlement mode

5.11.1 All open positions of Clearing Members in the Futures Contracts at the close of trading hours on the last trading day may be either cash settled or physically settled as per the procedure specified by the relevant authority from time to time.

5.11.2 Physical settlement

5.11.2.1 Obligations in an equivalent number of units of the underlying commodity delivered either in physical form or dematerialised form as stated hereunder, conveyed by a futures contract, shall be created on or after the day of expiry in accordance with the positions outstanding on the expiry day.

5.11.2.2 The Clearing Member who has long/short positions shall be liable to fulfil the delivery or payment obligations, so created, as the case may be, to Clearing Corporation. On fulfilment of such obligation, he shall be entitled to receive funds or underlying commodities, as the case may be, from Clearing Corporation.

5A. SETTLEMENT OF OPTION CONTRACTS

5A.1 Daily Premium Settlement for Option contracts

Clearing Members with premium payable positions are obliged to effect pay-in to Clearing Corporation of the premium value at which the option contracts were purchased, towards settlement. Clearing Members with premium receivable positions are entitled to receive the premium value at which the option contracts were sold, towards settlement, from Clearing Corporation.

- (a) Mode of premium settlement: The daily premium settlement obligation shall be paid in cash or such other form as may be specified by the relevant authority.
- (b) Style of premium settlement: The style of premium settlement may be premium style or future style or such other style as may be specified by the relevant authority from time to time. Clearing Corporation will specify the style of premium settlement for all option contracts that are to be cleared and settled. Clearing Corporation will, from time to time, specify the method for premium settlement for one or more styles of premium settlement.
- (c) Method of premium settlement: The relevant authority will from time to time specify the method of premium settlement, in accordance with the specified style of premium settlement. Clearing Corporation will arrive at the premium settlement amount payable or receivable by the respective Clearing Members at the end of each trading day or such other time, as may be specified by the relevant authority from time to time, for all option contracts admitted, in accordance with the method of premium settlement, and communicate the same to the Clearing Members. Accordingly, such Clearing Members will pay or receive such premium settlement amount towards premium settlement to or from Clearing Corporation.
- (d) Time of premium settlement: The relevant authority will specify from time to time the day and time when Premium settlement will take place.

5A.2 Exercise

(a) Type of Exercise

Type of exercise for an option contract may be Voluntary or Automatic or such other type as may be specified by the relevant authority from time to time.

1. Voluntary Exercise

Voluntary exercise is the exercise of option contract by a Clearing Member, at his volition, subject to the Rules, Bye-laws and Regulations.

2. Automatic Exercise

Automatic exercise is the exercise of all in-the-money strike price option contracts, which are automatically deemed to be irrevocably exercised, on the Expiration date, subject to the Rules, Bye-laws and Regulations.

Notwithstanding the foregoing, if a Clearing Member desires not to exercise an in-the-money strike price option contract, it shall be the responsibility of such Clearing Member to give appropriate instructions in accordance with Regulation 5A.2(c).

Exercise of an option contract at a strike price other than in-the money strike price shall be at the discretion of the relevant authority and subject to the requirements as may be specified by the relevant authority from time to time.

(b) Exercise Mechanisms

Exercise mechanism may be Interim or Final or such other mechanism as may be specified by the relevant authority from time to time.

1. Interim Exercise

Interim Exercise is the exercise of an option contract at any time prior to expiration day or such other day and/or time as may be specified by the relevant authority from time to time. Interim exercise is voluntary. Settlement of such contracts shall be in accordance with the provisions specified by the relevant authority for Exercise Settlement in Regulation 5A4.1.

2. Final Exercise

Final Exercise is the exercise of an option contract on or before expiration time on expiration day or such other day and/or time as may be specified by the relevant authority from time to time. Final exercise may be automatic or voluntary. Settlement of such contracts shall be in accordance with the provisions specified by the relevant authority for Exercise Settlement in Regulation 5A4.1.

(c) Exercise procedure

The procedure for exercise of an option contract by a Clearing Member shall be as specified hereinafter or such other procedure as may be specified by the relevant authority from time to time:

1. Notice of exercise to Clearing Corporation

The relevant authority may specify from time to time the provisions regarding exercise of option contracts including:

- (a) Type of members who may exercise
- (b) Facility/system for tendering notice of exercise
- (c) Day/s when exercise notice may be submitted
- (d) Minimum lot size of option contracts which may be exercised
- (e) Time period within which exercise notice may be submitted
- (f) Maximum number of option contracts which may be exercised on a day or within a period, for a member or for the market
- (g) Strike price (i.e. in-the-money and/or at-the-money and/or out-of the-money) at which the option contract may be exercised
- (h) Such other conditions as it deems fit

Notice for exercise shall be deemed to be on long positions in an option contract at the close of trading hours on the day the notice of exercise has been tendered, or such other day/time as may be specified by the relevant authority from time to time.

No Clearing Member shall revoke or modify any exercise notice so submitted except as provided under the Rules, Bye-laws and Regulations.

2. Acceptance of Exercise notice by Clearing Corporation

An exercise notice which has been tendered in accordance with the provisions as detailed in Regulation 5A.2(c) 1 may be considered for acceptance by the relevant authority. Such exercise notices received by the relevant authority shall be declared as valid or invalid after processing, at the close of trading hours on the day on which the exercise notice has been tendered, or on such other day or time, as may be specified by the relevant authority from time to time. All valid exercise notices will be accepted by the relevant authority and invalid exercise notices shall stand automatically rejected by the relevant authority. Notwithstanding the foregoing, the relevant authority may, in the interest of market, declare an exercise notice as invalid where:

2.1 the entity who has tendered a notice for exercise has no open long positions in that contract, at the time when such notice is processed by the relevant authority.

2.2 any other reason.

3. Revocation of an exercise notice

Unless permitted otherwise by the relevant authority, all valid exercise notices shall be irrevocable.

4. Restrictions on Exercise

The relevant authority shall have an authority to impose such restrictions on exercise in any option contract as it may deem necessary in the interest of maintaining a fair and orderly market in the option contract or in the underlying securities or otherwise may deem advisable in the public interest or for the protection of investors or any other reason as the relevant authority may deem fit. Any exercise in contravention of such restriction shall be automatically invalid and not enforceable.

5A.3 Assignment

(a) Assignment methods

Assignment methods may be Proportional or Random or such other methods as may be specified by the relevant authority from time to time.

1. Proportional Assignment

Proportional Assignment is an allocation of exercised option contracts, proportionally, in market lots, as specified for the relevant option contract, to one or more than one short positions in the option contract with the same series, in accordance with the procedure as may be specified by the relevant authority from time to time.

2. Random Assignment

Random Assignment is an allocation of exercised option contracts, randomly, in market lots, as specified for the relevant option contract, to one or more than one short position, in the option contract with the same series, in accordance with the procedure as may be specified by the relevant authority from time to time.

(b) Assignment procedure

The relevant authority may specify the assignment procedure, including day and/or time when assignment will take place.

Exercise notices accepted by Clearing Corporation as valid will be assigned in accordance with the Assignment procedure, to short positions in the option contracts, with the same series, to the Constituents of Clearing Members or Trading Members or Constituents of Trading Members, for fulfilment of obligations. Such short positions, to which the exercised option contracts are assigned, shall be termed as assigned option contracts for the purpose of settlement. The assigned Member shall be liable to fulfil his obligation in accordance with the Exercise settlement procedure specified for the option contract and the Rules, Byelaws and Regulations.

5A.4 Exercise Settlement

Exercise settlement may be Interim or Final. The Relevant Authority may specify from time to time the procedure, mode, method, days, time etc. for Interim Exercise settlement and Final Exercise settlement.

5A4.1 Exercise Settlement

The Relevant Authority may specify the exercise type and mechanism of settlement from time to time.

5A4.1.1 Mode of settlement: Mode of settlement may be either cash settled or physically settled or such other mode as may be specified by the Relevant Authority from time to time.

5A4.1.2 Method of settlement:

4.1.2.1 Cash settlement:

4.1.2.1.1 An exercised option contract and the short position, to which such exercised option contract has been assigned, shall be settled at the exercise settlement price or such other price, as may be specified by the Relevant Authority from time to time.

4.1.2.1.2 Clearing Member, who has been assigned the option contract, shall be liable to pay to or entitled to receive from Clearing Corporation the exercise settlement value for each unit of the option contract, as the case may be, subject to the Rules, Byelaws and Regulations.

4.1.2.1.3 Clearing Member, who has exercised the option contract, shall be entitled to receive from, or liable to pay to, Clearing Corporation the exercise settlement value for each unit of the option contract, as the case may be, subject to the Rules, Byelaws and Regulations.

4.1.2.2 Physical settlement

4.1.2.2.1 Obligations in an underlying commodity delivered either in physical form or dematerialised form as stated hereunder, conveyed by an exercised option contract, shall be created on or after the day of exercise, in accordance with the option type and series, at the strike price.

4.1.2.2.2 Obligations in an equivalent number of units of the underlying commodity, conveyed by an assigned option contract, shall be created on or after the day of exercise, in accordance with the option type and series, at the strike price.

4.1.2.2.3 The Clearing Member who has exercised or has been assigned, as the case may be, the option contract, shall be liable to fulfil the delivery or payment obligations, so created, as the case may be, to Clearing Corporation. On fulfilment of such obligation, he shall be entitled to receive funds or underlying commodities, as the case may be, from Clearing Corporation.

5A4.1.3 Day and Time of Settlement:

The Relevant Authority may specify from time to time the day and time when the settlement shall take place.

5A.5 Clearing Forms

All Clearing Forms shall be as specified in the relative regulation or in such other form or forms as the Relevant Authority may from time to time prescribe in addition thereto or in modification or substitution thereof.

5A.6 Settlement Obligations Statements

Clearing Corporation may facilitate download of relevant data to Clearing Members and Trading Members to enable them to generate Premium Settlement, Other Settlement Obligations Statements pertaining to transactions in different kinds of option contracts.

5A.7 Mode of Payment

All Clearing Members shall, on the designated pay-in-day, have clear balance of funds in their clearing account to the extent of their funds obligation; provided however the Relevant Authority may specify different mode of payment of funds for all or any of the Clearing Members.

5A.8 Receipt of Funds

The Clearing Bank shall credit the clearing accounts of the Clearing Members who are due to receive funds as per the instructions of the Clearing Corporation. All funds due to a Clearing Member shall normally be credited to his account unless

(a) the Clearing Member does not perform his obligations to the Clearing Corporation or

(b) it is otherwise ordered by the Relevant Authority;

Provided however the Relevant Authority may specify different mode of funds credit for all or any of the Clearing Members.

6. DELIVERY OF COMMODITIES

The Following provisions shall be applicable as the case may be for both settlement of futures contracts as well as options contracts:-

6.1 Delivery and Receipt Statements

Based on the Obligations Statements, the Clearing Corporation shall generate Delivery Statement and Receipt Statement for each Clearing Member. The Delivery and Receipt Statement shall contain details of Commodities to be delivered to and received from other Clearing Members. The Delivery and Receipt Statements shall be deemed to be confirmed orders by a Clearing Member to deliver and receive on his account Commodities as specified in the Delivery and Receipt Statements

6.2 Delivery of Commodities

- (1) On the respective pay-in day, Clearing Members shall effect delivery as per Delivery Statement at the delivery centre specified by Exchange. Commodities which are to be received by a Clearing member shall be delivered to him on the respective pay-out day as per instructions of the Clearing Corporation.
- (2) Delivery shall be in such delivery units and of such quality/grade as the relevant authority may notify from time to time.
- (3) Unless otherwise directed by the relevant authority or stipulated when entering into a deal, Commodities may be delivered in settlement of deals in such delivery units as may be specified by the relevant authority from time to time.
- (4) The Commodities along with the other documents specified by the relevant authority from time to time constitute good delivery when tendered in fulfilment of deals to which these Regulations apply.
- (5) If any Commodities along with other documents are found by the receiving member to be not good delivery the Commodities along with the other documents shall be returned by the receiving member in accordance with the procedure prescribed by the relevant authority from time to time.

6.3 Dematerialization of Commodities

Dematerialization refers to issue of an electronic credit, instead of a vault/warehouse receipt, to the depositor against the deposit of Commodity. The dematerialization of the security can be done through Repository registered with the Warehousing Development and Regulatory Authority (WDRA) by way of electronic Negotiable Warehouse Receipt (eNWR) issued by the Warehouse registered with WDRA.

6.4 Permissible Limit for Delivery quantity

The delivery shall be deemed to have been provisionally completed for each delivery order/Delivery whenever the delivered quantity for that delivery order is within a tolerance limit as may be specified from time to time.

Commodities when stored at warehouses for a specific period for delivery are subject to weight loss on account of loading and unloading, sampling, transportation, pest infestation, storage condition, moisture variation etc. When a seller delivers a commodity of certain quantity that may not match with the quantity when buyer takes out the said commodity from the warehouse because of above reasons, which the industry normally accepts. With a view to adjust the handling loss due to loading, unloading and sampling etc., standard deduction may be applied on different commodities as specified in relevant circulars. However, weight adjustment due to moisture variation shall be made at the time of revalidation/withdrawal of commodity over and above the standard deduction.

6.5 Quality Standards

Clearing Member and constituents shall ensure that apart from the approved quality standards stipulated by the Exchange, the commodity deposited/traded/delivered through the Approved warehouses/Vaults of Exchange/CC is in due compliance with the applicable regulations laid down by authorities like Food Safety Standard Authority of India, BIS, Orders under Packaging and Labelling etc., as also other State/Central laws and authorities issuing such regulations in this behalf from time to time.

6.6 Taxes, duties, cess and other levies

All the sellers giving delivery of goods/commodities and all the buyers taking delivery of goods/commodities shall have the necessary GST Registration as required under the Goods & Service Tax (GST) Act applicable to the jurisdiction of the delivery centres and obtain other necessary licenses if any.

In respect of all contracts executed by the Members of the Exchange, it shall be the responsibility of the respective members to pay all applicable statutory fee, stamp duty, taxes and levies in respect of all deliveries as well as futures contracts directly to the concerned Central/State/Local Government Departments and the Exchange/CC shall not be held liable or accountable or responsible on account of any non-compliance thereof.

6.7 Failure to Deliver Commodities

- (1) Without prejudice to the provisions contained elsewhere in this regard, if a Clearing Member fails to deliver on the pay-in day the Commodities deliverable by the member, the Clearing Corporation shall be, without further notice or intimation to the member, entitled to withhold all funds due to the member and/or to debit the account of the member by an amount equivalent to the Commodities not delivered valued at such valuation price as the relevant authority may specify from time to time in this regard.

6.8 Declaration of Default

- (1) A Clearing member failing to deliver the Commodities along with the documents due from him or pay the amount due by him may be declared a defaulter as provided in these Bye Laws and Regulations.

6.9 Deliveries due to the Defaulter

All deliveries, deliveries or otherwise, and payment due to the defaulter shall be handed over to the Clearing Corporation. The Clearing Corporation shall reserve the right to dispose off the Commodities to make good non-payment of funds or nondelivery of Commodities by the defaulting member in such manner it deems necessary.

6.10 Penalty for Failure to Give or take Delivery

The relevant authority may impose on a Clearing Member failing to give or take delivery of all or any of the Commodities and/or funds according to his obligation, such penalty and other charges as it may prescribe from time to time. Such penalty and other charges shall be in addition to any loss such member may suffer on account of closing-out.

6.11 Withholding of Commodities and Funds

Notwithstanding anything contained in these Regulations, the relevant authority may withhold, for such period(s) as the relevant authority may decide from time to time, pay-out of any Commodities and any funds including Commodities and funds constituting margins, if

- (a) the Clearing Member has not delivered the required Commodities on pay-in day or
- (b) there are no adequate funds in the Clearing Account of the clearing member to meet the funds pay-in obligation on the pay-in day or
- (c) the Clearing Member fails to satisfy the margin requirements or
- (d) the Clearing Member fails to fulfill any other obligation or
- (e) the relevant authority, otherwise, deems fit

6.12 With-holding of Commodities for shortages

The relevant authority may with-hold the Commodities pay-out due to the Clearing Member and/or withdraw his clearing facility in case of any pay-in shortages by the Clearing Member for such amount as the relevant authority may deem fit. The relevant authority may, on recovery of such shortages as it may deem fit, release the pay-out and/or restore the clearing facility and permit the Clearing Member to clear and settle subject to such terms and conditions as the relevant authority may impose.

6.13 Withheld Commodities and Funds – How Dealt With

The Commodities and funds withheld pursuant to above regulations shall be dealt with the relevant authority at such times and in such manner as it may deem fit, which may include appropriating the withheld funds for the purpose of fulfilling the obligations of the Clearing Member or closing out of the withheld Commodities or taking such other action as the relevant authority may decide from time to time. The funds received out of closing out of withheld Commodities may be dealt with by the Clearing Corporation at such time and in such manner as it may deem fit.

6.14 Service Providers

The Clearing Corporation shall specify the Service Providers through which delivery shall be effected and which shall act as agents for settlement of deals in Commodity Derivative Segment, for the collection of margins by way of Commodities for all deals entered into by Clearing Members, for any other Commodities movement and transfer between Clearing member to Clearing Member from time to time and for any other purpose as the relevant authority may specify from time to time.

Such Service Providers shall include Vault Service Provider, Warehouse Service Provider or any other Service Provider as identified by the relevant authority from time to time.

6.15 Service Provider to Act as per the Instructions of the Clearing Corporation

The Clearing Corporation shall instruct the Service Provider as to the debits and credits to be carried out for the settlement of deals between Clearing Members. The Service Provider shall act as per the instructions received from the Clearing Corporation from time to time including instructions for effecting account transfers in respect of settlement of deals. Instructions of the Clearing Corporation as to debits and credits to a Clearing Members' accounts shall be deemed to be irrevocable and confirmed orders by a Clearing Member to debit his account and/or credit his account as specified in the instruction.

6.16 Authority to Pledge

The relevant authority shall have the right to borrow money against and pledge all or any part of the Commodities held by the Clearing Corporation for the account of any member who fails to pay all or part of funds due to the Clearing Corporation.

6.17 Accredited Warehouse

Specified Exchange shall specify accredited warehouse(s) through which delivery of a specific commodity shall be effected and which shall facilitate for storage of commodities in the manner as prescribed by the exchange, clearing corporation or any other regulatory authority from time to time.

The Clearing Corporation shall specify from time to time the processes, procedures, code of conduct and operations that every accredited Warehouse, Warehouse Service Provider, Vault Service Provider shall be required to follow. The Regulations relating to the accredited warehouse shall be deemed to form a part of any settlement process so provided.

6.18 Approved Assayer

The Clearing Corporation shall specify approved assayer(s) for each delivery location where the market participants can independently get their goods/commodities assayed, at the time of depositing into or withdrawing such commodities/goods from a warehouse.

6.19 Functions and duties of accredited warehouse and Approved Assayer

Accredited Warehouse(s) and Approved Assayer shall provide services to the Constituents and Members of the Clearing Corporation and discharge duties as specified by Clearing Corporation, Regulator or any other such authority from time to time.

6.20 Verification of Commodities Stored in Warehouse

The Clearing Corporation reserves the right to physically verify itself or through any agencies/experts, at any time, the commodities deposited by the Constituents and/or warehouse facilities in general or for compliance of the warehousing norms stipulated by the Exchange, Clearing Corporation, Regulator or any other authority from time to time.

7. CLOSING OUT

7.1 Transfer of open positions and closing-out when effected

Upon the failure of a Clearing Member to comply with any of the provisions relating to margins, clearing/exposure limits, daily mark to market settlement and final settlement, failure to fulfil the terms and conditions subject to which the transactions in Derivatives Contracts have been made or for such other reasons as the relevant authority may specify from time to time, the relevant authority may:

- (a) transfer all or any of the open positions of Constituents or such other open positions of the defaulting clearing member as may be decided from time to time to any other Clearing Member who agrees to accept such transfer subject to such terms and conditions as may be specified by the relevant authority from time to time and/or
- (b) close-out all or any of the open positions by buying-in or selling out against the defaulting Clearing Member.

7.2 Closing-out in specific cases

Without prejudice to the generality of the provision contained as above closing-out may be effected in cases specified in the relative Regulations or in such other cases as the relevant authority may from time to time specify in addition thereto or in modification thereof.

7.3 Clearing Corporation Entitled to Close-out without Notice

In respect of Derivatives Contracts settled through the Clearing Corporation, the Clearing Corporation shall be entitled to closing out against the party in default. In such cases no notice of closing-out shall be given to the Clearing Member against whom the closing-out is to be effected.

Without prejudice to the generality of the above provision, closing-out without notice may be effected in cases specified in the relative Regulation or in such other cases as the relevant authority may from time to time specify in addition thereto or in modification or substitution thereof.

7.4 Closing-Out Contracts with Defaulter Clearing Member

If a Clearing Member is declared a defaulter the Clearing Corporation shall determine all outstanding obligations by closing-out all open positions against him in accordance with the Bye Laws and Regulations relating to default.

7.5 Closing-Out Contracts with Deceased Clearing Member

On the death of a Clearing Member having outstanding obligations, the relevant authority may at its discretion give permission to his heirs or legal representatives to settle such outstanding obligations according to the terms thereof. In the event of such permission not being applied for or granted, the Clearing Corporation shall forthwith determine all outstanding obligations by closing out against the deceased member. The loss, if any, on such closing-out shall be claimed from the heirs or legal representatives of the deceased member and the profit, if any, shall be paid to them by the Clearing Corporation after obtaining approval of the relevant authority. If the heirs or legal representatives of the deceased member fail to pay the amount claimed from them it shall be as if such deceased member has been declared a defaulter and in that event the Bye Laws and Regulations relating to default shall apply.

7.6 Closing-Out How Effected

Closing-out shall be effected against a Clearing Member by the Clearing Corporation in any of the following manner:

- (a) by declaring a closing-out at such prices as may be decided by the relevant authority;
- (b) by buying-in or selling-out against the Clearing Member by placing order in the specified exchange;
- (c) in any other manner as the relevant authority may decide from time to time.

7.7 Bids And Offers

Unless permitted otherwise by the relevant authority, Clearing Members other than those against whom the closing-out is effected may make a bid or offer during such closing-out. The relevant authority shall at its discretion refuse any bid or offer given.

7.8 Closing-Out Clearing Member's Responsibility

Save as otherwise provided the Clearing Member at whose instance or on whose behalf the buying-in or selling-out is effected by the Clearing Corporation for the purpose of closing-out shall be responsible for the deal made and no liability or responsibility shall attach to the Clearing Corporation or its employees for any deal made in pursuance of such closing-out.

7.9 Postponement By The Relevant Authority

The relevant authority may defer closing-out in any particular case if in its opinion a fair market to close-out is not available or in such other circumstances as it may specify from time to time but no such deferment shall relieve the party in default of any resulting damages or free the intermediate parties of their liabilities.

7.10 Suspension Or Postponement Of Closing-Out

The relevant authority may suspend or postpone buying-in or selling-out in respect of any derivatives contract and from time to time extend or postpone the period of such extension or postponement when circumstances appear in its view to make such suspension or postponement desirable in the general interest.

7.11 Closing-Out Against Defaulter

When closing-out is effected as provided above and the Clearing Member concerned is declared a defaulter the difference arising from closing-out shall be recovered from the said member or distributed in accordance with the Bye Laws and Regulations relating to default.

7.12 Charges For Closing-Out

When closing-out is effected on the advice of the Clearing Corporation the Clearing Member against whom the closing-out takes place shall pay to the Clearing Corporation such charges as the relevant authority may from time to time prescribe.

7.13 Loss Arising From Closing-Out

When closing-out is effected on the advice of the Clearing Corporation on account of a Clearing Member failing to fulfill any of his obligations (including the margin obligations) the resulting loss shall accrue to such member and shall be paid by him forthwith to the Clearing Corporation.

7.14 Profit Arising From Closing-Out

When closing-out is effected on the advice of the Clearing Corporation on account of a Clearing Member failing to fulfil any of his obligations (including the margin obligations) any profit arising therefrom shall be credited to the account of the Core Settlement Guarantee Fund or such other funds as may be set up by the relevant authority from time to time to be held by the Clearing Corporation such purposes as may be specified by the relevant authority.

7.15 Default If Closing-Out Loss and Damage Not Paid

If any Clearing Member against whom a deal is closed-out under the provisions of these Regulations fails to make payment of the loss arising out of the closing-out and of the damages if any within such time as may be stipulated by the relevant authority from time to time, he shall be declared a defaulter.

8. CLEARING BANK

8.1 Clearing Corporation to Regulate

The relevant authority shall specify from time to time the processes, procedures, and operations that every Clearing Member shall be required to follow for the purpose of funds transactions through their clearing account with the Clearing Bank(s).

8.2 Functions of Clearing Bank

The Clearing Corporation shall appoint Clearing Bank(s) for the purpose funds settlement, for the collection of margin money for all transactions entered into through the Clearing Corporation and any other funds movement between Clearing Members and the Clearing Corporation and between Clearing Members inter se.

8.3 Clearing Members to Have Account with the Clearing Bank

Every Clearing Member of the Clearing Corporation shall have clearing account(s) with designated Clearing Bank branch(es). Clearing Members shall operate the clearing account(s) only for the purpose of settlement of deals entered through the Clearing Corporation, for the payment of margin money and for any other purpose as may be specified by the relevant authority from time to time. The clearing accounts may be for any or all of the segments as may be specified by the relevant authority. The Clearing Member shall not operate the clearing account(s) for any other purpose.

8.4 Clearing Bank to Act as per the Instructions of the Clearing Corporation

The Clearing Corporation shall instruct the Clearing Bank as to the debits and credits to be carried out for the funds settlement between Clearing Members. The Clearing Bank shall act as per the instructions received from the Clearing Corporation for the funds movement. Instructions of the Clearing Corporation as to debits and credits to a Clearing Member's accounts shall be deemed to be confirmed orders by a Clearing Member to debit his account and/or credit his account funds as specified in the instruction.

8.5 Clearing Bank to inform Clearing Corporation of Default in funds settlement

If there is any funds default arising out of the instructions received from the Clearing Corporation, the Clearing Bank shall inform the Clearing Corporation immediately.

8.6 Members to authorize Clearing Bank

Clearing Members shall authorise the Clearing Bank to access their clearing account for debiting and crediting their accounts as per instructions received from the Clearing Corporation.

8.7 Clearing Account(s) of Clearing Corporation in the Clearing Bank

Unless other-wise specified in respect of any deals as may be specified by the relevant authority, no clearing member or any person claiming through him shall have or be deemed to have any right, title or interest in any monies in the Clearing Account or other account/(s), as the relevant authority may from time to time prescribe, of the Clearing Corporation with the Clearing Bank. The relevant authority may specify from time to time the deals in respect of which all sums of monies paid into the Clearing Account or other account/(s), as the relevant authority may from time to time prescribe, of the Clearing Corporation on account of any Clearing Member entitled thereto, shall be held by the Clearing Corporation as agents and in trust for such Clearing Member.

In such cases, the making of such payment or credit entry shall be deemed and taken to be a payment or credit to such clearing member.

8.8 Clearing Number and Clearing Forms

A Clearing Member shall be allotted a Clearing Number which must appear on all forms used by the clearing member connected with the operation of the Clearing Corporation. The Clearing Forms and Formats to be used by the Clearing Members shall be as specified by the Clearing Corporation and unless otherwise permitted no other form or format shall be used.

8.9 Signing pf Clearing Forms

All Clearing Forms shall be signed by the Clearing Member or his authorised signatories.

8.10 Specimen Signatures

A Clearing Member shall file with the Clearing Corporation specimens of his own signature and of the signatures of his authorised representatives. The specimen signature card shall be signed by the Clearing Member and his Authorised Representatives in the presence of an officer of the Clearing Corporation.

9. RECORDS, ANNUAL ACCOUNTS & AUDIT

9.1 Records

- 9.1.1 Every Clearing Member shall comply with all relevant statutory acts, including Securities Contracts (Regulation) Act, 1956 and Rules thereunder of 1957, and Securities Exchange Board of India Act, 1992 and Rules, Regulations and guidelines thereunder, and the requirements of and under any notifications, directives and guidelines issued by the Central Government and any statutory body or local authority or any body or authority acting under the authority or direction of the Central Government relating to maintenance of accounts and records.
- 9.1.2 In additions to the requirements as per regulation above, every Clearing Member shall comply with the following requirements and such other requirements as the Clearing Corporation may from time to time notify on this behalf relating to books of accounts, records and documents in respect of his membership to the relevant Clearing Segment of the Clearing Corporation.
- 9.1.3 Every Clearing Member of the Clearing Corporation shall maintain the following records relating to its business for a period of five years. In case of dispute, the relevant documents/records shall be maintained for a period of five years after the final settlement or adjudication of the dispute.
 - (a) Statements of obligations received from the Clearing Corporation.
 - (b) Record of all statements received from the settling agencies and record of all correspondence with them.
 - (c) Copies of all instructions obtained in writing from constituents.
 - (d) Records in respect of monies borrowed and loaned including monies received.
 - (e) Records in respect of clearing charges collected separately from constituents.

- (f) A register of transactions containing the details pertaining, inter alia, to all sales/purchase transactions entered into, the parties to such transactions, date and time of execution of such transactions, the price at which the derivatives contracts were bought/sold, name of constituents and the clearing charges if any, charged by the clearing member.

9.1.4 Every Clearing Member shall keep such records and books of accounts, as may be necessary, to distinguish client information from own information including details of transactions, margins and settlement information.

9.1.5 Every Clearing Member shall keep such books of accounts, as will be necessary, to show and distinguish, in connection with his business as a Clearing Member:

- (a) The moneys received from or on account of and moneys paid to or on account of each of his clients and,
- (b) The moneys received and the moneys paid on clearing member's own account.
- (c) It shall be compulsory for all Clearing Members to keep the money of the clients in a separate account and their own money in a separate account. No payment for transaction in which the clearing member is taking a position as a principal will be allowed to be made from the client's account.

9.1.6 The transfer from client's account to Clearing Member's account shall be allowed under circumstances provided herein in the relative Regulations.

(a) **Obligation To Pay Money Into Clients Account**

Every Clearing Member who holds or receives money on account of a client shall forthwith pay such money to current or deposit account at bank to be kept in the name of the member in the title of which the word "Clients" shall appear (hereinafter referred to as "Clients Account"). A Clearing Member may keep one consolidated clients account for all the clients or accounts in the name of each client, as he thinks fit; provided that when a Clearing Member receives a cheque or draft representing in part money belonging to the client and in part money due to the Clearing Member, he shall pay the whole of such cheque or draft into the clients account and effect subsequent transfer as laid down in the relative Regulation herein.

(b) **Moneys To Be Paid Into Clients Account**

No money shall be paid into clients account other than:

- (i) money held or received on account of clients;
- (ii) such moneys belonging to the Clearing Member as may be necessary for the purpose of opening or maintaining the account;
- (iii) money for replacement of any sum which may by mistake or accident have been drawn from the account;
- (iv) a cheque or draft received by the Clearing Member representing in part money belonging to the client and in part money due to the Clearing Member.

(c) **Moneys To Be Withdrawn From Clients Account**

No money shall be drawn from clients account other than:

- (i) money properly required for payment to or on behalf of clients for or towards payment of a debt due to the Clearing Member from clients or money drawn on client's authority, or money in respect of which there is a liability of clients to the Clearing Member, provided that money so drawn shall not in any case exceed the total of the money so held for the time being for each such client;
 - (ii) such money belonging to the Clearing Member as may have been paid into the client account as mentioned in regulation above.
 - (iii) money which may by mistake or accident have been paid into such account.
- (d) **Right To Lien, Set-Off Not Affected** Nothing in this Section shall deprive a Clearing Member of any recourse or right, whether by way of lien, set-off, counter-claim charge(s) or otherwise against moneys standing to the credit of clients account.

9.2 **Record Maintenance**

9.2.1 Every Clearing Member shall maintain permanently copies of agreements executed with each of its constituent in accordance with the Clearing Corporation requirements.

- 9.2.2 Every Clearing Member shall maintain permanently copies of agreements executed with each of the settling agencies or banks.
- 9.2.3 Every Clearing Member shall maintain originals of all communications received and copies of all communications sent by such clearing member (including inter-office memo and communications) relating to its business as such.
- 9.2.4 Every Clearing Member shall maintain all guarantees of accounts and all powers of attorney and other evidence of the granting of any discretionary authority given in respect of any account and copies of resolutions empowering an agent to act on behalf of a Clearing Member.
- 9.2.5 Every Clearing Member shall maintain all written agreements (or copies thereof) entered into by that Clearing Member relating to its business as such, including agreements with respect to any account.
- 9.2.6 Every Clearing Member shall preserve for a period of not less than five years after the closing of any constituent's account any records which relate to the terms and conditions with respect to the opening and maintenance of such account, date of entering into agreement with the constituent, date of modification thereof, date of termination and representatives of such constituent who signed in each case. In case of dispute, the relevant documents/records shall be maintained for a period of five years after the final settlement or adjudication of the dispute.
- 9.2.7 A Clearing Member shall intimate to the Clearing Corporation the place where these records are kept and available for audit/inspection.
- 9.2.8 The above requirements relating to maintenance of records shall apply not only to records of the Clearing Member's principal office but also to those of any branch office and to any nominee company owned or controlled by a Clearing Member for the purpose of conducting the business of the Clearing Member.
- 9.2.9 Each Clearing Member shall keep and preserve a record of all written complaints of its constituents showing the reference number of constituent, date, constituent's name, particulars of the complaints, action taken by the clearing member, if the matter is referred to arbitration to the Clearing Corporation then the particulars thereof.
- 9.2.10 Every Clearing Member shall maintain details of securities which are the property of a Clearing Member showing with whom they are deposited and if held otherwise than by the member, whether they have been lodged as collateral security for loans or advances.
- 9.2.11 Notwithstanding anything contained hereinabove, every Clearing Member shall preserve the originals of the documents both in electronic and physical form, copies of which have been taken by CBI, Police or any other enforcement agency during the course of their investigation till the trial is completed.

9.3 Annual Accounts And Audit

- 9.3.1 Each Clearing Member shall prepare annual accounts for each financial year ending on 31st March or such other date as advised to the Clearing Corporation.
- 9.3.2 8.3.2 The Assets and Liabilities of the Clearing Member's business shall be brought into account in the balance sheet at such amounts and shall be classified and described therein in such manner that the balance sheet gives a true and fair view of the state of affairs of such business as at the date to which it is made up.
- 9.3.3 Each Clearing Member shall furnish to the Clearing Corporation, its audited financial statement and such report shall be furnished not later than six months after the end of the clearing member's financial year, provided that when the Clearing Corporation is satisfied that circumstances warrant an extension of time is necessary to furnish such report, it may grant an extension of such time as it may deem fit.
- 9.3.4 Each Clearing Member shall get the complete internal audit done on a half yearly basis by an independent qualified Chartered Accountant, Company Secretary or Cost and Management Accountant, who is in practice and does not have any conflict of interest and submit the report within such time and in such format as may be prescribed by the Clearing Corporation from time to time.

10. INSPECTION

10.1 Inspection Authority

- 10.1.1 The Clearing Corporation shall inspect books of accounts and any other document of a Member at least once in every year or such number of times as it deems fit. Where it appears to the Clearing Corporation so to do, it may appoint one or more persons as inspecting authority to undertake inspection of books of accounts, other records and documents of the Clearing Members including for any of the purposes specified in the relative Regulation.

- 10.1.2 The Inspecting authority appointed by the Clearing Corporation may be either its own officials or outside professionals.
- 10.1.3 When the Clearing Corporation appoints outside professionals as an inspecting authority, it shall notify the Clearing Member the names and addresses of the professionals or firms so appointed as an inspecting authority at the time of inspection.
- 10.1.4 When outside professionals are appointed as an inspecting authority in respect of a Clearing Member and such professionals are already related in any other capacity with the Clearing Member then such member shall forthwith inform the Clearing Corporation of such relationship.
- 10.1.5 Where after appointment of any outside professional as an inspecting authority in respect of a Clearing Member, the Clearing Member or any of its associates engages the inspecting authority for its services in any other capacity, the inspecting authority shall not engage itself in such other professional capacity with the clearing member or any of its associates without prior consent of the Clearing Corporation.

10.2 Reasons For Inspection

The Clearing Corporation may cause a Clearing Member to be inspected for purposes which may include the following:

- (a) to ensure that the books of accounts and other books are being maintained in the manner required;
- (b) to ensure that the provisions of SEBI Act, Rules and Regulation thereunder are being complied with;
- (c) to ensure that provisions of the Securities Contracts (Regulation) Act, Forward Contract (Regulation) Act and the Rules made thereunder are being complied with;
- (d) to ensure that various provisions of the Clearing Corporation Bye Laws, Rules and Regulations and any directions or instructions issued thereunder are being complied with;
- (e) to investigate into the complaints received from investors, other members of the Clearing Corporation or any other person on any matter having a bearing on the activities of the Clearing Member;
- (f) to investigate suo-moto, for any reason where circumstances so warrant an inspection into the affairs of the Clearing Member in public interest;
- (g) to examine whether any notices, circulars, instructions or orders issued by the Clearing Corporation time to time relating to trading and other activities of Clearing Members are being complied with;
- (h) to comply with any of the directives issued in this behalf by any regulating authority including Government of India.

10.3 Notice

- 10.3.1 Before undertaking any inspection as above the Clearing Corporation shall give a reasonable notice to the clearing member for that purpose.
- 10.3.2 Notwithstanding anything contained above, where the Clearing Corporation is of the opinion that no such notice should be given, it may direct in writing that the inspection of the affairs of the Clearing Member be taken up without such notice.
- 10.3.3 Clearing Corporation officials or the inspecting authority who is directed by the Clearing Corporation to undertake the inspection, shall undertake the inspection and the Clearing Member against whom an inspection is being carried out shall be bound to discharge his obligations as provided in the relative Regulation herein.

10.4 Obligations Of A Clearing Member On Inspection

- 10.4.1 It shall be the duty of every director, officer and employee of the Clearing Member, who is being inspected, to produce to the inspecting authority such books, accounts and other documents in his custody or control or arrange to produce where such books, accounts and other documents when they are in any other person's custody or control and furnish him such statements and information within such time as the said inspection authority may require.
- 10.4.2 The Clearing Member shall allow the inspecting authority to have reasonable access to the premises occupied by him or by any other person on his behalf and also extend reasonable facilities for examining any books, records, documents and computerised data in his possession or any other person and also provide copies of documents or other materials which in the opinion of the inspecting authority are relevant. Such copies or materials shall be retained by the inspecting authority as the property of the Clearing Corporation.

- 10.4.3 The inspecting authority, in the course of inspection shall be entitled to examine or record statements of any member, director, officer and employee of the Clearing Member or of any associate of such clearing member.
- 10.4.4 It shall be the duty of every director, officer and employee of the Clearing Member or where an associate is examined, such associate to give to the inspecting authority all assistance in connection with the inspection which the Clearing Member may be reasonably expected to give.
- 10.4.5 The inspecting authority shall be entitled to examine the records relating to the Clearing Member's financial affairs held with its bankers or any other agency which the inspecting authority may find it relevant.
- 10.4.6 The inspecting authority shall have access to accounts and other records relating to the Clearing Member or such access as authorised by the Clearing Corporation to accounts and other records relating to any associate of the clearing member as are within the power of the clearing member to provide.

10.5 Submission Of Report

- 10.5.1 The inspecting authority shall, as soon as possible submit an inspection report to the Clearing Corporation.
- 10.5.2 All documents, papers, returns or their copies submitted to the inspecting authority may be retained by it on behalf of the Clearing Corporation. It shall maintain complete confidentiality thereof and no disclosure of any information contained therein shall be made to any person, firm, company or authority unless required by any law for the time being in force and without approval of the Clearing Corporation in this regard.
- 10.5.3 The Clearing Corporation shall after consideration of the inspection report communicate the findings to the Clearing Member to give him an opportunity of being heard before any action is taken by the Clearing Corporation on the findings of the inspecting authority.
- 10.5.4 On receipt of the explanation, if any, from the Clearing Member the Clearing Corporation may call upon Clearing Member to take such measures as the Clearing Corporation may deem fit in public interest.
- 10.5.5 Notwithstanding anything contained as above, where the Clearing Corporation is of the opinion that no such hearing should be provided in certain circumstances, it may take action forthwith without giving an opportunity of being heard.

11. CODE OF CONDUCT FOR CLEARING MEMBERS

11.1 General Principles

11.1.1 Professionalism

A Clearing Member in the conduct of his business, shall observe high standards of commercial honour of just and equitable principles of trade. A Clearing Member shall have and employ effectively the resources and procedures which are needed for the proper performance of his business activities.

11.1.2 Adherence To Clearing Practices

Clearing Members shall adhere to the Rules, Regulations and Bye Laws of the Clearing Corporation and shall comply with such operational parameters, rulings, notices, guidelines and instructions of the relevant authority as may be applicable from time to time.

11.1.3 Honesty And Fairness

In conducting his business activities, a Clearing Member shall act honestly and fairly, in the best interests of his constituents.

11.2 Settlement Principles

- 11.2.1 Clearing Members shall ensure that the fiduciary and other obligations imposed on them and their staff by the various statutory acts, rules and regulations are complied with.
- 11.2.2 Clearing Members shall ensure that employees are adequately trained in the practices in which they deal, clear and settle, are aware of their own, and their organization's responsibilities as well as the relevant statutory acts governing the Clearing Member, the Rules, Regulations and Bye Laws of the Clearing Corporation including any additions or amendments thereof.

- 11.2.3 When entering into transactions on behalf of constituents, the Clearing Members shall ensure that they abide by the Code of Conduct and regulations as enumerated in the current chapter of these regulations.
- 11.2.4 No Clearing Member or person associated with a Clearing Member shall make improper use of constituents securities or funds.
- 11.2.5 When entering into or arranging transactions, Clearing Members must ensure that at all times great care is taken not to misrepresent in any way the nature of transaction.
- 11.2.6 No Clearing Member shall exercise any discretionary power in a Constituent's account unless such Constituent has given prior written authorisation to a stated individual or individuals and the account has been accepted by the Clearing Member, as evidenced in writing by the clearing member.

11.3 General Guidelines

A Clearing Member shall desist from the following practices while conducting business on the Clearing Corporation.

Shielding Or Assisting

No Clearing Member shall shield or assist or omit to report any Clearing Member whom he has known to have committed a breach or evasion of any Rules, Bye Laws or Regulations of the Clearing Corporation or of any resolution, order, notice or direction thereunder of the Governing Board or the Managing Director or of any committee or officer of the Clearing Corporation authorised in that behalf.

12. CONDUCT OF BUSINESS BY CLEARING MEMBERS

12.1 Office Related Procedure

- 12.1.1 Every Clearing Member shall ensure that all persons acting on his behalf shall subscribe at all times to high standards of professional expertise and integrity.
- 12.1.2 Each Clearing Member shall at all times maintain such infrastructure, staff, communication facilities and records so as to be able to service his constituents satisfactorily and as per the requirements enumerated in the Clearing Corporation Bye Laws, Rules and Regulations, or any other relevant act(s) in force for that time being.
- 12.1.3 Where the Clearing Corporation feels it necessary, in the public interest to do so, it may at its own instance or on a complaint from another Clearing Member or Constituent, seek explanation from the Clearing Member regarding the level of service or professional conduct of the Clearing Member or any of his staff where such service or conduct has been found unsatisfactory or contrary to principles enumerated in the Clearing Corporation Bye Laws, Rules and Regulations, or notifications, directions or circulars issued thereunder.

12.2 Supervision

12.2.1 Procedures to be followed

- (a) Each Clearing Member shall establish, maintain, and enforce procedures to supervise its business and to supervise the activities of its employees that are reasonably designed to achieve compliance with the Clearing Corporation Bye Laws, Rules and Regulations and any notifications, directions etc. issued thereunder as well as the relevant statutory acts.
- (b) The Clearing Member shall maintain an internal record of the names of all persons who are designated as supervisory personnel and the dates for which such designation is or was effective. Such record shall be preserved by the Clearing Member for a period of not less than three years.
- (c) Every Clearing Member shall specifically authorise in writing person or persons, who may be authorised to transact on behalf of the clearing member and to do such acts which clearing member may wish to delegate to such person, and make available a copy of such power of attorney to the Clearing Corporation before such person transacts any business on the Clearing Corporation.
- (d) A Clearing Member shall maintain such records and make available to inspection by any person authorised in this behalf by the Clearing Corporation, the information related to such Clearing member's financial condition as specified by the Clearing Corporation for this purpose.
- (e) The Clearing Member shall pay such fees, charges and other sum as the Clearing Corporation may notify from time to time, in such time and manner as required by the Clearing Corporation.
- (f) The Clearing Member must inform the Clearing Corporation of any change in the status and constitution, operation, activities of the clearing member's entity.

12.2.2 Internal inspections

Each Clearing Member shall conduct a review, at least annually, of the business in which it engages, which shall be reasonably designed to assist in detecting and preventing violations of and achieving compliance with Bye Laws, Rules and Regulations.

12.2.3 Written Approval

Each Clearing Member shall establish procedures for the review and endorsement by an appropriate senior officer in writing, on an internal record, of all transactions and all correspondence of its employees pertaining to the solicitation of any transaction.

12.3 Relation With The Constituents

12.3.1 When establishing a relationship with a new Constituent, Clearing Members must take reasonable steps to assess the background, genuineness, financial soundness of such person, and his objectives.

12.3.2 Clearing Member shall make the constituent aware of the precise nature of the clearing member's liability for business to be conducted, including any limitations on that liability and the capacity in which the clearing member acts and the constituents' liability thereon.

12.3.3 The Clearing Member shall provide extracts of relevant provisions governing the rights and obligations of constituents as constituents of Clearing Members as specified in the Bye Laws, Rules and Regulations, relevant manuals, notifications, circulars any additions or amendments thereto etc. of the Clearing Corporation, or of any regulatory authority, to the extent it governs the relationship between Clearing Members and constituents, to the constituents at no extra cost. The Clearing Member shall also bring to the notice of his constituents, any indictments, penalties etc. imposed on him by the Clearing Corporation or any other regulatory authority.

12.4 Recommendations To The Constituents

12.4.1 A Clearing Member shall make adequate disclosures of relevant material information in its dealing with his constituents.

12.4.2 No Clearing Member or person associated with the Clearing Member shall guarantee a constituent against a loss in any transactions effected by the clearing member with or for such constituent.

13. CLEARING AND OTHER FORMS

13.1 Clearing Forms, Special Returns And Other Forms

The clearing forms, special returns and other forms referred to in these Bye Laws and Regulations and not separately specified shall be in such other form or forms as the relevant authority may from time to time prescribe in addition thereto or in modification or substitution thereof.

13.2 Clearing Number And Clearing Forms

A clearing member shall be allotted a Clearing Number which must appear on all forms used by the clearing member connected with the operation of the Clearing Corporation.

13.3 Signing of Clearing Forms

All Clearing Forms shall be signed by the Clearing Member or his clearing assistant.

13.4 False or Misleading Statements

The relevant authority may fine, suspend or expel a Clearing Member who makes any false or misleading statement in the Clearing Forms required to be submitted in conformity with these Regulations or any resolutions, orders, notices, directions and decisions of the relevant authority thereunder.

MULTI COMMODITY EXCHANGE CLEARING CORPORATION LIMITED

Mumbai, the 5th September 2019

Securities and Exchange Board of India ("SEBI"), in exercise of its powers under Section 7A of the Securities Contracts (Regulation) Act, 1956, vide its letter no. SEBI/HO/CDMRD/DRMP/OW/P/2019/21069/1 dated August 23, 2019 approved the following amendments in the Rules of Multi Commodity Exchange Clearing Corporation Limited ("MCXCCL"). The amendments to the Rules of MCXCCL shall be effective from the date of its publication in the Gazette of India.

AMENDMENTS IN THE RULES OF MCXCCL

1. In clause 1.1 of Rule 1 of MCXCCL Rules, the words “the Rules” shall be substituted with the words “these Rules” prior to words “or the Rules of the Clearing Corporation.”
2. In Rule 2.1 of MCXCCL Rules, after clause 2.1.3, the following clause shall be inserted, namely:—

“2.1.3A, “Bye laws” mean the bye-laws of the Clearing Corporation for the time being in force and any amendments made thereon from time to time”.
3. In Rule 2.1.40 of MCXCCL Rules, the following proviso shall be substituted, namely:—

“SECC” is the abbreviation for Securities Contracts (Regulation) (Stock Exchange and Clearing Corporations) Regulations 2018”.
4. In Rule 2.1.2 of MCXCCL Rules, the words “these Bye-laws” shall be substituted with the words “the Bye-laws” prior to words “the Regulations”.
5. In Rule 2.1.12 of MCXCCL Rules, the words “these Bye-laws” shall be substituted with the words “the Bye-Laws” prior to the words “and categorized appropriately”.
6. In Rule 2.1.15 of MCXCCL Rules, the words “these Bye-laws” shall be substituted with the words “the Bye-Laws” after the words “mandated under”.
7. In Rule 2.1.42 of MCXCCL Rules, the words “these Bye-laws” shall be substituted with the words “the Bye-Laws” after the words “in accordance with”.
8. In Rule 2.1.43 of MCXCCL Rules, the words “these Bye-laws” shall be substituted with the words “the Bye-Laws” after the words “the purpose of ” and after the words “defined in”.
9. In Rule 8.1 of MCXCCL Rules, the heading “Disciplinary Jurisdiction” shall be substituted with the words “Disciplinary Action”.
10. In Rule 8.1 of MCXCCL Rules, the following shall be inserted:—

“The Relevant Authority also may expel or suspend and/or impose fines and/or pending under censure and/or warn and/or withdraw all or any of the membership rights of a Clearing Member, if;

 - a. the Clearing Member is in violation of its Membership undertaking given to Clearing Corporation;
 - b. any representation, warranty or document made or submitted by a Clearing Member in its Membership application was known to the Clearing Member to be wrong or false or has become false in any material respect;
 - c. the Clearing Member or any of its Principal Officers, employees, contractors, agents, representatives or Clients, is in violation of an applicable law or regulation governing its business and affairs;
 - d. the Clearing Member or any of its employees or agents is charged in any court of law in connection with an offence involving fraud or dishonesty, whether within or outside India;”
11. In Rule 8.3.1 of MCXCCL Rules, clause (f) shall be substituted with:—

“Failure to submit to or abide by Arbitration: If it neglects or fails or refuses to submit to arbitration or to abide by or carry out any award, decision or order of the relevant authority or the Committee or the arbitrators made in connection with a reference under the Rules, Bye Laws and Regulations”.
12. In clause (g) of Rule 8.3.3 of MCXCCL Rules, the words “these Bye-laws” shall be substituted with the words “the Bye-Laws” prior to the words “and Regulations”.
13. In Rule 8.3.5 of MCXCCL Rules, the words “these Bye-laws” shall be substituted with the word “the Bye-Laws” after the words “ as provided in”.
14. In Rule 8.4 of MCXCCL Rules, the words “the provisions regarding consequences of declaration of defaulter” shall be deleted prior to words “contained in Chapter XII of the Byelaws”.

MULTI COMMODITY EXCHANGE CLEARING CORPORATION LIMITED

Mumbai, the 9th September 2019

Securities and Exchange Board of India ("SEBI"), in exercise of its powers under Section 9 of the Securities Contracts (Regulation) Act, 1956, vide its letter no. SEBI/HO/CDMRD/DRMP/OW/P/2019/21069/1 dated August 23, 2019 approved the following amendments in the Bye-laws of Multi Commodity Exchange Clearing Corporation Limited ("MCXCCL"). The amendments to the Bye-laws of MCXCCL shall be effective from the date of its publication in the Gazette of India.

AMENDMENTS IN THE BYE-LAWS OF MCXCCL

1. The following Bye-law 2.1.43 of MCXCCL Bye-laws shall be deleted:—

“Disciplinary Action Committee-means a Committee constituted by the Board or the Relevant Authority to evaluate, consider and/or decide on a reference made to it by the Relevant Authority in respect of an alleged or presumed violation or infringement of these Bye-Laws, the Rules or the Regulations by a Clearing Member or a participant in the Clearing and settlement mechanism and in its conduct as a Clearing Member or a Participant, including any wilful disobedience of the lawful instructions of the Relevant authority”.
2. Bye-law 2.1.77 of MCXCCL Bye-laws shall be substituted with:—

“SECC” is the abbreviation for Securities Contracts (Regulation) (Stock Exchange and Clearing Corporations) Regulations, 2018”.
3. In clause (d) of Bye-law 5.8.1 of MCXCCL Bye-laws, the words “Disciplinary Action Committee or Defaulters’ Committee” shall be substituted with the words “Relevant Authority or the Committee”.
4. In Bye-law 11.2.3 of MCXCCL Bye-laws, the words “Defaulters’ Committee” shall be substituted with the words “Relevant Authority or the Committee”.
5. The following clause (f) of Bye-law 12.1.1 of MCXCCL, shall be deleted:—

“the Clearing Member is in violation of its Membership undertaking give to Clearing Corporation”,
6. In Bye-law 12.1.1 of MCXCCL, the following clauses shall be deleted:—

“(h) any representation, warranty or document made or submitted by a Clearing Member in its Membership application was known to the Clearing Member to be wrong or false or has become false in any material respect;

(i) the Clearing Member or any of its Principal officers, employees, contractors, agents, representatives or Clients, is in violation of an applicable law or regulation governing its business and affairs;

(j) the Clearing Member or any of its employees or agents is charged in any court of law in connection with an offence involving fraud or dishonesty, whether within or outside India;”
7. In Bye-law 12.1 of MCXCCL, after the clause (m), the following clause shall be inserted, namely:—

“(n) Any Exchange or Clearing Corporation declares the Clearing Member as defaulter”.
8. The following clause (m) of Bye-law 12.4.2 of MCXCCL, shall be deleted:—

“The notice calling for claims shall contain the maximum compensation limit for a single claim and all other relevant information.”

Date: 09.09.2019
Place: Mumbai

PRAVEEN V. KOTIAN
Head—Legal